Labor Law

A Basic Guide to the National Labor Relations Act



David E. Strecker



Labor Law

A Basic Guide to the National Labor Relations Act

Labor Law

A Basic Guide to the National Labor Relations Act

David E. Strecker



CRC Press is an imprint of the Taylor & Francis Group, an **informa** business

This book, Labor Law: A Basic Guide to the National Labor Relations Act, is designed for employers, students, and others interested in labor law. The information presented should prove extremely useful in understanding the issues raised and their legal context. This book is not, however, a substitute for experienced legal counsel and does not provide legal advice or attempt to address the numerous factual issues which invariably arise during any employment-related dispute. Although we have attempted to cover the major developments in the National Labor Relations Act, this book is not all-inclusive, and the current status of any decision or principle of law should be verified by counsel.

CRC Press Taylor & Francis Group 6000 Broken Sound Parkway NW, Suite 300 Boca Raton, FL 33487-2742

© 2011 by Taylor and Francis Group, LLC CRC Press is an imprint of Taylor & Francis Group, an Informa business

No claim to original U.S. Government works

Printed in the United States of America on acid-free paper 10.9.8.7.6.5.4.3.2.1

International Standard Book Number-13: 978-1-4398-5595-9 (Ebook-PDF)

This book contains information obtained from authentic and highly regarded sources. Reasonable efforts have been made to publish reliable data and information, but the author and publisher cannot assume responsibility for the validity of all materials or the consequences of their use. The authors and publishers have attempted to trace the copyright holders of all material reproduced in this publication and apologize to copyright holders if permission to publish in this form has not been obtained. If any copyright material has not been acknowledged please write and let us know so we may rectify in any future reprint.

Except as permitted under U.S. Copyright Law, no part of this book may be reprinted, reproduced, transmitted, or utilized in any form by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying, microfilming, and recording, or in any information storage or retrieval system, without written permission from the publishers.

For permission to photocopy or use material electronically from this work, please access www.copyright.com (http://www.copyright.com/) or contact the Copyright Clearance Center, Inc. (CCC), 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400. CCC is a not-for-profit organization that provides licenses and registration for a variety of users. For organizations that have been granted a photocopy license by the CCC, a separate system of payment has been arranged.

Trademark Notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

Visit the Taylor & Francis Web site at http://www.taylorandfrancis.com

and the CRC Press Web site at http://www.crcpress.com

For Katherine

Contents

Abo	out the Author	xiii
Pref	face	xv
1	Introduction to Labor and Employment Law	1
	The Importance of Labor and Employment Law in Our Society	
	The Importance of Labor and Employment Law in Running a Business	
	Aims of This Book	
	An Explanation of Some Terms and the Basics of the Legal System	4
	The Structure of the Law and Its Nature and Sources	4
	Civil and Criminal Law	5
	Civil Law	6
	Criminal Law	6
	When Laws Conflict	6
	The Judicial System	
	The Fundamentals of Tort Law	8
2	A Brief History of Labor and Employment Law in the United States.	11
_	The Common Law Criminal Conspiracy Doctrine	
	Strikes and Violence	
	The Labor Injunction	
	The National Labor Relations Act.	
	The Fair Labor Standards Act of 1938	
	Civil Rights Statutes	
	Other Statutes	
_		
3	Labor Law: Dealing with Labor Unions and the National	
	Labor Relations Act	
	An Overview of the National Labor Relations Act (NLRA)	
	Basic Rights of Employees	
	Unfair Labor Practices	
	Employer Unfair Labor Practices	
	Section 8(a)1 Employer Coercion of Employees	24

