

# **THE POLITICAL DILEMMAS OF MILITARY REGIMES**

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Edited by  
Christopher Clapham and George Philip

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Volume 2

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MILITARY REGIMES

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**CHRISTOPHER CLAPHAM AND  
GEORGE PHILIP**

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# **The Political Dilemmas of Military Regimes**

**edited by Christopher Clapham  
and George Philip**



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## THE AUTHORS

J. 'Bayo Adekanye is Senior Lecturer in Government at the University of Ibadan, and in 1982-83 was Visiting Fellow at the Centre for the Study of Arms Control and International Security, University of Lancaster. He is the author of Nigeria in Search of a Stable Civil-Military System (1982), and of numerous articles on civil-military relations.

Christopher Clapham is Senior Lecturer and Head of the Department of Politics, University of Lancaster. He is the author of Haile-Selassie's Government (1969) and of numerous articles on Ethiopian politics, as well as Third World Politics (1984).

James Dunkerley works at the Latin America Bureau, London, and is an Honorary Research Fellow of the Institute of Latin American Studies, University of London. He is the author of The Long War : Dictatorship and Revolution in El Salvador (1982), and of Rebellion in the Veins : Political Struggle in Bolivia, 1952-82 (1984).

Barry Green researches in Indonesian politics at the University of Queensland, Australia.

Bener Karakartal took his first degree and doctorate in Paris, and taught at the University of Paris-X (Nanterre), before becoming Professor of Politics and International Relations at the University of Istanbul. He has written on political parties and military government in Turkey.

Guillermo Makin took his first and second degrees in political science at Universidad del Salvador, Buenos Aires, and is currently working on Argentine

Politics at Cambridge. He has published articles in International Affairs, Government & Opposition, and elsewhere.

George Philip is Lecturer at the London School of Economics and the Institute of Latin American Studies, University of London. He is the author of The Rise and Fall of the Peruvian Military Radicals 1968-76 (1978) and of Oil and Politics in Latin America (1982), and is currently writing a book on the military in Latin America.

Gowher Rizvi lectures in Imperial and Commonwealth History at the University of Warwick. He is the author of Linlithgow and India 1936-1943 (1978) and editor of Imperialism and Decolonization (1984), and is currently writing a history of the British Empire 1760-1960.

Ulf Sundhaussen teaches Asian politics at the University of Queensland, and in 1981-82 was Visiting Professor at the University of Saarbrücken. He is the author of The Road to Power : Indonesian Military Politics 1945-1967 (1982), and of many other studies of Indonesian politics.

Thanos Veremis received his doctorate in politics and history from Oxford, and is currently Lecturer in Modern Greek History at the Panteois School of Political Science, Athens. In 1983-84, he was a visiting scholar at the Center for European Studies, Harvard University.

Peter Woodward worked with V.S.O. in Sudan and taught at the University of Khartoum, before becoming Lecturer in Politics at the University of Reading. He has edited the memoirs of Sir James Robertson, Transition in Africa (1974), and written Condominium and Sudanese Nationalism (1979).

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## **THE POLITICAL DILEMMAS OF MILITARY REGIMES**



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## Chapter One

### THE POLITICAL DILEMMAS OF MILITARY REGIMES

Christopher Clapham and George Philip

#### THE PROBLEM OF MILITARY REGIME SUCCESSION

The basic problem about military regimes is one not of how they can gain power, but of what they can do with it. A military coup, like an election victory, installs a new government and helps to define a pattern of opposition and support which will constrict its political options. But as time goes by, the way in which a government gained power takes second place to the problem of how it is to keep it, and it is at this point that the most distinctive dilemmas of military regimes become apparent. Firstly, they must reconcile continuing control over the military with a measure of acceptance from civil society. Military regimes need not be popular, but they do need to command obedience on the basis of more than simple coercion; even though legitimacy (i.e. de jure acceptance from civil society) is not always necessary, a degree of political (and not just military) organisation certainly is. Secondly, they must devise some institutional structure, whether formally of a military or a non-military kind, through which this political settlement can be maintained. This book is about how they seek to resolve these dilemmas.

