

EU FOREIGN POLICY AND THE EUROPEANIZATION OF NEUTRAL STATES

Comparing Irish and Austrian foreign policy





EU Foreign Policy and the Europeanization of Neutral States

This book examines the effects of the Common Foreign and Security Policy (CFSP) of the European Union (EU) on the national foreign policies of Ireland and Austria.

Small and neutral EU member states provide a fascinating case study as the CFSP entails a dilemma for them. Their size may create assumptions that they are more likely to adopt EU policy, yet the traditional position of neutrality may act contrary to Europeanization. By concentrating on this side of the reciprocal relationship between EU and national foreign policy, this book takes a new approach to investigate prospects for a common European foreign policy, and goes beyond an examination of changes in the national foreign policies of Ireland and Austria to provide an engaging explanation and understanding of Europeanization. Based on a comprehensive conceptual framework, this text investigates three dimensions of national foreign policy: the Europeanization of foreign policy-making, the Europeanization of foreign policy substance and effects on neutrality, to create an accessible and informed insight into the evolution of European cooperation in the field of foreign policy, and the impact on national foreign policy.

EU Foreign Policy and the Europeanization of Neutral States will be of interest to students and scholars of European Studies, International Relations and Foreign Policy.

Nicole Alecu de Flers is a political scientist from Vienna, Austria.

Routledge Advances in European Politics

1 Russian Messianism

Third Rome, revolution, Communism and after *Peter J.S. Duncan*

2 European Integration and the Postmodern Condition

Governance, democracy, identity Peter van Ham

3 Nationalism in Italian Politics

The stories of the Northern League, 1980–2000 Damian Tambini

4 International Intervention in the Balkans since 1995

Edited by Peter Siani-Davies

5 Widening the European Union

The politics of institutional change and reform *Edited by Bernard Steunenberg*

6 Institutional Challenges in the European Union

Edited by Madeleine Hosli, Adrian van Deemen and Mika Widgrén

7 Europe Unbound

Enlarging and reshaping the boundaries of the European Union *Edited by Jan Zielonka*

8 Ethnic Cleansing in the Balkans

Nationalism and the destruction of tradition *Cathie Carmichael*

9 Democracy and Enlargement in Post-Communist Europe

The democratisation of the general public in fifteen Central and Eastern European countries, 1991–1998 *Christian W. Haerpfer*

10 Private Sector Involvement in the Euro

The power of ideas Stefan Collignon and Daniela Schwarzer

11 Europe

A Nietzschean perspective *Stefan Elbe*

12 European Union and E-Voting

Addressing the European Parliament's internet voting challenge *Edited by Alexander H. Trechsel and Fernando Mendez*

13 European Union Council Presidencies

A comparative perspective *Edited by Ole Elgström*

14 European Governance and Supranational Institutions

Making states comply *Jonas Tallberg*

15 European Union, NATO and Russia

Martin Smith and Graham Timmins

16 Business, The State and Economic Policy

The case of Italy *G. Grant Amyot*

17 Europeanization and Transnational States

Comparing Nordic central governments

Bengt Jacobsson, Per Lægreid and Ove K. Pedersen

18 European Union Enlargement

A comparative history

Edited by Wolfram Kaiser and Jürgen Elvert

19 Gibraltar

British or Spanish? *Peter Gold*

20 Gendering Spanish Democracy

Monica Threlfall, Christine Cousins and Celia Valiente

21 European Union Negotiations

Processes, networks and negotiations Edited by Ole Elgström and Christer Jönsson

22 Evaluating Euro-Mediterranean Relations

Stephen C. Calleya

23 The Changing Face of European Identity

A seven-nation study of (supra)national attachments Edited by Richard Robyn

24 Governing Europe

Discourse, governmentality and European integration William Walters and Jens Henrik Haahr

25 Territory and Terror

Conflicting nationalisms in the Basque country Jan Mansyelt Beck

26 Multilateralism, German Foreign Policy and Central Europe

Claus Hofhansel

27 Popular Protest in East Germany

Gareth Dale

28 Germany's Foreign Policy Towards Poland and the Czech Republic

Ostpolitik revisited

Karl Cordell and Stefan Wolff

29 Kosovo

The politics of identity and space Denisa Kostovicova

30 The Politics of European Union Enlargement

Theoretical approaches

Edited by Frank Schimmelfennig and Ulrich Sedelmeier

31 Europeanizing Social Democracy?

The rise of the party of European socialists *Simon Lightfoot*

32 Conflict and Change in EU Budgetary Politics

Johannes Lindner

33 Gibraltar, Identity and Empire

E.G. Archer

34 Governance Stories

Mark Bevir and R.A.W Rhodes

35 Britain and the Balkans

1991 until the present *Carole Hodge*

36 The Eastern Enlargement of the European Union

John O'Brennan

37 Values and Principles in European Union Foreign Policy

Edited by Sonia Lucarelli and Ian Manners

38 European Union and the Making of a Wider Northern Europe

Pami Aalto

39 Democracy in the European Union

Towards the emergence of a public sphere Edited by Liana Giorgi, Ingmar Von Homeyer and Wayne Parsons

