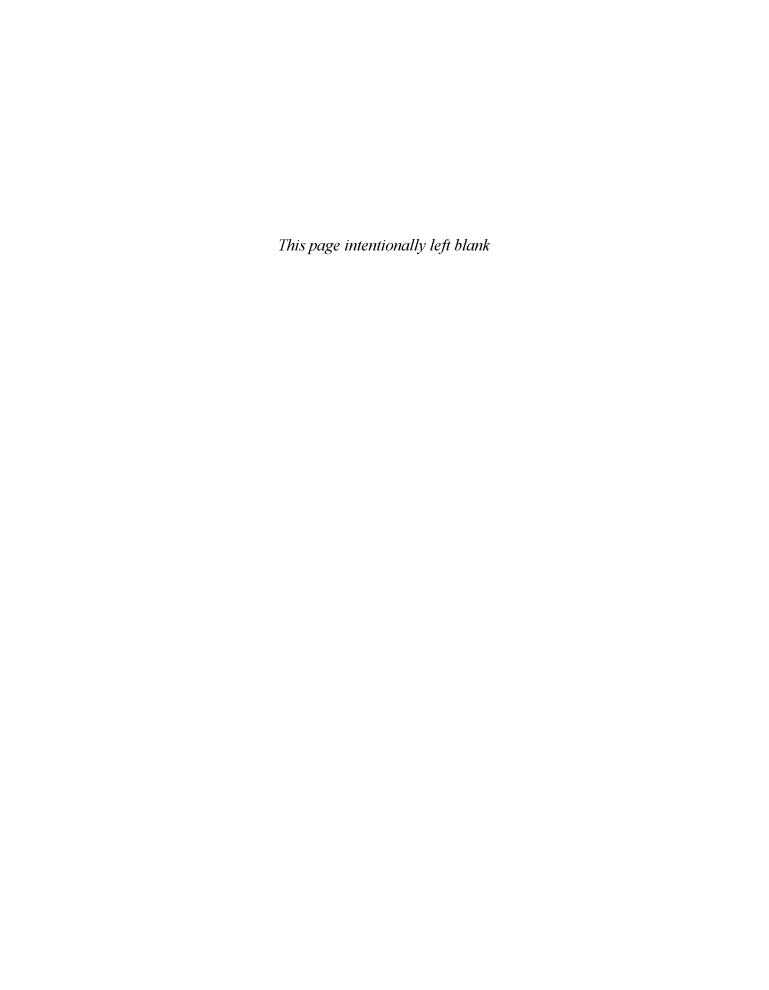
SUPERVISING STUDENT EMPLOYEES IN ACADEMIC LIBRARIES

David A. Baldwin







Supervising Student Employees in Academic Libraries

David A. Baldwin

1991 LIBRARIES UNLIMITED, INC. Englewood, Colorado

Copyright © 1991 Libraries Unlimited, Inc. All Rights Reserved Printed in the United States of America

No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise, without the prior written permission of the publisher.

P.O. Box 3988 Englewood, CO 80155-3988

Library of Congress Cataloging-in-Publication Data

Baldwin, David A. (David Allen), 1946-

Supervising student employees in academic libraries / David A. Baldwin.

xvii, 194 p. 22x28 cm.

Includes bibliographical references and index.

ISBN 0-87287-869-4

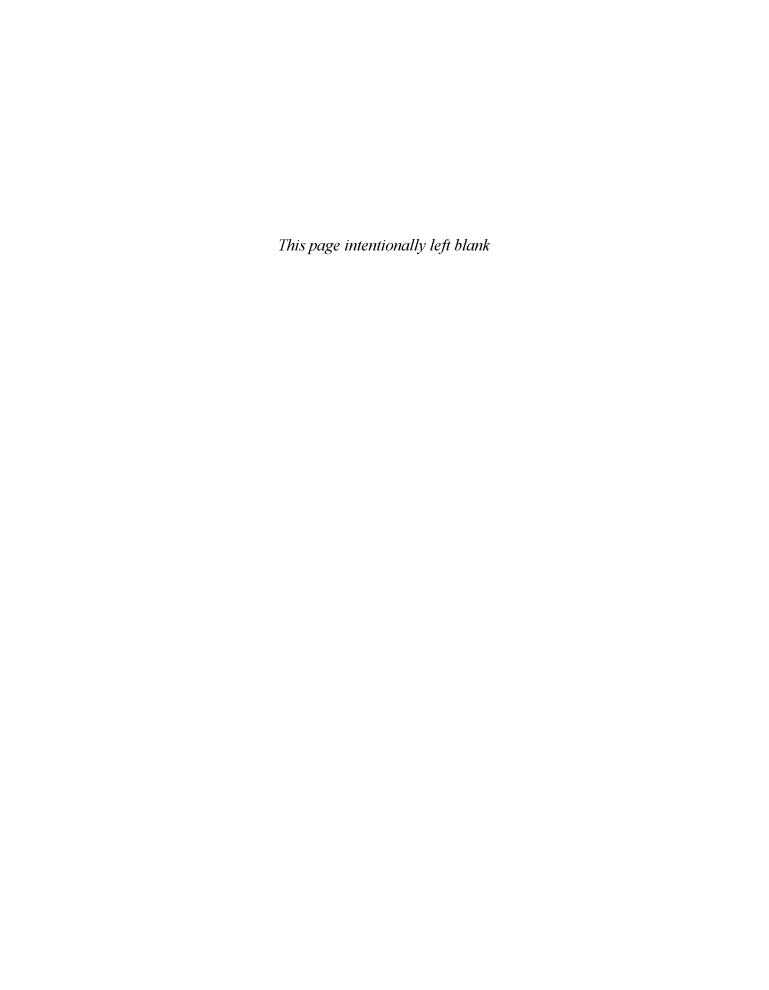
1. Student library assistants. 2. Libraries, University and college--Administration. 3. Library personnel management. I. Title.