If these basic dilemmas are common to all military regimes, there are nonetheless important differences, stemming both from the kind of military involved and from the kind of civil society which it is governing. Military organisations derive from an enormous range of cultural and historical circumstances, and vary widely in internal structure, political outlook, and social composition. The regimes which they form may have very different relationships with their 'parent' militaries, stay in power for longer or shorter



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periods of time, and display sharply contrasting degrees of internal stability. Most disruptively of all, from the viewpoint of comparative political analysis, they swiftly become enmeshed in the ordinary business of government (economic management, international relations, the immediate need to cope with specific local crises and circumstances) and in the process come to look increasingly like national governments concerned with the problems of their own particular states, decreasingly like any general category of 'military regime'. It is therefore not too surprising that militaries exhibit for instance no distinctive uniformities in economic policy, save for a tendency to spend more on the army itself, or that there is quite a high level of variance in their international alliance pattern and ideological stance.<sup>(1)</sup> Soldiers are not inherently either conservative or radical. Military leaders may be old conformists from the top of the hierarchy, or young firebrands from the bottom. They may come from privileged or from disadvantaged sections of indigenous society, or even from outside the society which they govern altogether. They may be anti-Communist or anti-American, or simply forced into dependence on one external backer or another by the immediate demands of their own international or domestic political difficulties.

But these differences help to provide varying answers to what are fundamentally the same questions about the relationship between the military organisation and the world of politics. These questions often resolve themselves into a specific dilemma between alternative patterns of institutionalisation and demilitarisation. Institutionalisation involves the maintenance of the military leadership in power, coupled with attempts to entrench its position and broaden its support by seeking alliances with civilian political groups which are then subordinated to it. Demilitarisation conversely involves the withdrawal of the military from direct control of government, whether voluntarily in favour of some designated civilian successor, or involuntarily under varying degrees of pressure or compulsion. While these are in principle choices open to all military regimes, the constraints within which such choices have to be made, the success which they achieve, and especially the patterns of succession of one form of regime by another, make possible a comparative political analysis which goes beyond the simple codification

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of the attitudes of the regimes themselves.

The reason why these problems are constant, common to all militaries, is because no military regime, even in the simplest political system, is able to maintain an uncomplicated unity of military and political command, either by itself or in conjunction with the civil bureaucracy, in anything but the short term: a matter of some two or three years at most. This is not primarily the result of the military's supposed lack of 'legitimacy', a problem very easily exaggerated in weakly institutionalised political systems where there are few if any generally shared assumptions about the proper means of acquiring and exercising political power: and these are, after all, the political systems in which military intervention is in any event most likely to occur. It is much more the result of the straightforward problems of political management. The very short term does not usually create much difficulty. Often the army or some group within it takes over as the result of a crisis which may well lead to its intervention being greeted with relief. Even when it isn't, there is rarely any other source of organised force (apart from divisions within the armed forces themselves) which is immediately capable of resisting it. The problems arise during the subsequent period, during which the regime has to devise policies to cope with whatever crisis prompted its intervention, together with the further issues raised by the intervention itself, or thrown up in the ordinary course of events. Such policies inevitably arouse opposition and define support: a natural process no different for military rulers than for any other form of government. In the case of military governments, however, this process is almost certain to raise the question of the status of the regime itself, both within the military and in the wider political society, and to confront the regime with the need to formulate some kind of longer term political or constitutional programme.