40 European Union Peacebuilding and Policing

Michael Merlingen with Rasa Ostrauskaite

41 The Conservative Party and European Integration since 1945

At the heart of Europe?

N.J. Crowson

42 E-Government in Europe

Re-booting the state

Edited by Paul G. Nixon and Vassiliki N. Koutrakou

43 EU Foreign and Interior Policies

Cross-pillar politics and the social construction of sovereignty *Stephan Stetter*

44 Policy Transfer in European Union Governance

Regulating the utilities

Simon Bulmer, David Dolowitz, Peter Humphreys and Stephen Padgett

45 The Europeanization of National Political Parties

Power and organizational adaptation

Edited by Thomas Poguntke, Nicholas Aylott, Elisabeth Carter,

Robert Ladrech and Kurt Richard Luther

46 Citizenship in Nordic Welfare States

Dynamics of choice, duties and participation in a changing Europe Edited by Bjørn Hvinden and Håkan Johansson

47 National Parliaments within the Enlarged European Union

From victims of integration to competitive actors? *Edited by John O'Brennan and Tapio Raunio*

Battea by botti o Brettian and Tapto Raumo

48 Britain, Ireland and Northern Ireland since 1980

The totality of relationships

Eamonn O'Kane

49 The EU and the European Security Strategy

Forging a Global Europe

Edited by Sven Biscop and Jan Joel Andersson

50 European Security and Defence Policy

An implementation perspective

Edited by Michael Merlingen and Rasa Ostrauskaitė

51 Women and British Party Politics

Descriptive, substantive and symbolic representation

Sarah Childs

52 The Selection of Ministers in Europe

Hiring and firing

Edited by Keith Dowding and Patrick Dumont

53 Energy Security

Europe's new foreign policy challenge

Richard Youngs

54 Institutional Challenges in Post-Constitutional Europe

Governing change

Edited by Catherine Moury and Luís de Sousa

55 The Struggle for the European Constitution

A past and future history

Michael O'Neill

56 Transnational Labour Solidarity

Mechanisms of commitment to cooperation within the European

trade union movement

Katarzyna Gajewska

57 The Illusion of Accountability in the European Union

Edited by Sverker Gustavsson, Christer Karlsson, and Thomas Persson

58 The European Union and Global Social Change

A critical geopolitical-economic analysis József Böröcz

59 Citizenship and Collective Identity in Europe

Ireneusz Pawel Karolewski

60 EU Enlargement and Socialization

Turkey and Cyprus Stefan Engert

61 The Politics of EU Accession

Turkish challenges and central European experiences Edited by Lucie Tunkrová and Pavel Šaradín

62 The Political History of European Integration

The hypocrisy of democracy-through-market *Hagen Schulz-Forberg and Bo Stråth*

63 The Spatialities of Europeanization

Power, governance and territory in Europe *Alun Jones and Julian Clark*

64 European Union Sanctions and Foreign Policy

When and why do they work? *Clara Portela*

65 The EU's Role in World Politics

A retreat from Liberal internationalism *Richard Youngs*

66 Social Democracy and European Integration

The politics of preference formation *Edited by Dionyssis Dimitrakopoulos*

67 The EU Presence in International Organizations

Edited by Spyros Blavoukos & Dimitris Bourantonis

68 Sustainability in European Environmental Policy

Challenge of governance and knowledge

Edited by Rob Atkinson, Georgios Terizakis and Karsten Zimmermann

69 Fifty Years of EU-Turkey Relations

A Sisyphean story *Edited by Armagan Emre Çakir*

70 Europeanization and Foreign Policy

State diversity in Finland and Britain Juha Jokela

71 EU Foreign Policy and Post-Soviet Conflicts

Stealth intervention

Nicu Popescu

72 Switzerland in Europe

Continuity and change in the Swiss political economy *Edited by Christine Trampusch and André Mach*

