# ROUTLEDGE STUDIES IN HAZARDS, DISASTER RISK AND CLIMATE CHANGE

Climate Hazard Crises in Asian Societies and Environments

Edited by Troy Sternberg



### **Climate Hazard Crises in Asian Societies and Environments**

Climate hazards are the world's most widespread, deadliest and costliest natural disasters. Knowledge of climate hazard dynamics is critical since the impacts of climate change, population growth, development projects and migration affect both the impact and severity of disasters. Current global events highlight how hazards can lead to significant financial losses, increased mortality rates and political instability.

This book examines climate hazard crises in contemporary Asia, identifying how hazards from the Middle East through South and Central Asia and China have the power to reshape our globalised world. In an era of changing climates, knowledge of hazard dynamics is essential to mitigating disasters and strengthening livelihoods and societies across Asia. By integrating human exposure to climate factors and disaster episodes, the book explores the environmental forces that drive disasters and their social implications. Focusing on a range of Asian countries, landscapes and themes, the chapters address several scales (province, national, regional), different hazards (drought, flood, temperature, storms, dust), environments (desert, temperate, mountain, coastal) and issues (vulnerability, development, management, politics) to present a diverse, comprehensive evaluation of climate hazards in Asia. This book offers an understanding of the challenges climate hazards present, their critical nature and the effort needed to mitigate climate hazards in 21st-century Asia.

*Climate Hazard Crises in Asian Societies and Environments* is vital reading for those interested and engaged in Asia's development and well-being today and will be of interest to those working in Geography, Development Studies, Environmental Sciences, Sociology and Political Science.

**Troy Sternberg** is a researcher at the School of Geography, Oxford University. His research focuses on climate hazard impact on environments and societies across Asian drylands.

## Routledge Studies in Hazards, Disaster Risk and Climate Change

Series Editor: Ilan Kelman

Reader in Risk, Resilience and Global Health at the Institute for Risk and Disaster Reduction (IRDR) and the Institute for Global Health (IGH), University College London (UCL)

This series provides a forum for original and vibrant research. It offers contributions from each of these communities as well as innovative titles that examine the links between hazards, disasters and climate change, to bring these schools of thought closer together. This series promotes interdisciplinary scholarly work that is empirically and theoretically informed, with titles reflecting the wealth of research being undertaken in these diverse and exciting fields.

#### Published

#### **Cultures and Disasters**

Understanding Cultural Framings in Disaster Risk Reduction Edited by Fred Krüger, Greg Bankoff, Terry Cannon, Benedikt Orlowski and E. Lisa F. Schipper

#### **Recovery from Disasters**

Ian Davis and David Alexander

#### Men, Masculinities and Disaster

Edited by Elaine Enarson and Bob Pease

### Unravelling the Fukushima Disaster

Edited by Mitsuo Yamakawa and Daisaku Yamamoto

**Rebuilding Fukushima** Edited by Mitsuo Yamakawa and Daisaku Yamamoto

**Climate Hazard Crises in Asian Societies and Environments** *Edited by Troy Sternberg* 

## **Climate Hazard Crises in Asian Societies and Environments**

Edited by Troy Sternberg



First published 2017 by Routledge 2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

and by Routledge 711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 2017 selection and editorial matter, Troy Sternberg; individual chapters, the contributors

The right of Troy Sternberg to be identified as the author of the editorial material, and of the authors for their individual chapters, has been asserted in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

*Trademark notice*: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

*British Library Cataloguing-in-Publication Data* A catalogue record for this book is available from the British Library

*Library of Congress Cataloging-in-Publication Data* A catalog record for this book has been requested

ISBN: 978-1-4724-4646-6 (hbk) ISBN: 978-1-315-57241-3 (ebk)

Typeset in Times New Roman by Apex CoVantage, LLC

### Contents

	List of figures	vii
	List of tables	х
	List of contributors	xi
	Foreword	xiii
1	An unstable, stable nation? Climate, water, migration and security in Syria from 2006–2011	1
	FRANCESCO FEMIA AND CAITLIN WERRELL	
2	Post-disaster reconstruction strategies: a case study in Taiwan	11
	YUNG-FANG CHEN	
3	Human amplification of climate hazards: 2010 floods in Pakistan	34
	INAM-UR-RAHIM AND HENRI RUEFF	
4	Climate hazards and health in Asia	56
	ILAN KELMAN AND TIM COLBOURN	
5	Evolving a multi-hazard focused approach for arid Eurasia	73
	MASATO SHINODA	
6	Climate change and security: major challenges	
	for Yemen's future	103
	HELEN LACKNER	
7	Climatic hazards in the Himalayan region	120
	PRAJJWAL PANDAY	

vi	Contents	
8	<b>China's natural and constructed hazard regimes</b> TROY STERNBERG	140
9	<b>Temporal and spatial distributions of dust storms</b> <b>in Middle Asia: natural and anthropogenic factors</b> LEAH ORLOVSKY, RODICA INDOITU, GIORGI KOZHORIDZE, MADINA BATYRBAEVA, IRINA VITKOVSKAYA, BATYR MAMEDOV AND NIKOLAI ORLOVSKY	155
10	<b>Climate hazards in Asian drylands</b> TROY STERNBERG	177
11	<b>Climate change: rethinking the local for policy and practice</b> LENA DOMINELLI	193
	Index	215