In formulating such a programme, the military quickly comes up against the limitations imposed by its own values and structures; at the same time, the political analyst comes up against the corresponding problem of whether there are structures and values, common to all militaries, which can be used to provide a general rationale for the behaviour of military regimes. We would start by expressing a firm preference for structural over attitudinal considerations. Without committing

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ourselves to any general opinion on the relative importance of cultural as against institutional variables, there are two specific reasons for emphasising the structural element in this case. First, in the military more than in almost any other form of social organisation, deliberate attempts are made to inculcate values appropriate to the structures already established; values may therefore be seen as following from structures, rather than vice versa. These notably include the authoritarian attitudes derived from military hierarchy, the associated 'military virtues' of discipline, efficiency and esprit de corps, the core value of nationalism, and the shared attitudes which arise in particular military institutions from the physical proximity of its members and their isolation from the rest of society. Secondly, however, what is important is the extent to which these military values are inculcated, rather than any substantial variance in the values themselves. Even military structures cannot be relied upon to entrench military attitudes, and competing values may intrude from the social group identities and cultural characteristics of the society. If soldiers cannot be relied upon to hold the values commonly attributed to them, then such values readily turn into stereotypes which may be misleading as guides to actual behaviour.(2)

The structural characteristics of the military do however have some value in defining the political options which it is able to accept. The most important of these is its status as part of the permanent state bureaucracy, which provides it with a set of political and economic interests from which it is extremely difficult for any military regime to escape. The military maintains the state, and the state maintains the military. A military coup is, *prima facie*, the capture of control over the state by its own employees: that is why it is so easy. A military regime will from this viewpoint have interests in common with the civilian bureaucracy, and be inherently opposed to any measure which would threaten the state's control over the resources which it needs to maintain itself. A secondary though still important structural feature is the command hierarchy itself, which defines a mode of operation of an extremely constricting kind. It allows some scope for political discussion and compromise within the military leadership, usually confined to a peer group of officers of roughly similar rank, and some opportunity for co-opting

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other groups and interests to support the regime; but it allows little capacity for handling political organisation beyond a very limited level.

Despite the enormous variations between military regimes, therefore, they do face a common political problem: that of combining their need to preserve the interests derived from their position in the state apparatus, with the inherent limitations in their capacity for political organisation. This problem is equally evident in the experience of such widely differing regimes as those of Chile and Ethiopia, and is also common to former guerilla liberation armies, such as those of Algeria or Guine-Bissau, once these come to inherit state power. This lands them in dilemmas which are likewise characteristic and recurring, even though the precise forms which these take, and the practical options which are open, will vary from case to case.

### TERMS AND VARIABLES

In order to start working out some of the characteristic patterns of political settlement by military regimes, it is necessary to define three sets of variables. The first set consists of those key civil-military variables which provide the most important influences on the structure and nature of military regimes. The second set consists of military regime types, while the third consists of different forms of political outcome. Even though we do not subscribe to any rigidly causal view of comparative political explanation, we regard the key variables as providing powerful constraints which help to account for the type of regime, and subsequently to produce discernible patterns of regime succession, while allowing some measure of autonomous political choice.

#### The Key Civil-Military Variables

The main constituents of the set of key variables will be familiar to any student of civil-military relations, derived as they are from the structure of the military, the structure of civil society, and the nexus between the two. Moving from the most specific and military-related variables, to the more general and civil society-related ones, these are:

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The unity of the military command structure. The most basic distinction here is between armies that behave like armies (that is to say, according to an official stereotype of discipline, hierarchy and established procedures) and ones that do not. This may broadly be associated with a geographical continuum stretching from the 'professionalised' militaries of South America and Europe (including Turkey) at one end, through those of the Middle East, Central America and South-East Asia, to institutionally weak African militaries at the other. This should not be taken to imply any geographical causation, and takes no account of wide variations within each zone, such as those produced in Portugal by the effects of conscription and colonial war. Low unity is indicated by the prevalence of coups and coup attempts by junior officers and other ranks, and by intra-military killings, as in Nigeria (1966), Ethiopia (1974-78), and Bangladesh (1980); it may also, paradoxically, be a feature of highly personalist long-term dictatorships, such as that of Somoza in Nicaragua. High unity is conversely indicated by the absence of these phenomena even in military regimes subjected to high political stress, such as Chile since 1973 and Turkey since 1980. The ability of military regimes to change leaders without bloodshed under high political stress, as in Argentina (1982), is evidence of high unity rather than low, and helps to indicate the way in which unity constrains the leaders of military regimes, as well as the lower ranks.