73 The Political Economy of Noncompliance

Adjusting to the single European market *Scott Nicholas Siegel*

74 National and European Foreign Policy

Towards Europeanization

Edited by Reuben Wong and Christopher Hill

75 The European Union Diplomatic Service

Ideas, preferences and identities *Caterina Carta*

76 Poland within the European Union

New awkward partner or new heart of Europe? *Aleks Szczerbiak*

77 A Political Theory of Identity in European Integration

Memory and policies *Catherine Guisan*

78 EU Foreign Policy and the Europeanization of Neutral States

Comparing Irish and Austrian foreign policy *Nicole Alecu de Flers*

EU Foreign Policy and the Europeanization of Neutral States

Comparing Irish and Austrian foreign policy

Nicole Alecu de Flers



First published 2012 by Routledge 2 Park Square, Milton Park, Abingdon, Oxon, OX14 4RN

Simultaneously published in the USA and Canada by Routledge 711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 2012 Nicole Alecu de Flers

The right of Nicole Alecu de Flers to be identified as author of this work has been asserted by her in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing in Publication Data
A catalogue record for this book is available from the British Library

Library of Congress Cataloging-in-Publication Data

Alecu de Flers, Nicole.

EU foreign policy and the Europeanization of neutral states : comparing Irish and Austrian foreign policy / Nicole Alecu de Flers.

p. cm.—(Routledge advances in European politics; 78) Includes bibliographical references and index.

- 1. Ireland-Foreign relations. 2. Austria-Foreign relations.
- 3. Neutrality-Ireland. 4. Neutrality-Austria.
- 5. European Union–Ireland.
- 6. European Union-Austria. I. Title.
- JZ1578.A54 2011
- 341.242'2—dc23
- 2011018289

ISBN: 978-0-415-57846-2 (hbk) ISBN: 978-0-203-23511-9 (ebk)

Typeset in Times New Roman

by Swales & Willis Ltd, Exeter, Devon

Contents

	List of figures and tables	xii
	Acknowledgements	xiv
	List of abbreviations	XV
1	Introduction and state of the art in the field	1
2	Conceptual and empirical context	8
3	Background of Irish and Austrian foreign policy	29
4	Ireland: Europeanization of foreign policy-making	36
5	Ireland: Europeanization of foreign policy substance	46
6	Ireland: effects on approaches to neutrality	63
7	Austria: Europeanization of foreign policy-making	69
8	Austria: Europeanization of foreign policy substance	78
9	Austria: effects on approaches to neutrality	94
10	Comparison of the findings and overall assessment	100
11	Conclusions	124
	Appendix	128
	Notes	129
	Bibliography	144
	Index	164

Figures and tables

-		
ΗТ	ALI.	MAG
١,	gu	16.

2.1	Vertical Europeanization of national foreign policies	8
2.2	Horizontal Europeanization of national foreign policies	Ģ
2.3	Recorded votes in the UNGA with EC/EU consensus,	
	1989–2009 (in %)	27
4.1	Structure of the Irish foreign ministry	37
4.2	Staffing of the Irish foreign ministry at the diplomatic	
	level, 1967–2007	38
4.3	Detailed structure of the Political Division in the Irish	
	foreign ministry	39
4.4	Number of Irish diplomatic missions and embassies,	
	1975–2010	41
5.1	Distance from the EC/EU majority in the UNGA	
	1979–2009: all resolutions	52
5.2	Distance from the EC/EU majority in the UNGA	
	1979–2009: issues of international security and	
	disarmament questions	53
5.3	Distance from the EC/EU majority in the UNGA	
	1979–93: questions of Southern Africa	56
5.4	Distance from the EU majority in the UNGA	
	1995–2002: questions of decolonization	56
5.5	Distance from the EC/EU majority in the UNGA	
	1979–2009: Middle East questions	58
7.1	Structure of the Austrian foreign ministry	70
7.2	Staffing of the Austrian foreign ministry at the diplomatic	
	level, 1980–2008	71
7.3	Structure of the Section II. Political Affairs in the	
	Austrian foreign ministry	72
7.4	Number of Austrian diplomatic missions and embassies,	
	1980–2010	74
8.1	Distance from the EC/EU majority in the UNGA	
	1979–2009: all resolutions	84