Z682.4.S89B34 1991

023'.3--dc20

91-19000





Contents

	List of Tables, Figures, and Tests	тх
	Preface	xvi
1 -	The Student Employee – A Perspective	
	Academic Libraries Today	1
	Responding to Change	
	Students As Part of the Work Force	
	The Roles of Student Employees	
	Student Employees in Public Services	
	Student Employees in Technical Services	3
	History of Student Employment	
	Student Employment in the 1930s	3
	Student Employment As Potential Librarians	4
	Student Employment in Recent Years	
	Size of the Student Work Force	5
	Student Workers and the Permanent Staff	
	Work-Study Versus Nonwork-Study Student Employees	8
	Why Do Students Work?	
	Work As a Unique Activity	9
	Library Expectations	
	Who Supervises Student Employees?	10
	What Does a Supervisor Do?	10
	Why Is Good Supervision Important?	
	The Rewards of Student Employee Supervision	11
	Looking Ahead	
	Notes	
	Bibliography	13
	The Constant Front and Constant	1.5
<u> </u>	The Relationship Sendent Employee Supervisor	
	The Role of the Student Employee Supervisor	
	Definition of Supervisor	
	Getting Things Done through Others	
	Who Are the Student Employee Supervisors?	
	Identifying Prospective Student Employee Supervisors	
	THE DEST MOTKET MAY INOT DE THE DEST SUDETVISOF	1 /

viii / Contents

2 -	-The Student Employee Supervisor - Continued	
	Personal Qualities of Good Student Employee Supervisors	17
	Types of Persons Who Should Not Be Supervisors	18
	Student Employee Supervisor Attitudes	18
	Moving from a Staff to a Supervisory Position	19
	Differences between Worker and Supervisor	20
	Transition from Worker to Supervisor	20
	Seven Transition Stages	21
	Problems Faced by New Student Employee Supervisors	21
	Expectations for Student Employee Supervisors	
	Common Mistakes New Supervisors Make	23
	What Employees Don't Like about Their Supervisors	23
	Why Student Employee Supervisors Fail	
	Preparing to Become a Student Employee Supervisor	
	The Student Employee Supervisor	
	Notes	
	Bibliography	27
_		• •
3-	- Basics for Supervisors	
	Becoming a Supervisor	
	Leadership	
	Your Leadership Potential	
	Authority	
	Assessing Your Degree of Accountability	
	Managing Change	
	Identifying Timewasters	
	Time Management Guidelines	
	Dealing with Stress	
	Determining Your Potential	
	Tips on Getting Noticed and Promoted	
	Learn from Your Mistakes	
	Types of Behavior to Avoid	
	Getting Along with Your Supervisor	
	Writing Better Reports	
	Keep Learning	
	Notes	
	Bibliography	
	U 1 /	

4—Organizing for Student Employment	46
Organizing Function of Management	46
Organizing a System for Student Employee Positions	46
Job Design	47
Job Analysis	
Purposes of Job Descriptions	48
Features of a Good Job Description	48
Uses of Job Descriptions	49
Guidelines for Preparing Job Descriptions	49
Job Descriptions for the Library	50
Student Employee Job Descriptions	
Group I Student Positions	
Group II Student Positions	
Group III Student Positions	
Differentiated Pay	
Student Employee Allotments	58
Work-Study Eligibility	
Job Matching	
Student Employment Application Form	61
Organizing Student Employees	
Bibliography	
5—Hiring Student Employees	66
Hiring and Firing	
Referral of Student Workers	66
Recruiting Student Workers	67
Screening Prospective Student Workers	
Preparing for the Interview	
Interview Types	
Conducting the Interview	
Legal Implications of Employment Decisions	
The Supervisor's Responsibility	72
Nondiscriminatory Interviewing	
Reference Checks	
Communicating the Hiring Decision	74
Interview Situations	75
Bibliography	75
6-Understanding Federal Student Financial Aid	78
Federal Aid for Student Employment	
Federal Student Aid Programs	