Differentiation of the military from civil society. This criterion concerns the permeability of the boundary between the military and civilian politics, and is particularly important in cases where the military seeks to play the role of arbiter between contesting civilian political groups, or to withdraw intact from government in favour of a civilian regime. It does not correspond nearly as closely as the 'unity' criterion to any general level of 'development', but may be influenced both by the structure and experience of the military, and by general social factors. Professional armies will tend to be more differentiated than conscript ones, and deliberate measures may be taken to increase differentiation through the introduction of military schools and higher education colleges, and the inculcation of a distinctly military ideology. While foreign training missions may thus increase

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differentiation, as in South America in the early twentieth century, extensive external dependence is likely to reduce it, especially (as in Iran or Ethiopia) by raising doubts both in the army and outside about its relationship to the core value of nationalism; analogous problems may be one legacy of military defeat, as in the Egyptian army after 1948 or the Portuguese in the 1970s. Ethnic and regional divisions provide an obvious threat to differentiation, especially once immersion in politics leads soldiers to be regarded as spokesmen for their own local interests. Class divisions on the other hand are much less of a threat, and it is possible as in South America for military regimes to defend established class interests without becoming permeated by their civilian supporters. Indeed, in a more general way, differentiation may only be possible in a country large and developed enough to sustain a substantial professional middle class.

Level of perceived threat from civil society. This is an explicitly subjective variable, but nonetheless a very important one in determining the military's relationship with civilian political groups. At its most intense, a sense of threat may provoke measures of extreme repression, while threat at a lower level influences both the military's capacity to withdraw from office and its need to select and influence a successor regime. Threat is a reflection of two elements. The first is the military's awareness of values or interests which it regards as central to its own position; these may be associated with nationalism (especially when the army represents a core governing group opposed by centrifugal forces), with class interests, or with the internal unity and differentiation of the military itself. The second is its estimate of the strength of autonomous political organisations whose goals clash with these interests. In a rough and ready way, then, threat expresses the military's felt need for political involvement, and indicates the way in which, and level at which, involvement will take place.

Level of autonomous political organisation. Autonomous political organisations are ones which are capable of maintaining themselves over time without direct access to the coercive and distributive powers of the state, and if need be in opposition to them. The level of autonomy reflects both the scope of such organisations, in terms of

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the range and number of the people whom they incorporate, and their intensity, in terms of organisational effectiveness and membership commitment. This variable derives entirely from civil society, but tends to vary in line with 'development' criteria in the same way as military unity, while differentiation and threat, being derived from the relationship between civil and military, do not. Autonomous political organisation nonetheless strongly influences the possibility of combining civil and military elements within a single regime, as most clearly instanced by the difference between Argentina, where autonomous political organisations inhibit the formation of civil-military coalitions, and Brazil, where the comparative weakness of such organisations facilitates them.

These variables naturally shift over time in any given case, and are inter-related with one another. Most obviously, unity affects differentiation, and autonomy affects threat. Since we are not in any event concerned to develop a causal or mechanistic model of military regime succession, this does not worry us. Our concern, rather, is to develop criteria which will be helpful in organising and appraising alternative patterns of relationship between military regimes and their civilian allies, rivals or successors.

### Types of Military Regime

Our second group of variables then consist of military regime types, being on the whole derived, with some modification, from Huntington's well-known categories.(3) It is not necessary to specify types of regime for every possible combination of our four initial variables, partly because these are interrelated as already noted, partly because some combinations do not commonly occur, or where they do occur (e.g. high unity, differentiation and autonomy, low threat) do not give rise to military regimes. The types which we feel it useful to distinguish are the following:

Veto Regimes. These correspond closely to Huntington's category, and are characterised by high unity, fairly high differentiation (though allowing for association between the military and privileged or centralist political groups), high threat, and

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medium or high autonomy. This is, of course, a recipe for military regimes of the most systematically repressive kind, as in Chile and Argentina, since it pits the military directly against strongly organised civilian political structures. Where, as in Turkey perhaps, the military is more differentiated (in the sense of not being inherently committed to either right-wing or left-wing political parties), and the perceived threat against which the military decided to intervene is one to civil society rather than to the military as such, the degree of repression is unlikely to be so intense, and the regime comes closer to the moderator type.