	Figu	res ana tables	X111
8.3	Distance from the EC/EU majority in the UNGA		
	1979–2009: issues of international security and		
	disarmament questions		85
8.3	Distance from the EC/EU majority in the UNGA		
	1979–93: questions of Southern Africa		87
8.4	Distance from the EU majority in the UNGA		
	1995–2002: questions of decolonization		87
8.5	Distance from the EC/EU majority in the UNGA		
	1979–2009: Middle East questions		88
10.1	Number of Irish and Austrian diplomatic missions and		
	embassies, 1980–2010		101
10.2	Distance from the EC/EU majority in the UNGA		
	1979–2009: issues of international security and		
	disarmament questions		105
10.3	Distance from the EC majority in the UNGA 1979–91:		
	questions of Southern Africa		105
10.4	Distance from the EC/EU majority in the UNGA		
	1979–95: Middle East questions		106
10.5	Public opinion on neutrality in Ireland, 1991–2001		120
10.6	Public opinion on neutrality in Austria, 1992–2007		120
Tabl	es		
10.1	Oramian of the Franciscian of foreign		
10.1	Overview of the Europeanization of foreign		102
10.2	policy-making		102
10.2	Overview of the Europeanization of foreign policy		107
10.2	substance		107
10.3	Overview of effects on approaches to neutrality		109
A1	Number of recorded votes in the UN General Assembly	у,	120
	1979–2009 (overall and according to topic)		128

Acknowledgements

This book would have been a lot more difficult to write had it not been for the support from many individuals and institutions. I gratefully acknowledge the support of the VolkswagenStiftung for a generous grant within the framework of the 'European Foreign and Security Policy Studies Programme'. Professor Helmut Kramer and Professor Otmar Höll deserve special thanks for their support and useful comments, as does Dr Paul Luif for providing valuable information and help with regard to the data on the voting of the EU member states in the General Assembly of the UN. Many thanks also go to the Department of Political Science at the Institute for Advanced Studies in Vienna as well as to the Institute for European Integration Research of the Austrian Academy of Sciences and to my colleagues at these two institutions for their intellectual input and support. The Dublin European Institute at the University College Dublin was so kind to host me during my research sojourn in August/September 2005; thanks to Professor Ben Tonra for making this possible. I also thank all the diplomats and experts in Vienna, Dublin and Brussels for taking the time to talk to me and providing me with new insights. Last but not least, my friends and family have helped me in all kinds of respects. Thank you for that!

Some of the results of Chapters 3, 5.2, 6 and 9 have previously been published in: Alecu de Flers, N. (2009) 'A "militarisation" of the EU? The EU as a global actor and neutral member states', in F. Laursen (ed.) *The EU as a Foreign and Security Policy Actor*. Republic of Letters Publishing has kindly given me permission to include them in this book. Of course, I alone am responsible for the views expressed and for any errors.

Abbreviations

APB Austrian Foreign Policy Yearbook (Außenpolitischer Bericht)

Art. Article

ASEAN Association of Southeast Asian Nations

BGBl. Bundesgesetzblatt

BZÖ Alliance for the Future of Austria (Bündnis Zukunft

Österreich)

CAP Common Agricultural Policy

CCW United Nations Convention on Certain Conventional

Weapons

CD United Nations Conference on Disarmament

CDE Conference on Confidence- and Security-Building Measures

and Disarmament in Europe

CFSP Common Foreign and Security Policy

CIVCOM committee for the civilian aspects of crisis management

COREU Correspondance Européenne

CSCE Conference on Security and Co-operation in Europe

CSDP Common Security and Defence Policy

CSIPS Comprehensive System of International Peace and Security CTBTO Comprehensive Nuclear-Test-Ban Treaty Organization

DFA Department of Foreign Affairs (of Ireland)

DRC/DR Congo Democratic Republic of Congo

DTIB Defence Industrial and Technological Base

EAPC Euro-Atlantic Partnership Council

EC European Community

ECMM European Community Monitoring Mission ECSC European Coal and Steel Community

EDA European Defence Agency
EDC European Defence Community
EEC European Economic Community
EFTA European Free Trade Association
e.g. for example (exempli gratia)
EPC European Political Cooperation
ERRF European Rapid Reaction Force

xvi Abbreviations

ESDP European Security and Defence Policy

esp. especially

ESS European Security Strategy

etc. et cetera

ETISC East Timor Ireland Solidarity Campaign

EU European Union
EUFOR European Union Force

EUMC European Union Military Committee

EUMS Military Staff of the EU

Euratom European Atomic Energy Community

f. and the following page

FAO Food and Agriculture Organization of the United Nations

ff. and the following pages

Fn. Footnote

FMEIA Federal Ministry for European and International Affairs (of

Austria)