viii ■ Contents

Threats	.24
Promises of Benefits	.24
Surveillance	.24
Impression of Surveillance	.24
Interrogation	.24
Section 8(c)—The "Free Speech" Proviso	.25
Section 8(a)(2) Employer Interference or Domination	
of a Labor Union	.26
Section 8(a)(3) Discrimination	.27
Section 8(a)(4) Retaliation for Participating in Board	
Proceedings or for Filing Charge	.30
Section 8(a)(5) Refusal to Bargain in Good Faith	.31
Union Unfair Labor Practices	34
Section 8(b)(1)(A) Union Coercion of Employees	34
Section 8(b)(1)(B) Union Coercion of Employer	
in the Selection of Its Representatives	.35
Section 8(b)(2) Union Attempts to Cause an Employer	
to Discriminate Against an Employee	.36
Section 8(b)(3) Union Duty to Bargain in Good Faith	.37
Section 8(b)(4) Secondary Activity	.37
Section 8(b)(6) Featherbedding	.39
Section 8(b)(7) Recognitional Picketing	.39
Section 8(e) Hot Cargo Agreements	40
What Do You Do if Your Business Is Subject to a	
Union Organizing Drive	
Early Warning Signs	.41
The Do's and Don'ts	
What You Can't Do	44
What You Can Do	46
How to Deal with the Union Business Agents	.56
How to Deal with Agents of the National Labor	
Relations Board	.57
The Uses and Abuses of No-Solicitation/No-Distribution	
Rules	
Authorization Cards	.61
How to Deal with Questions from Your Employees	
Recognitional Picketing and How to Deal with It	.63
The "Ins and Outs" of a Labor Election	.63
The Petition	
The "Critical Period" Doctrine	64
The Bargaining Unit	64
Eligibility to Vote	66
Representation Hearing	66

	"Consent" versus "Stipulated" Elections	67
	The Excelsior List	
	The "Big Blue Notice"	
	The Election Campaign	
	The Do's and Don'ts	
	Employer "Free Speech" Rights	
	The Laboratory Conditions Standard	
	Methods of Campaigning	
	Captive Audience Speech	
	Common Union Strategies	
	The Campaign Calendar	
	Election Day	
	The Preelection Conference	73
	The Mechanics of Setting Up the Polls	74
	The Role of the Observers	74
	The Voting Process	75
	Ban on Electioneering in and around the Polls	75
	Where Should You Be during the Voting?	75
	The Challenge Process	76
	Counting the Ballots	76
	Objections to the Election	77
	Bar Rules	
	Election Bar	
	Certification Bar	
	Contract Bar	78
	Types of Election Petitions	
	RC Petition	
	RD Petition	
	RM Petition	
	UD Elections	
	UC Petitions	
	UA Petitions	
	A Word about the Employee Free Choice Act	80
Ļ	Living with a Union	81
	Introduction	81
	Bargaining for a Contract	82
	The Duty to Bargain in Good Faith	82
	The Duty to Exchange Information	
	Preparing for Contract Negotiations	
	Who Is Involved?	
	Set and Prioritize Goals	84
	Strike Preparation	85

x ■ Contents

	Information Gathering	85
	The Negotiation Team	
	The First Session	86
	The Typical Process	
	Some Pointers	
	Ratification	88
	The Bermuda Rectangle of Labor Law: The Relationship and	
	Interaction of Contract Expiration Date-Impasse-the Duty to	
	Bargain-and the Right to Strike	
	Contract Extension Agreements	
	Strike Notification Agreements	
	The Role of Union Stewards, Business Agents, Etc.	91
5	Introduction to a Collective Bargaining Agreement	95
•	Terminology	
	Legal Status of Collective Bargaining Agreements	
	An Examination of Common Clauses of Collective	
	Bargaining Agreements	96
	Recognition Clauses	
	Scope of Agreement Clauses	
	Union Security Clauses	
	Union Shop Clause	
	Agency Shop Clause	
	Closed Shop Clause	
	The Checkoff Clause	98
	Management Rights Clause	98
	No Strike—No Lockout Clause	
	Grievance and Arbitration Provisions	100
	Seniority	100
	Probationary Period	102
	Leaves of Absence	102
	Discipline and Discharge	103
	Drug Testing Programs	
	Protection of Bargaining Unit Work	
	Subcontracting Clauses	
	Supervisors Performing Bargaining Unit Work	107
	Use of Temporary Employees	107
	Wages and Benefits	
	Hours of Work	
	Incentive Programs	
	Reopener Provisions	
	Zipper Clause	
	Successorship Clause	110