Moderator Regimes. These most closely correspond to Huntington's 'guardian' type, and are characterised by fairly high (though variable) unity and differentiation, combined with fairly low threat and moderate autonomy. Classically, this is the case of the professional military which feels itself obliged to 'step in, to sort out the mess' created by factious politicians, and after a period of 'corrective government' to hand over to a cleaned up civilian political system. Moderator regimes may be found in societies at varying levels of social and economic development, and be drawn both from the small ex-colonial armies of West Africa considered in Adekanye's chapter, and from the much larger and more institutionalised armies of Brazil (1945-64), Argentina (1955-66) and Turkey. It may well be an unstable regime type, because unity and differentiation are threatened by the military's political role.

Factional Regimes. These are distinguished from the moderator type by low unity, and in consequence often by low differentiation also. This type of regime is the almost inevitable result of the personal coup by a disgruntled officer, such as Acheampong's intervention in Ghana in 1972, or Amin's in Uganda the previous year, still more so of an NCO's coup such as that of Batista in Cuba. It may equally follow from the decline of unity and differentiation in an initially moderator regime. The military, and equally in the latter case groups within it, become participants in the political process in alliance with one or another set of civilian political actors or factions, with which they may be linked on grounds of ethnicity, ideology, or simply mutual tactical convenience.



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This is potentially a highly unstable pattern, and such regimes, when they fall, may fall very hard indeed; most of the extreme examples of fragmentation, such as Uganda and Bangladesh, fairly clearly belong to it, while in appropriate conditions, as in Cuba or Nicaragua, it may give rise to a revolutionary situation. It is nonetheless possible for a sufficiently skilful leader with an adequate political base, such as Mobutu in Zaire or even Somoza in Nicaragua, to construct a personalist regime which may be surprisingly long-lasting.

Breakthrough Regimes. This, one of the most useful of Huntington's categories, is nonetheless difficult to classify in terms of our initial variables. It is the classic type of radical reforming military regime, and normally requires low differentiation and (since it usually results from a junior officers' coup) low unity. Thailand (1930), Egypt (1952), Libya (1969) and Ethiopia (1974) are among the clearest examples, all of them resulting from coups against monarchies. Breakthrough coups may also be fomented, as in Sudan (1969), Ethiopia and Portugal (1974) by the radicalising effects on the lower ranks of the military of prolonged involvement in unsuccessful counter-insurgency operations. There are few if any recent Latin American examples, perhaps the closest being Peru (1968-75), where the increased popular mobilisation promoted by a radical military regime led to growing threats to military unity, and in turn to the abandonment of the venture; the military was in a sense too differentiated to embark on a strategy of this kind. The most distinctive feature of a breakthrough regime is the peculiar form of threat which prompts intervention. Rather than seeking, as with the veto regime, to defend an existing social order with which its own interests are identified, the military seeks to attack a social order which presents a threat to the radical nationalism of a modernising army. While repression by the veto regime is defensive, and mobilisation by civilian groups is discouraged, that by the breakthrough regime is offensive, and selective mobilisation at least is positively encouraged. This leads in turn to a decline in differentiation as other groups come to share the values of the military.

While these regime types are, we believe, useful, and will be used to structure our discussion

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of political outcomes, they do not imply any rigid set of categories. Actual regimes are mixtures derived from specific conditions, not mere exemplars of ideal types. They are affected not only by circumstances peculiar to particular countries, but also by more general variables which, because of their uneven impact, cannot easily be fitted into an outline like this one. One striking example is the role of external influence. We reject that stereotype which sees military regimes as neo-colonialist impositions, tied to some metropolitan power either by military dependence (for training and arms supply) or by economic interest. Too many regimes (Burma, Ethiopia, Ghana after 1981) not only fail to fit this stereotype, but run dramatically counter to it. At the same time, it would be foolish and biased to exclude the degree of external influence which frequently affects not only the military itself, but (in often heavily penetrated societies) other social groups and indeed the whole structure of state, society and economy as well. One of the advantages of a case study format is that it permits such variables to be admitted as and when they are needed.