### Figures

1.1	Drought, two-year scale, Syria from 1970 to 2011. Calculated	
	by Standard Precipitation Index (SPI), -1 or below signifies	
	drought $(-1 = moderate drought; -2 = extreme drought)$ .	
	Source: Sternberg, unpublished data. For SPI calculation	
	see Sternberg (2012).	2
1.2	Syria: Seasonal per cent of normal rainfall, comparison	
	2006–2008 (USDA 2008). Source: USDA Foreign Agricultural	
	Service (FAS. 2008. SYRIA: Wheat production in 2008/09	
	declines owing to season-long drought http://www.fas.usda.gov/	
	highlights/2008/05/syria_may2008.htm).	3
2.1	Map of Taiwan and its region. Source: CartoGIS, College	
	of Asia and the Pacific, The Australian National	
	University 2015.	13
2.2	Government alternative resettlement approaches provided	
	with the affected populations. Source: adapted from Morakot	
	Post-Disaster Reconstruction Council 2011, p. 28.	18
3.1	Indus River and tributaries. Circles identify key impact points.	
	Source: Base map from Wikimedia commons, names and	
	symbols sketched by authors.	35
3.2	Swat valley map. Source: Steimann 2003	39
5.1	Northern Hemispheric distribution of cold, arid climates	
	and documented dzud-like (snow symbols) and rain-on-snow	
	(hexagonal symbols) phenomena. Mongolia is located within	
	a unique climatic zone. The shaded area denotes drylands,	
	while the hatched area and solid line indicate annual minimum	
	temperatures below -40°C and -30°C, respectively.	
	Source: Shinoda 2016.	74
5.2	Real GDP growth rate (%) and number of livestock in	
	Mongolia. Source: Shinoda (2015). doi:10.1016/j.piutam.	
	2015.06.008. Reprinted with permission under the terms	
	of the Creative Commons Attribution-NonCommercial-No	
	Derivatives License (CC BY NC ND; https://creativecommons.org/	
	licenses/by-nc-nd/4.0/).	79

viii Figures

5.3	Drought and <i>dzud</i> memory processes through which hazards affect livestock and human health. Source: Shinoda (2015). doi:10.1016/j.	
	piutam.2015.06.008. Reprinted with permission under the	
	terms of the Creative Commons Attribution-NonCommercial-No	
	Derivatives License (CC BY NC ND; https://creativecommons.org/	
	licenses/by-nc-nd/4.0/).	81
5.4	Internetationships between 4D disasters (dust, <i>dzud</i> , drought	01
Э.т	and desertification) and the drought memory mechanism.	
	Source: Shinoda (2015). doi:10.1016/j.piutam.2015.06.008.	
	Reprinted with permission under the terms of the Creative	
	Commons Attribution-NonCommercial-No Derivatives	
	License (CC BY NC ND; https://creativecommons.org/	
	licenses/by-nc-nd/4.0/).	89
6.1	Map of Yemen. Source: Sebastian Ballard (2016).	104
		104
6.2	Sana'a drought history calculated with the Standard	
	Precipitation Index. Less than -1 (dotted line) signifies	
	drought. Data from the UK Climate Research Unit	100
7 1	(Harris et al. 2014).	109
7.1	The Hindu Kush-Himalaya (HKH) region with the major	101
7 0	river basins. Source: Pandey, P. (2016).	121
7.2	Number of hydro-meteorological disasters reported	
	in the Emergency Events Database (EM-DAT) for South Asia	
	(Afghanistan, Bangladesh, Bhutan, India, Nepal and Pakistan).	
	Hydrological disasters include flood and landslides while	
	meteorological disasters include extreme temperature and	
	storms. Source: EM-DAT: The OFDA/CRED International	
	Disaster Database – www.emdat.be – Université Catholique	100
	de Louvain – Brussels – Belgium.	123
7.3	Radar imagery (CARTOSAT) of Kedarnath region taken	104
	before and after the flood event (NRSC 2015).	124
7.4	Rapid drainage of a glacial lake on the Ngozumpa glacier	
	as shown by the drop in the water levels between May 28	
	and May 29, 2013 (Horodyskyj 2013).	126
7.5	Landsat 8 images showing the Sunkoshi landslide area prior	
	to the event in 2013 and post-landslide in September 2014	
	(NASA Earth Observatory 2014).	127
7.6	Flow diagram emphasising the connectivity and significance	
	of regional hydro-climatology and climate change impacts	
	to agricultural production for the HKH region (Panday 2013).	129
7.7	Composite mean fog/low-cloud occurrences for the six-year	
	winter season (2000–2006) derived from MODIS cloud	
	properties (Gautam et al. 2007).	130
8.1	China, Yangtze and Yellow rivers, with drylands identified	
	in the north and west (above dotted line).	142

9.1	Administrative map of Middle Asia (produced from	
	http://www.lib.utexas.edu/maps/commonwealth.html, accessed	
	June 6, 2015). Solid black line shows the approximate border	
	between the northern and southern provinces.	158
9.2	Spatial distribution of the annual average frequencies	
	of dust storms in 1936–1960.	160
9.3	Spatial distribution of the annual average frequencies	
	of dust storms in 1980–2005.	161
9.4	Annual dust storm (days) occurrences for the period	
	1936–2005 (line with markers: total annual days with dust	
	storms; solid line: five-year moving average).	163
9.5	Annual average Middle Asian synoptic process anomalies,	
	1936–1990 (change relative to 1936–1990 average).	
	Axis Y – frequency of synoptic processes types, solid line –	
	10-years moving average. A: types 1, 2, 3; B: types 5, 6;	
	C: types 9, 9a, 9b; D: type 10.	166
9.6	Spatial distribution of severe and very severe dust storms	
	in Middle Asia.	167
10.1	Asian drylands, including the West (Middle East, Arabia),	
	South, Central and East Asia. Gray = arid (<250mm annual	
	precipitation), black = semi-arid (<500mm annual precipitation)	
	(Meigs 1953). Dryland percentage varies from ~99 per cent	
	in most of West Asia, to 80 per cent in Pakistan and Mongolia,	
	69 per cent in India and 52 per cent in China.	178
	• •	