FPÖ Austrian Freedom Party (Freiheitliche Partei Österreichs)

FRG Federal Republic of Germany

FYROM Former Yugoslav Republic of Macedonia GATT General Agreement on Tariffs and Trade

GDP gross domestic product

IAEA International Atomic Energy Agency
ICBL International Campaign to Ban Landmines

i.e. that is (*id est*)

IGC Intergovernmental Conference
IMAS Austrian Market Research Institute
INTERFET International Force for East Timor

IR International Relations IRA Irish Republican Army

ISDP International Security and Defence Policy (branch in the Irish

Department of Defence)

LIF Liberal Forum (Liberales Forum)
MRBI Market Research Bureau of Ireland

MS member state(s) n/a not available

NATO North Atlantic Treaty Organization NGO non-governmental organization NNA Neutral and Non-Aligned Nations NPT Nuclear Non-Proliferation Treaty

OECD Organisation for Economic Co-operation and Development
OPCW Organisation for the Prohibition of Chemical Weapons
OPEC Organization of the Petroleum Exporting Countries
OSCE Organization for Security and Co-operation in Europe
ÖVP Austrian People's Party (Österreichische Volkspartei)

p. page

PC Political Committee
PfP Partnership for Peace
PMG Politico-Military Group

PSC Political and Security Committee

QMV qualified majority voting

RD Congo République Démocratique du Congo

RTÉ Raidió Teilifís Éireann SEA Single European Act

SPÖ Social Democratic Party of Austria (Sozialistische/Sozial-

demokratische Partei Österreichs)

TEU Treaty on European Union

TEU-A Treaty on European Union agreed at the Amsterdam

European Council

TEU-L Treaty on European Union agreed at the Lisbon European

Council

TEU-M Treaty on European Union agreed at the Maastricht European

Council

TEU-N Treaty on European Union agreed at the Nice European

Council

TFEU Treaty on the Functioning of the European Union

UK United Kingdom UN United Nations

UNEP United Nations Environment Programme

UNESCO United Nations Educational, Scientific and Cultural

Organization

UNGA United Nations General Assembly

UN-HABITAT United Nations Human Settlements Programme
UNIDO United Nations Industrial Development Organization

UNITAF Unified Task Force

UNOSOM I United Nations Operation in Somalia I
UNOSOM II United Nations Operation in Somalia II
UNSC United Nations Security Council

UNTAET United Nations Transitional Administration in East Timor

US/USA United States (of America)

USSR Union of Soviet Socialist Republics

WEU Western European Union WTO World Trade Organization

1 Introduction and state of the art in the field

1.1 Background and main hypotheses

The foreign policy of the European Union (EU) does not have a good reputation. The focus is usually on examples of when the member states of the EU did not speak with one unified European voice on the world stage, let alone act unanimously. One of the most striking examples of this occurred during the Iraq crisis in 2002–3 when the member states openly demonstrated their differences of opinion about the policy towards Iraq. Thus, rather pessimistic observers assume that the attempts to develop a common European foreign policy have not been very successful so far. However, foreign policy does not only concern the 'big questions' of war and peace and decisions in times of crises; foreign policy also consists of 'day-to-day business', which does not receive as much public attention, and an evolution towards a greater convergence of member states' positions can be observed if foreign policy is not reduced to 'high politics' (Alecu de Flers 2005a). If one takes a longer historical perspective it becomes clear that the success and failure of foreign policy cooperation in the EU should be viewed in a more differentiated way. There has been a considerable development of the procedures and specific instruments as well as the thematic scope of foreign policy cooperation among the member states since the European Political Cooperation (EPC) – the forerunner of the Common Foreign and Security Policy (CFSP) - was created in 1970.

Although at first the EPC was restricted to a few foreign policy issues, more and more areas have been opened up to the EPC/CFSP. At the same time the number of so-called 'domaines réservés', i.e. areas that were considered taboo for foreign policy cooperation because of the reservations of some member states, was reduced. It is especially noteworthy in this respect that although security policy as a highly sensitive core area of national sovereignty had been excluded from foreign policy cooperation for a long time, there have been intensive efforts to create a common European Security and Defence Policy (ESDP) since the end of 1998, which was relabelled as the Common Security and Defence Policy (CSDP) when the Lisbon Treaty entered into force on 1 December 2009. Although in comparison to the communitarized first pillar, i.e. the European Community (EC), the CFSP is of a mainly intergovernmental nature and the member states cannot