	Amendment Procedure	110
	Provisions Relating to the Conduct of Union Business	110
	Termination of the Agreement	111
6	Grievances	113
	What Is a Grievance?	113
	Examples of Grievances	114
	Grievance Procedures	114
	The Supervisor's Role in the Grievance Procedure	115
	Investigating a Grievance	116
	Some Miscellaneous Points about Grievances	118
7	Labor Arbitration	119
	What Is Labor Arbitration?	119
	The Legal Status of Labor Arbitration	119
	How Is an Arbitrator Selected?	
	Researching an Arbitrator	121
	Preparing for a Labor Arbitration Hearing	122
	The Arbitration Hearing Itself	124
	Expedited Arbitration	126
	Enforcement of Arbitration Awards	
	The Relationship of the National Labor Relations Act to Arbitration	126
8	Unfair Labor Practice Proceedings	129
	The Charge	129
	The Investigation	129
	Decision of the Regional Director	131
	The Unfair Labor Practice Hearing and Its Aftermath	131
	Remedies That May Be Ordered by the Board	132
9	Strikes and Lockouts	133
	Strikes	133
	Definition and Legal Basis for a Strike	133
	When May a Union Lawfully Strike?	133
	When Is a Union Prohibited from Striking?	133
	Economic and Unfair Labor Practice Strikes	
	Unprotected Activities during an Otherwise Lawful Strike	
	Trespass	137
	Violence	
	Blocking Entry and Exit	
	Damage to Property	
	Investigation of Picket Line Misconduct	
	In-Plant Work Stoppages	139 140

xii ■ Contents

Statements by Strikers That Disparage the Employer's	
Product or Service	140
Secondary Boycotts	140
Ambulatory Picketing	141
Common Situs Picketing	142
Construction Situation	142
The General Electric Scenario	143
Special Rules for Strikes at Healthcare Establishments	143
Lockouts	144
Appendix 1	147
Appendix 2	149
Appendix 3	151
Appendix 4	153
Appendix 5	155
Appendix 6	157
Appendix 7	159
Appendix 8	161
Appendix 9	163
Appendix 10	165
Appendix 11	167

About the Author



David E. Strecker, JD, has been practicing labor and employment law for over thirty years. He is admitted to practice in New York and Oklahoma and represents clients from all sectors of industry and business. His legal experience encompasses the full range of employment law, including labor relations, employment discrimination, wrongful termination, workplace safety, wage/hour matters, policy/handbook preparation, employment contracts, noncompete covenants, and employee benefits. He is an experienced advocate before state and federal courts and administrative agencies.

Strecker is a regular speaker at Continuing

Legal Education (CLE) seminars and has published several articles on labor and employment law issues. He teaches labor relations at Oklahoma State University in Stillwater, Oklahoma and negotiation and management skills at the University of Tulsa in Tulsa, Oklahoma. Strecker graduated from Cornell University in Ithaca, New York with a Juris Doctorate (cum laude) and a master's degree in Industrial and Labor Relations. He received his undergraduate degree (magna cum laude) from Westminster College in Fulton, Missouri. He is a member of the American Bar Association and the Oklahoma Bar Association. He is also a member of the Society for Human Resource Management. His name appears in *The Best Lawyers in America* and *Who's Who in America*. He is a lieutenant colonel (retired) in the U.S. Army Judge Advocate Generals Corps.

Preface

This book is about labor law. Whether you are a supervisor, a business owner, or a student, *Labor Law: A Basic Guide to the National Labor Relations Act* will help you understand one of the most important aspects of the workplace: the laws and rules governing how one treats employees. In particular, the area of labor law is crucial to understand. It is often counter-intuitive. Your common sense will not always provide the right answer.

To many, labor law is a difficult subject: intimidating to some and misunderstood by others. The goal of this book is to give you a solid, basic understanding of this area of the law. It will not make you an expert, but it will educate you enough to let you manage with the confidence that comes from knowing the rights and obligations of employees, the company, and supervisors.

Labor and employment law has assumed an increasingly important role in our society. New laws, such as the Family and Medical Leave Act, the Americans with Disabilities Act, and various state enactments, create new rights and problems. Older laws, such as the Fair Labor Standards Act, have been recently amended or been supplemented by new regulations. Court cases constantly expand or limit the scope of the laws and attempt to define ambiguous parts of these laws. The trend of employment law in the past sixty-five years has generally been to give employees greater rights and limit those of the employers. Gone are the days when the boss could fire an employee and have absolutely no fear of a lawsuit.

Yet, employers still enjoy tremendous power over the workplace, unlike in some other industrialized nations. The author firmly believes, after over thirty years of practicing in this field, that employers usually can accomplish most of their employee relations goals lawfully, and successfully manage this aspect of their business more or less as they would like. The key to this is making labor and employment laws work for you rather than viewing it as an obstacle.