### Tables

2.1	Estimate of losses from Typhoon Morakot. Source: Morakot	
	Post-Disaster Reconstruction Council 2014, p. 18.	17
2.2	The responsibility/deliverables for the respected lead agencies.	
	Source: Chern 2012, p. 54.	22
2.3	Six dimensions of service provided by the Life Reconstruction	
	Service Centre. Source: Chiayi County Government 2015.	24
6.1	Major floods 1990–2014. Source: EM-DAT (2014).	109
8.1	Climate hazard events and impact in China, 1900–2010.	
	EM-DAT 2015. Note: hazard assessment methods vary -	
	for example, recent accounts identity that half a billion were	
	affected by the 2011 drought (Sternberg 2012).	143
9.1	Characteristic Middle Asian synoptic processes (1936–1990).	165
9.2	Dust deposits (kg/ha) in Turkmenistan during	
	May–October 2009.	169
10.1	Physical data on selected Asian dryland countries (IPCC 2012).	
	Within drylands, the data uses national capitals to site	
	temperature, precipitation and drought data. Temperature	
	reflects average annual and standard deviation (range) from	
	the average. Drought reflects the percentage of time since	
	1900 in drought, the longest 12-month drought and most	
	recent severe or extreme events. Precipitation identifies mean	
	annual amount since 1900 whilst cities at flood or storm risk	
	are identified. Climate data is from 1900-2013, sourced from	
	the Climate Research Unit, UK, global dataset v3.22 (Harris	
	et al. 2014). Drought is calculated by the Standard Precipitation	
	Index (Sternberg 2012).	182
10.2	Socio-economic factors that frame climate hazard risk in	
	Asian drylands. All countries experience water scarcity.	
	Several exemplify food stress due to amount of cultivated	
	land per person, high rural population, household income spent	
	on food, high food imports and low human development levels.	183

### Contributors

- Madina Batyrbaeva and Irina Vitkovskaya are leading scientists at the Department of Earth Monitoring of the National Centre of Space Research and Technology of Kazakhstan. They deal with collecting and processing of the daily remote sensing information for the study of land degradation in Kazakhstan, droughts monitoring, vegetation productivity and fire detection.
- **Yung-Fang Chen**'s research is on the pedagogy of emergency response training and exercises. Her current projects and interests include tasks for post-disaster assistance, shelter and housing, community reconstruction, e-learning and risk communication.
- **Tim Colbourn** is a Lecturer in Global Health Epidemiology and Evaluation at University College London, England.
- Lena Dominelli, Co-Director of the Institute of Hazard, Risk and Resilience at Durham University, has undertaken research projects on climate change, flooding, earthquakes and volcanoes. She is a leading figure in social work and chairs the Disaster Interventions Committee for the International Association of Schools of Social Work, and through it represents social work at UNFCCC COP21 meetings.
- **Francesco Femia** is Co-Founder and President of the Center for Climate and Security, where he co-leads the Center's policy development, analysis and research programmes.
- **Rodica Indoitu** and **Giorgi Kozhoridze** are PhD students at J. Blaustein Institutes for Desert Research. Rodica's PhD thesis deals with temporal and spatial variations of dust storms in the Middle Asia, while Giorgi studied the land cover changes occured on the dried bottom of the Aral Sea.
- **Ilan Kelman** is a Reader in Risk, Resilience and Global Health at University College London, England and a researcher at the University of Agder, Kristiansand, Norway.
- **Helen Lackner** is an independent researcher with over 40 years' experience in Yemen and is writing an introduction to the crisis in Yemen to be published in 2017. She worked as a rural development consultant in over 30 countries.

#### xii Contributors

- **Batyr Mamedov** is from the National Institute of the Deserts, Flora and Fauna, Turkmenistan. His research interests include water management and soil conservation for both agricultural and environmental objectives; and developmentoriented research on desertification and the effects of climate change.
- Leah Orlovsky is a Senior Researcher at the Swiss Institute for Dryland Environmental & Energy Research, J. Blaustein Institutes for Desert Research of Ben-Gurion University, Israel. Her scientific interests include the use of remote sensing for detecting changes in land cover and land use, and analysing the impacts of these changes on climate, environment and society.
- **Nikolai Orlovsky** from J. Blaustein Institutes for Desert Research, Israel is a climatologist and expert in desertification problems in Central Asia. His primary interest is the study of the climate change and its infuence on land degradation processes.
- **Prajjwal Panday** is geographer with research and intellectual interests focused on using a systems approach to determine linkages between climate variability, anthropogenic changes and land-water interactions. His research focuses on how climate variability and land use change influence water balances in Himalayan and Amazonian ecosystems.
- **Inam-ur-Rahim** is director of the Foundation for Research and Socio-Ecological Harmony, Islamabad, Pakistan and freelance researcher on livestock systems and climatic and environmental issues.
- **Henri Rueff** is a Geographer interested in smallholder's livelihoods living in resource scarce and remote areas in mountains and deserts. He works at the Department of Environmental Sciences, University of Basel, Switzerland.
- Masato Shinoda, DSc is a Professor of the Graduate School of Environmental Studies at Nagoya University in Japan. His research field is ecological climatology of drylands.
- **Troy Sternberg** is a researcher at the School of Geography, Oxford University. His research focuses on climate hazard impact on environments and societies across Asian drylands.
- **Caitlin Werrell** is Co-Founder and President of the Center for Climate and Security, where she co-leads the Center's policy development, analysis and research programmes.