### Military Regime Outcomes

Our final set of variables consists of outcomes. At its crudest, the dilemma facing military regimes is whether to go or to stay: whether to demilitarise, by establishing and transferring power to some civilian political structure, or to institutionalise the regime by entrenching it at the centre of a civil-military structure of a more or less authoritarian kind. Even the choice between going and staying is not as stark as this suggests, however, since demilitarisation will almost inevitably be accompanied by some attempt by the military to guarantee its vital interests by placing restrictions on its successors, while institutionalisation will require some association of civilian groups with the regime. Equally, there are important variations within each main type. The following are the main possibilities:

Handback. This is the classic process of demilitarisation in which characteristically the military leadership supervises the drafting of a new constitution (usually devised in an often vain attempt to overcome what it sees as the deficiencies

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of the previous civilian regime), and holds elections in which familiar politicians, their parties sometimes slightly realigned or disguised under new names, compete for office. An alternative form appears when the military precipitately abandons power in the face of threats to its own unity and differentiation, or of overwhelming pressure from an external patron or domestic political forces. There is no more difficult military operation to manage than a retreat, and the retreat from power may be anything from a tactical withdrawal to a rout. The term handback emphasises the continuity with previous periods of civilian rule, and indicates the main problem to which the chapters by Adekanye and Karakartal draw attention: that the new civilian regime will embody many of the deficiencies of the old, and may well lead to further military intervention, and very possibly to a cycle of successive interventions and demilitarisations.

Civilian Renewal. Though ostensibly similar to the handback option, this is distinguished by the new civilian regime's capacity to break away from previous political structures and attitudes. Almost invariably, it requires a very long period of military rule, or else a particularly sharp and very likely violent jolt to the pattern of both civilian and military politics. Otherwise entrenched political structures, the resilience of which is very easily underestimated by military regimes which place their faith in constitutional engineering, will be likely to reassert themselves. One way in which this jolt may be produced is by a failure of military rule so dramatic as to cure both civilian politicians of calling for intervention, and officers of wishing to intervene; Greece after 1974 and possibly Argentina in the wake of revelations about the 'dirty war' following the 1983 return to civilian rule may perhaps provide examples. Spain and Portugal are cases of civilian renewal after long periods of authoritarian rule. The capacity of renewal to lead to stable liberal democratic systems should not however be taken for granted. Such systems rest on general conditions for the maintenance of democratic government which go beyond the process of demilitarisation.

Authoritarian Clientelism. The institutionalisation of a military regime depends on its capacity to acquire civilian allies who are willing to accept

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subordination to military leadership in exchange for some share in running the state and especially some share in the benefits which it provides. The nature of such a relationship is inherently clientelistic: it is an essentially transactional arrangement, in which the military patron offers some of the resources derived from its control over the state, notably physical protection and economic payoffs, and in return receives political support from the civilian client. The essential element in the transaction is the military's capacity to attract subordinates who are on the one hand sufficiently influential to make their support worth having, and on the other insufficiently strong and independent to threaten the military itself. This in turn requires a fairly low level of autonomous political organisation, or alternatively serious divisions among subordinated civilian groups which facilitate a strategy of 'divide and rule'. There are however different forms of clientelism, which depend on the unity of the military command structure and its differentiation from civil society, as well as on the character of the civilian clients thus recruited. By authoritarian clientelism we have in mind a united military which, despite possibly close links with the civilian bureaucracy, is well differentiated from (and consequently impermeable to) civilian political groups. This type is close to O'Donnell's conception of bureaucratic authoritarianism, and is consequently most familiar from southern Europe and the southern cone of South America.(4)