With the resurgence of labor unions, this often neglected subject of manager education deserves to be studied anew. Unions have developed new tools of organizing and are prepared to expand to industries and workers not heretofore targeted by labor. Unions have become sophisticated and, quite frankly, have a lot to offer employees in many—although certainly not all—situations. Some studies show

that almost 60 percent of American workers want a union to represent them, but are fearful of confrontations with management if they take action to organize.

Although most of my experience has been representing management in this area, I have had the opportunity to develop some different perspectives. I have worked in factories, retail stores, and other employments. I have been a union member. I have worked in a human resources department of a large employer who had employees represented by unions, and I have a master's degree in labor relations as well as a law degree. Both in my military and civilian careers, I have been a supervisor myself. I have seen the workplace from almost every angle. I believe I can help you understand labor law.

In Labor Law: A Basic Guide to the National Labor Relations Act, "labor law" will be used to designate those laws governing the relationship between a company and unions. "Employment law" will generally refer to everything else, such as discrimination laws, wage/hour laws, safety laws, etc. This book deals only with private sector labor law arising under the National Labor Relations Act. It does not deal with public sector labor law or the Railway Labor Act.

This book is primarily an introduction to labor law. Nevertheless, we will discuss some aspects of employment law and also give a brief introduction to the legal system itself.

Chapter 1

Introduction to Labor and Employment Law

The Importance of Labor and Employment Law in Our Society

Once upon a time, the owners of a business were like gods in the sense that they had total authority over all that took place within the confines of their establishments. Employees were no exception to this rule, and they often suffered at the hands of supervisors who were insensitive, if not outright hostile, to the needs and feelings of their subordinates. Hours of work, pay, safety, time off, and other terms and conditions of employment were dictated by the company. Employees could be discriminated against or refused employment altogether because of sex, race, religion, or age. Any employee who was a union member (or thought to be) would be terminated. Job classifications and lines of promotion were often segregated by race or sex. Safety rules and protective equipment were virtually unheard of and many employees suffered horrible injuries or death with little or no compensation to them or their families. Most employers had absolutely no sympathy for an employee's family obligations and time off was a rare commodity. There were no human resource departments and no employee assistance programs. Employees who complained about any of the above could be fired at will.

Things are different now. Largely as the result of the abuses summarized above, today's employers are confronted with a vast quilt-work of laws regulating how they treat their employees.

Probably the two most important sets of laws are (1) those dealing with discrimination in the workplace and (2) those dealing with labor union—management relations. Antidiscrimination laws have opened up workplace opportunities and resulted in a more diverse workforce.

Labor relations laws have contributed to industrial peace and largely freed our economy from crippling strikes and labor turmoil. A lot of people complain about these laws, claiming they interfere with a company's right to run its business as it pleases. It is widely recognized, however, that these laws have done our society a lot of good. They are not going away. Regardless of how you feel about these and other employment laws, it is best to learn them—and learn to live with them.

From an employee's viewpoint, many of the workplace laws that exist today are taken for granted. All employees expect, for instance, that they will receive time and one half their regular rate for hours worked in excess of forty per week. The right to join a union, although controversial in some quarters, is largely accepted and taken as a given. The right to apply for a job regardless of the color of your skin is now well entrenched in our society. These and other rights have come to be an integral part of the fabric of society. You, as a supervisor, should not expect to be commended for complying with these laws or applauded by your employees. Compliance is expected. This is how central labor and employment law have become in our society.

Think of how the workplace would operate (or would not operate) if these laws were not in place. Initially, you might say that it would be a good thing: that you would not be shackled with regulations and rules. On the other hand, consider what kind of workplace we would have if employees could be trampled on at leisure or where personal bias and prejudice could run rampant. You might not be in the job you are in now if such were the case.

Think also of how many deserving, talented people might not get to demonstrate their talents because of their sex or skin color. Consider, from the employer's point of view, how many good employees would not be able to advance (or never would have been hired) and thus deprive the employer of their talent and energy.

Think of how you could run your department, plant, or business if your employees could walk out on strike any time they wanted to do so. What if labor unions could deny members even the most basic of rights to elect officers or approve dues?

The upshot of all of this is that labor and employment law (along with other laws) help our economy run smoothly. If you do not believe me, look at the labor turmoil seen in other countries in the past few years that do not have a similar legal system.

In sum, by regulating the workplace and those in it, labor and employment law helps our economy function and, in turn, this helps our society and its members enjoy the fruits of our labors.