### Foreword

Climate hazards are the world's most universal and damaging natural disasters. Floods, droughts and storms affect tens of millions of people, disrupt livelihoods, negatively impact communities and impoverish residents. At the same time these events are harmful to societies, economics, infrastructure and environments. Our book, *Climate Hazard Crises in Asian Societies and Environments*, examines the multiple dimensions of climate hazards across Asia. Exploring the social implications and environmental dynamics of risk, mitigation and vulnerability are a major global challenge. The book's contemporary focus highlights the challenges hazards present in Asia as climate change, expanding populations, rapid development and conflict affect the continent's 4.4 billion people. The chapters cover a diverse range of climate hazard contexts that are essential reading for understanding the ongoing threat climate hazards present for Asia in the 21st century.

Natural hazards become disasters when they affect people and society. In Asia climate disasters now account for 87 per cent of hazard events and >90 per cent of fatalities. Their frequency, magnitude and intensity affects the basics of life – water, food, health and livelihoods. The book's key theme is how recurrent disasters are shaped by people and landscapes as well as climate. Trigger events reflect a combination of weather forces, social exposure and the ability to mitigate disaster impact and physical damage. The book crosses the continent to identify the many forms hazard risks take in Asia. Beginning in the Middle East and Arabia, the chapters address key issues of drought and environments, then moves on to South Asia to highlight complex flood dynamics in Pakistan and the impact of global warming in Himalayas. Dust in Central Asia reflects the trans-border nature of hazard events as well as how policy decisions can dramatically increase hazard exposure. In East Asia Taiwan offers a well organised storm response strategy whilst China exemplifies the natural vs constructed risk conundrum hazards may present. Large-scale assessments integrate the weather and disaster management over Eurasia, dryland exposure across the continent, the hazard implications on health and the effects of human and political action on societal resilience. The range and breadth covered convey the complexity of climate hazards whilst providing critical insight into hazard immediacy at local and regional levels.

Commencing with Femia and Werrell's interpretation of climate and the Arab Spring provides a cautionary tale of how drought, poor governance and migration

#### xiv Foreword

affected social dynamics in Syria; research identifies the role of climate hazards in instigating civil war. When writing on Yemen, Lackner had to twice update her chapter on climate, development and security in Yemen due first to political conflict, then civil war fueled by international forces. In both countries, floods, drought or environmental stress contributed to conflict yet are forgotten amidst state collapse. Rahim and Rueff capture the multiple factors that make Indus floods so deadly in Pakistan. Inequality, land tenure rights, weak governance and economic exploitation ignore endemic flooding at great human and social cost. Panday examines how changing global weather patterns in the Hindu Kush-Himalayan region affect water resources, ecosystems and livelihoods for >600 million downstream agriculturalists.

More positively, Chen traces Typhoon Morakot 88 and the resulting flood disaster's impact in Taiwan. Whilst to many the government response was exemplary, particularly compared to global norms (e.g. Hurricane Katrina in the US), satisfying local concerns proved elusive. Sternberg's evaluation (Chapter 8, this volume) of underlying forces framing China's hazard regime makes clear that humans and governments can contribute to, as well as mitigate, climate disasters. Manipulating nature and the environment raises the question across China whether disaster results from natural forces, policy and human action or a deadly combination of both.

Turning the focus to weather Orlovsky et al.'s long-term investigation of dust storms in the Aral Sea and former Soviet Middle Asia highlights how the severity of extreme dust storms was linked to the human-driven desiccation of the Aral Sea. Investigation finds that since 1990 dust events and hazard damage has decreased. High-impact weather is stressed by Shinoda in the mid-latitude drylands that cover much of the Asian steppe. Efforts to integrate major hazards show the links between drought, *dzud* (extreme cold), dust and desertification which can reshape livelihoods and environments. Research represents a new methodology to identify extreme weather and develop an effective early warning system. Sternberg then expands this theme to present climate hazards in Asian drylands, identifying that arid and semi-arid areas, comprising 39 per cent of Asia, share several similarities when facing climate hazards. Vast spatio-temporal scales, drought, marginality and policy neglect combine to increase exposure. Improved awareness and international cooperation can strengthen knowledge and reduce vulnerability across desert societies.

More broadly, Kelman and Colbourn bring the issue of hazard and health in Asia to the forefront. This is a cross-cutting issue from tropics to mountains that reflects poverty and location-borne exposure that varies in disease and incidences as well as corollary impacts on food and water safety. Interactions include human physical, environmental and sociological health. Dominelli draws several themes together in her chapter on society and disaster. She stresses the human role in disaster engagement, gaining political support for action, then integrating the local and the global when working across boundaries and borders. The great social impact of hazards shows the need for new theory and practice that emphasises resilience throughout the disaster process.