Factional Clientelism. This closely corresponds to the factional regime type already noted, and is distinguished from authoritarian clientelism by the military's lack of unity, and usually of differentiation. It may be queried to what degree this is a form of institutionalisation at all, or indeed to what degree it is a form of military regime. It is essentially a personalist regime led by an individual who, having gained power by means of a military coup, has then used his personal skills to establish himself through alliances with groups both military and civilian, and very possibly also the backing of external allies. It does not differ substantially from personalist regimes led by civilian party politicians, and often includes the establishment of a party which serves as a conduit for patronage. Like equivalent civilian regimes, it may be longer or shorter lived. It is certainly an

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option which is open to military leaders, however, and a popular one with many of them.

The Military Party State. This is rather a distinctive option, and involves the attempt to mobilise both the military and civilians within a single party state. It is especially associated with breakthrough regimes, and is distinguished both from handback and from the clientelist options by its conscious attempts to expand participation while destroying any previous structures through which participation has been organised. It is in this sense most closely akin to civilian renewal, but is distinguished from it both by the choice of authoritarian rather than liberal political forms, and by the continued involvement in government of a military leadership committed to programmatic goals.

It may also be accompanied by a strong sense of threat. In a way, it may be seen as an attempt to create the kind of merger between army and party which results from guerilla revolutionary wars or wars of national liberation in such states as China, Cuba or Mozambique. If it succeeds, it may result, as in Mexico, in a uniquely stable and effective form of regime. As our case study of Ethiopia shows, however, it may involve considerable strains in the relationships between military, civilians, and external sources of influence.

Impasse. The preceding discussion may have given the impression that at the end of every military regime there is some more or less stable pattern of civil-military accommodation. That is far from being the case. Military regimes usually, though not always, set themselves some kind of political strategy which is intended, implicitly or explicitly, to lead to one of the outcomes noted above. Often, they do not achieve it. In particular, there may come a point at which the regime has clearly boxed itself into a corner, in which it can neither create new political institutions itself, nor do deals with existing political groupings, either by buying their support or by handing over to them. The length of time for which such a regime can struggle on will then depend very largely on the degree to which the rest of the military shares the predicament which has overtaken its leaders. Where the regime faces a threat which also confronts the military institution and civilian elite groups as a whole, the result may well be foreign intervention, invited by the beleaguered

## Political Dilemmas of Military Regimes

authorities; something of this kind has happened recently in El Salvador. Where the threat is less drastic, the commonest fate for the impasse regime is for it to be overthrown by a group within the armed forces which instantly sets about trying to reopen the political options which its predecessor has closed. This was the fate of the Acheampong government in Ghana after the failure of its 'union government' scheme in 1978, or of the Galtieri government in Argentina after the failure of the Malvinas adventure in 1982. The alternative may be the midnight flit: a quick and undignified abandonment of power which leaves it to an incoming government to pick up the pieces, and which leaves the military in no condition to take over political responsibilities for several years to come.

### PATTERNS OF REGIME SUCCESSION

The discussion so far has suggested that, despite the varieties of national experience and inevitable blurring of conceptual categories, there are only a limited number of regime types and of outcomes to which they may lead. From these, several characteristic patterns of regime succession can be derived. The most convenient point from which to trace these patterns is from the different regime types, which may be related both back to the key civil-military variables and forward to the possible outcomes. We will take each major regime type in turn, linking the pattern of outcomes to the much more detailed case studies examined in the later chapters.

#### Veto Regimes

The distinguishing feature of veto regimes is that they seize power at moments of high threat, either to the military itself or to some broader interests associated with it, and resort to highly repressive measures, usually of a counter-revolutionary kind, in order to remove this threat by dramatically reducing the level of political participation. This initial period of repression or state terror, sufficiently instanced by Chile, Argentina and Indonesia, is likely to be of fairly short duration.

The most important reason for this is that, over the medium and longer term, the use of terror is likely to have corrosive effects on the unity of the military command structure. The initial coup may