The chapters show the many ways that climate hazards impact and interact with societies. Each environment has recognised exposure to climate events whilst human and social pressures add a level of risk and vulnerability for residents in Asian landscapes. We are often aware of physical dimensions but pay less attention to socio-economic dynamics like marginality, education and politics. In addition, capacity and funding for effective mitigation of hazards varies across countries. Integrating the natural, social and governance roles can identify how disasters may be directly linked to specific risk factors and how system failure can turn climate events into crises. Disasters are multi-faceted events best mitigated through a holistic approach that encompasses the range of contributory factors in each country and landscape.

Chapters reflect the great diversity of Asian environments and the relevant hazard dynamics. Deserts are represented in work on Syria, Yemen and Central Asia whilst the two dryland chapters stress common hazard patterns and exposure that are specific to arid zones. As an example, Pakistan shows how agricultural heartlands can also be part of extensive drylands nourished by water from mountain sources. The same water that brings fecundity can also wreak great damage through flooding. The source for the Indus in Pakistan and Ganges in India are in the Himalayas, making changes to mountain climates a key hazard risk. Of particular concern in a warming world are rates of glacial melt which directly affect water resources for hundreds of millions of farmers in South Asia. The greater Himalayan alpine region also provides the source water for the Yangtse, Yellow, Mekong and Brahmaputra rivers. Typhoon Morakot in Taiwan and Typhoon Haiyan in the Philippines identify how coastal regions are particularly vulnerable to storm activity and resulting community exposure.

The multiple perspectives covered in the book explore the socio-economic centrality of hazard mitigation. Perhaps it should be no surprise that Taiwan, with the highest GDP of countries represented herein, has provided the most effective example of hazard mitigation in the case studies. The corollary finds impoverished states, such as Yemen and Pakistan, vulnerable to climate forces that exacerbate endemic social challenges. China provides another approach to hazard management that responds to natural events whilst also exacerbating local exposure. This suggests that over-management can be detrimental as poor management is damaging. Appraisal chapters show how, on diverse issues from health to exposure to drylands, mitigation capacity depends on several inter-linked factors. For instance, drawing together landscapes and human action, we see that dust in Central Asia was directly linked to centralised economic planning that encouraged agriculture despite great downstream ecological damage that turned a flood region into a major dust source.

The nuanced role of climate hazards is often missed amongst dramatic event headlines, great human misery (migration, conflict, mortality), timespans and spatial scales as well as limited coverage or interest amongst international audiences to localised events. At the same time the immediacy of hazards focuses engagement on initiation and damage but seldom takes a longer-term perspective to understand the forces that contribute to disaster, or an assessment-over-time of how hazards impact society. A clear example is how the Hindu Kush-Himalayan chapter directly links changes in global warming with the mountain climate and

#### xvi Foreword

downstream agricultural systems. Thus as a warming temperature affects glacial melt, water supply and flood risk that threatens the future viability of farming in the Gangetic and Indus plains. Chapters on Syria and Yemen work backwards to show how past climate events reduced capacity to cope with crises. The resulting conflicts show how poor disaster management contributes to great destruction. Similarly, the conceivable risk of the Three Gorges Dam being breached in a flood would result in a catastrophic event.

The many threads of hazard implications place responsibility for mitigation strategy on national and local governments. Political leadership, effective policy and local action are key across Asia yet chapters reflect a reluctance of the state to engage and address root-and-stem causes and issues. The cost, time and attention may be one reason; another is the difficulty in bringing together multiple interests and perspectives to resolve challenges. In countries with low capacity, the international community often plays an additional role. Effective preparation is repeatedly stressed in global forums, such as the UN International Strategy for Disaster Reduction and the Hyogo Framework for Action 2005-2015. These well-intentioned approaches and documents offer guidelines to improve disaster engagement. However, the fact remains that countries, especially in the developing world, face several immediate challenges, such as food, health, education and security that take precedence over unpredictable disasters that may later become exponentially more damaging. We see this book as call to action for Asian leaders, researchers and civil society because neglecting climate disasters changes hazards into crises events. The first step is acknowledgement and awareness by all stakeholders. Then, effective methods to engage with disasters are needed. Finally, adequate mitigation mechanisms are essential to limiting damage. Avoiding hazards is unrealistic; however, basic response systems can keep disasters from spinning out control. Poor reaction to a disaster exacerbates a host of vulnerabilities and triggers a cascading set of further problems and misery. Throughout hazard evaluation we need to keep sight of their human costs in lives lost, livelihoods disrupted, homes and work destroyed and hope extinguished.

Often politicians and publics engage with research at a distance, yet in-depth investigation is key to identifying problems, breakpoints, systemic weaknesses and response failures. More positively, clear knowledge provides an excellent starting point for addressing hazards. Understanding climate variability and change; household, community and national exposure and potential mitigation strategies at several levels and learning from the experience of others offer ways to enhance and restructure climate hazard thinking, planning and response. The chapters in this book give insight into climate hazards across Asia. In such a vast continent, this work is an introduction and provides a way to grasp and understand the complexity, intensity and immediacy of hazards in our globalised world. In each chapter, we see the role of humans and their societies. Our challenge is to take this a step further – that once we better understand hazards to then engage and address hazard risk. Whilst the challenge is global, *Climate Hazard Crises in Asian Societies and Environments* provides an introduction to climate hazard crises in Asia.

### 1 An unstable, stable nation? Climate, water, migration and security in Syria from 2006–2011

Francesco Femia and Caitlin Werrell

#### Introduction

From 2006–2012 Syria experienced one of the worst extended droughts in its history. This drought, coupled with natural resource mismanagement, demographic dynamics and overgrazing in certain areas, contributed to a massive displacement of agricultural and pastoral peoples. Despite these dynamics and other existing underlying socio-political and economic grievances, key actors in the international community largely considered Syria to be a stable country relative to other nations in the Middle East and North Africa that experienced significant social unrest in the so-called Arab Uprisings (Butters 2011; Mann 2012). This chapter explores the climate and natural resource elements of Syria's state fragility, the phenomenon of governments across the international community misdiagnosing the probability of Syrian instability in 2011 and the possible pathways forward for the country and the international community in addressing these risks.

### The climate-water-natural resource management nexus in Syria from 2006–2012

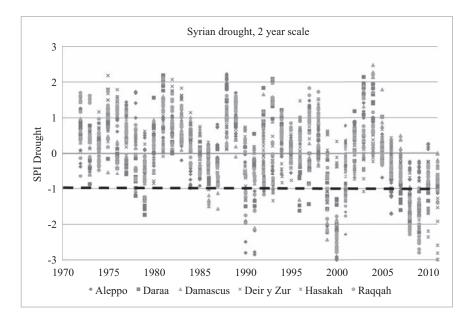
The factors that contributed to the popular uprisings in Syria in 2011 are very complex and remain little explored. As with all conflicts, a confluence of ultimate and proximate causal factors intersect, resulting in discontent turning to revolt, and governments either managing or suppressing that revolt, collapsing or something in between. In the case of Syria's popular revolt, which began most visibly in the southern rural town of Dara'a in March 2011 (PBS 2011), political, economic, ethnic, sectarian and religious grievances, as well as inspiration from uprisings in Tunisia and Egypt, have been offered as contributing factors to the collapse of security in the country.

Less attention has been paid, however, to significant agricultural, pastoral, environmental and climatic changes in Syria. Combined with the mismanagement of water and food resources by the al-Assad regime, which between 2007 and 2011, these changes converged to precipitate a severe humanitarian crisis. Despite UN reports highlighting the crisis, it was barely noticed by the international community, in part due to the Syrian government's attempts to prevent reporters from accessing internally displaced peoples (Worth 2010). This study represents an update of a previous study on the subject (Femia and Werrell 2012).

#### A climate-exacerbated drought on top of a drought

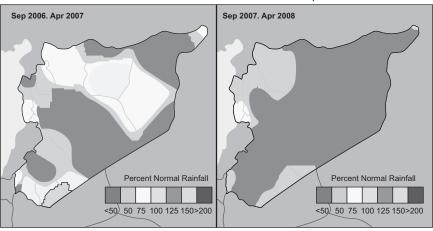
From 2006 to 2012, Syria experienced one of the worst long-term droughts and most severe set of crop failures and livestock devastation in its history of records, with the period from 2009–2012 registering as the most extreme drought conditions across a number of regions (Werrell et al. 2015, p. 31). From 2007 to 2008, the severe drought affected 97.1 per cent of Syria's vegetation (Figure 1.1) (Wadid et al. 2011, p. 11). This drought also followed on the heels of another of Syria's most severe droughts in modern history, which took place from 1999–2000, and affected 329,000 people (Werrell et al. 2015, p. 31).

Recent evidence suggests that the probability of such a severe-to-extreme drought period from 2006–2012 increased as a result of anthropogenic climate change. A study by Hoerling et al. (2012) found strong evidence that winter precipitation decline in the Mediterranean littoral and the Middle East from 1971 to 2010 was likely due to climate change, with the region experiencing nearly all of its driest winters since 1902 in the past 20 years (Figure 1.2) – a problematic phenomenon given that the region receives most of its annual rainfall in the



*Figure 1.1* Drought, two-year scale, Syria from 1970 to 2011. Calculated by Standard Precipitation Index (SPI), -1 or below signifies drought (-1 = moderate drought; -2 = extreme drought)

Source: Sternberg, unpublished data. For SPI calculation see Sternberg (2012)



SYRIA: Seasonal Percent of Normal Rainfall Comparison

Figure 1.2 Syria: Seasonal per cent of normal rainfall, comparison 2006–2008 (USDA 2008)

Source: USDA Foreign Agricultural Service (FAS. 2008. SYRIA: Wheat production in 2008/09 declines owing to season-long drought http://www.fas.usda.gov/highlights/2008/05/syria\_may2008.htm)

winter. This trend of precipitation decline can also be seen quite clearly in the Standard Precipitation Index (Werrell et al. 2015, p. 31). The authors determined that half of this drying magnitude can be explained by anthropogenic greenhouse gas and aerosol forcing, as well as increases in sea surface temperature (Hoerling et al. 2012).

More recently, a study by Kelley et al. (2015) found that the extreme drought in Syria from (2007–2010) was two to three times more likely to be a result of anthropogenic rather than natural climatic changes (Kelley et al. 2015).

#### Natural resource mismanagement and desertification

The reasons behind the collapse of Syria's farmland and rangeland extend beyond the drought, and the climate change drivers that increased its likelihood. A complex interplay of variables, including natural resource mismanagement, demographic dynamics and overgrazing interacted with changing climatic conditions to enable that outcome (Femia and Werrell 2012).

First, poor governance by the al-Assad regime compounded the effects of the drought, which contributed to water shortages and land desertification. The al-Assad government, like many of its predecessors, heavily subsidised waterintensive wheat and cotton farming (more than 50 per cent of which was grown in the al-Hassakeh governorate (Matlock 2008), which was incidentally hardest hit by the drought). A focus on water-intensive crops, coupled with the widespread use of inefficient irrigation techniques, such as 'flood irrigation,' wherein nearly 60 per cent of water used is wasted, placed significant strains on Syria's water resources (IRIN 2010a). This dynamic stood in contrast to a number of other nations in the Middle East and North Africa, who, for example, imported most of their wheat. In fact, 9 out of the top 10 wheat importers in the world can be found in the Middle East and North Africa (Sternberg 2013, p. 13).

In the face of water shortages that flowed from water-intensive agricultural practices, the previous drought from 1999–2000 and population pressures, farmers sought to increase supply by turning to the country's groundwater resources. Syria's National Agricultural Policy Center reported a 63 per cent increase in wells tapping aquifers from 1999 to 2007 (Sticklor 2010). This pumping 'caused groundwater levels to plummet in many parts of the country, and raised significant concerns about the water quality in remaining groundwater stocks' (Sticklor 2010). This wheat and cotton production, coupled with the severe–extreme drought, significantly diminished the water table in the country.

On top of water resource mismanagement, the Food and Agriculture Organization (FAO) reported that the overgrazing of land, and a rapidly growing population, compounded the land desertification process in Syria (IRIN 2010b). In a study from 2014, De Châtel (2014) also determined that overgrazing in areas of Syria affected by drought may have been a key driver of desertification (De Châtel 2014).

Water resource management in neighbouring countries, particularly Turkey, may also have played a role in Syria's water insecurity during the decade prior to the uprising, though likely not as significant a role as the al-Assad government's own policies. In particular, from 1990–2010, average annual flows of the Euphrates River, as measured close to the Turkish border in Jarabulus, were significantly lower than the average annual flows from 1937–1990. This decline coincided with the 1992 completion of the Ataturk Dam on the Euphrates in southeastern Turkey (Gleick 2014, p. 5).

#### Internal mass displacement

This climate-drought-natural resource management nexus in Syria ultimately precipitated a significant and under-reported humanitarian disaster in the country from 2006–2011, prior to the outbreak of widespread popular revolt against the al-Assad government.

Of the most vulnerable Syrians dependent on agriculture, particularly in the northeast governorate of Hasakah, 75 per cent experienced total crop failure (Gleick 2014, p. 15). On average, pastoral peoples in the northeast of the country lost around 85 per cent of their livestock (Worth 2010). As of 2010, the combined impact on agricultural and pastoral lands affected at least 1.3 million people (Werrell et al. 2015, p. 32).

According to a report from *the New York Times*, the al-Assad government attempted to prevent international observers and journalists from accessing people affected by the collapse of farmland and rangeland (EM-DAT 2016). Nonetheless, from 2009 through 2011, some institutions of international agencies and non-governmental

organisations had begun to identify the humanitarian crisis unfolding in Syria. In 2009, both the United Nations and International Red Cross reported that over 800,000 Syrians had lost their livelihoods as a result of the agricultural and rangeland collapse (IRIN 2009). By 2011, it was estimated that one million Syrians had been left extremely 'food insecure' by the collapse (Wadid et al. 2011, p. 5). Another estimated that two to three million people had been driven into extreme poverty, approximately 9–13 per cent of the country's population (Worth 2010).

This loss of livelihoods led directly to a mass displacement of farmers, herders and agriculturally-dependent rural families, a majority of whom moved to urban areas in search of employment opportunities (Wadid et al. 2011, p. 8). One estimate suggested that between 1.5 and 2 million people had been displaced (Mohtadi 2012). In October 2010, the a UN estimate that 50,000 families migrated from rural areas just that year, following the hundreds of thousands of people that had migrated to urban areas during the previous years of the drought (Worth 2010). In January 2011, it was reported that crop failures just in the farming villages around the city of Aleppo drove roughly '200,000 people from rural communities into the cities' (Nabhan 2010). This occurred while Syrian cities were already coping with influxes of Iraqi refugees since 2003, as well as a steady stream of refugees from Palestinian territory (UNHCR 2010). Crumbling urban infrastructure, a phenomenon that preceded the drought, combined with these population pressures and contributed to a significant decline in per capita water availability in urban areas (IRIN 2010a).

## Interaction of natural resource stress and socio-political dynamics

The stresses that flowed from drought conditions, as well as water and land mismanagement by the al-Assad regime, existed in the context of a range of sociopolitical grievances among non-Alawite Arab and Kurdish populations in rural areas of Syria, particularly in the north and south. For example, anecdotal evidence suggests that well-drilling contracts were often awarded by the al-Assad government on sectarian grounds, favouring Alawite and other Shiite populations over others ("Anonymous" 2013).

The rural farming town of, the focal point for protests in the early stages of the opposition movement in 2011, was home to all of the stresses detailed above. Dara'a had been significantly affected by five years of drought and water scarcity (PBS 2011). The town also hosted a population that had been largely ignored by the al-Assad government, not least due to sectarian differences (Paralleli 2011).

Recent research has also made the case that discontent among a number of tribal populations displaced by the drought, a dramatically declining water table and underdevelopment played an important role in tribal uprisings, despite attention being paid primarily to sectarian drivers of unrest (Dukhan 2014). According to Syrian researcher Haian Dukhan:

the collapse of the rural economy of tribal communities in the south and east of Syria during Bashar al-Assad's regime due to drought, lack of development

#### 6 Francesco Femia and Caitlin Werrell

projects and the mismanagement of al-Badia resources ignited the Syrian uprising to start in tribal regions.

Lastly, anonymous, unpublished interviews with tribal peoples who were displaced by the drought, and migrated to suburbs of Damascus and Homs, describe evidence of social tensions. This includes tensions between tribespersons from Hassakeh (particularly the Jabbour and Tay tribes) and residents of the Hajr Aswad suburb of Damascus, as well as sectarian tensions between displaced members of the Fwaira and Nu'im tribes and Alawite residents of the Baba Amr suburb in Homs (Dukhan 2015).

Though the lines of causality remain difficult to disentangle, it is reasonable to suggest that the combination of climate, drought, natural resource mismanagement, internal mass displacement of farmers and herders and sectarian grievances in Syria, coupled with knowledge of the recent revolutions in Tunisia and Egypt, played a role in fraying the social contract between a range of rural and urban populations in the country and the seemingly 'stable' al-Assad government (Shadid 2011).

#### Misdiagnosed: Syria's unstable stability

Despite the climate, water and food insecurities in Syria detailed earlier, and despite the mass displacement of people that followed, key actors in the international community, including the US government, seemed largely surprised by the Syrian uprisings that began in 2011. For example, the US Deputy Secretary of State during the initial wave of the Arab Uprisings, James Steinberg, was very clear about the fact that no one in a position of authority within the US foreign policy infrastructure considered Syria to be a likely candidate for significant political unrest. In an interview, Steinberg highlights the fact that Syria sat at the bottom of an Administration list of Middle Eastern and North African nations that were 'at risk of large-scale political turmoil' (Mann 2012, p. 270). Given that such a list was likely compiled using analysis from key departments and agencies in the US government, including the intelligence community, it can be reasonably surmised that predictive tools being utilised at the time by US government analysts were missing some important elements:

The Obama administration began trying to figure out which country would come next. Administration officials hurriedly made a list of which countries in the Middle East were most at risk of large-scale political turmoil, and which were least at risk. That list turned out to be wrong in many cases. At the top of the list were Yemen and Jordan, the countries where political unrest seemed likely. In the middle tier were Libya, Bahrain and Oman, all countries where it appeared possible. At the bottom were the nations where any widespread demonstrations for democracy were judged to be improbable: Saudi Arabia and Syria. 'No one was focused on Syria, because it seemed far less likely than other states in the region,' said James Steinberg a few months later.

(Mann 2012, p. 270)

Analysts in the public sphere also seemed to have not seen the Syrian uprising coming. During the progress of the uprisings in Tunisia and Egypt, few (if any) reporters in English language media suggested a high probability of a Syrian uprising that could threaten the al-Assad regime. On Feb 4, 2011, the eve of political turmoil in the country, *TIME* magazine's Andrew Lee Butters, who had recently witnessed political protests in Damascus, determined that a government's alliance with the United States was a key indicator of a fragile government, and that Syria did not meet that criteria. As he stated, less than a month before the Syrian government affected a violent clampdown of political unrest in Dara'a:

demonstrations in Syria are unlikely to pick up anywhere near enough momentum to seriously threaten the regime of President Bashar al-Assad. The reason is simple: Syria, unlike Egypt, Yemen and Jordan, isn't allied with the United States.

(Butters 2011)

Publicly-available measurements of state fragility and climate vulnerability also seemed to have missed deteriorating social, environmental and natural resource conditions in Syria during the five years prior to the uprisings. A study published Werrell et al. (2015) demonstrated that two popular indices used to measure state fragility and climate vulnerability (the Fragile States Index and the Notre Dame Global Adaptation Index, respectively), both seemed to miss a deteriorating trend in the nation's fragility and climate vulnerability (Werrell et al. 2015). In fact, the indices detected an improving trend in both measurements from 2006–2010 (Werrell et al. 2015, pp. 38–39). It is not until 2011, after Syria had already plunged into large-scale political unrest, that the indices detected a deteriorating trend (Werrell et al. 2015, pp. 38–39).

Furthermore, intelligence analyst Margolis (2012) found that from 2007–2010, four respected indices measuring state instability (the Failed States Index, the State Fragility Index, the Economist Intelligence Unit and the Index of State Weakness) presented a picture of improving stability in the country, with Syria ranking as more stable than 48, 75, 94 and 59 other countries in the world, respectively (Margolis 2012).

Additionally, a number of confidential sources within the US government noted that after the uprisings in Tunisia and Egypt, a majority of analysts from across the US intelligence, foreign policy and defense communities had determined that the al-Assad regime was very stable relative to most other nations in the region, and that water insecurity, food insecurity and population displacement dynamics on the sub-national level were not deemed significant enough to increase the likelihood of large-scale political revolt. This latter assessment of the relatively low weight given to natural resource security variables within these predictive assessments was often cited as a 'norm' among regional analysts within the US government. Normally 'accurate' predictive tools not being particularly sensitive to natural resource dynamics were also cited on a number of occasions as one possible, though partial, explanation for this misdiagnosis ('Anonymous' 2013).