6-Understanding Federal Student Financial Aid-Continued	
Financial Need	79
Cost of Attendance in Determining Financial Need	
Family Contribution in Determining Financial Need	
Independent Student Definition in Determining Financial Need	
Citizenship Requirements for Financial Aid	
Eligibility Requirements	
Pell Grants	
Stafford Loan Program	
PLUS and SLS Programs	
Campus-Based Student Financial Aid Programs	
Supplemental Educational Opportunity Grants (SEOG)	
The College Work-Study Program (CWS)	
Work-Study Employment Conditions and Limitations	
Paying Work-Study Student Employees	
Work-Study Employment During Nonenrollment Periods	
Perkins Loan Program	
State Student Incentive Grant (SSIG) Program	
Robert C. Byrd Honors Scholarship Program	
Counseling the Student on Financial Aid	
What You Can Do	
Notes	
Bibliography	89
7—Crientation and Training of Student Employees	
Training Is Everything	
Why Provide Orientation?	
First Impressions	
The New Student Employee	•
Orientation of Student Employees	
Training and Development Are Not the Same	
Role of the Student Employee Supervisor in Training	
Two Types of Training	
Should the Supervisor Do All the Training?	
What Do You Train For?	
Four-Step Method for Training	
Step 1. Preparation of the Learner	
Step 2. Presentation of the Operation	
Step 3. Performance Tryout	
Step 4. Follow-up	
Extending the Training	
Common Training Errors	
Tips to Improve Training	
Active Versus Passive Learning	99

	Implementing Your Training Program	100
	Developmental Training	100
	Training Present Employees to Improve Performance	101
	Training to Prepare Student Employees for Higher-Level Work	101
	Developmental Training Methods	
	Supervisor's Training Checklist	
	Orientation, Training, and Development	
	Bibliography	
8-	- Supervision Techniques for Student Employee Supervisors	105
	Managing and Being Managed	105
	Hierarchical Library Organization	. 105
	Team Management	
	Humanistic Management by Teamwork (HMBT)	
	Participative Management	. 107
	Five Functions of Management	. 107
	Authority	
	Exerting Authority	. 109
	Delegating Authority	. 110
	Responsibility	. 110
	Making Decisions	
	Making Good Decisions	
	No One Is Perfect – Bad Decisions	.112
	If Your Decisions Are Challenged	.113
	Communication	. 113
	Communicating with Individuals	.113
	Communicating with Groups	.113
	Encouraging Employees to Communicate with You	. 114
	Communicating with Student Employees	.114
	Group Effort	. 114
	Giving Directions	.115
	Guidelines for Giving Directions	
	Getting Cooperation	
	Motivation	. 116
	Coaching	. 117
	The Coaching Process	. 117
	Counseling	
	Counseling Sessions You Call	
	Counseling Sessions the Employee Requests	
	Not All Counseling Is Negative	
	Supervisory Principles	
	Notes	
	Bibliography.	120

xii / Contents

9-	-Resolving Problems with Student Employees	126
	Student Employee Problems	126
	The Student Employee Who Complains	126
	The Unmotivated Student Employee	127
	The Student Employee with Low Morale	128
	The Disloyal Student Employee	128
	The Student Employee Who Violates Library Rules	
	The Student Worker with Absenteeism Problems	130
	Time, Telephone, and Dress Policies	
	The Student Who Is Dishonest	
	The Student Employee Who Violates University Rules	
	The Student Employee with Personality Problems	
	The Student Employee with Personal Problems	
	Dealing with Rumors in the Work Place	
	The Student Employee Who Procrastinates	
	The Student Worker Who Resists Change	135
	Dealing with Stress	
	Dealing with Insubordination	
	Dealing with Older Student Employees	
	Resolving Problems	
	Notes	
	Bibliography	137
10–	-Performance Appraisal	
	How Am I Doing?	
	"Praises and Raises"	
	Job Evaluation Versus Performance Appraisal	
	Purposes of Performance Appraisal in Industry	
	Purposes of Performance Appraisal in Libraries	
	How Formal Should the Performance Appraisal Be?	
	More Than the Supervisor's Opinion	
	Questions to Be Answered in an Appraisal	
	How Often Should Student Employee Appraisals Be Done?	
	Sequence of Activities in Performance Appraisal	
	Set Performance Standards	
	Communicate Standards	
	Observing Employees As They Work	_
	Collect Data	
	Employees' Self-Appraisal Process	
	Utilizing the Information Gathered	
	Evaluating the Employee	14)

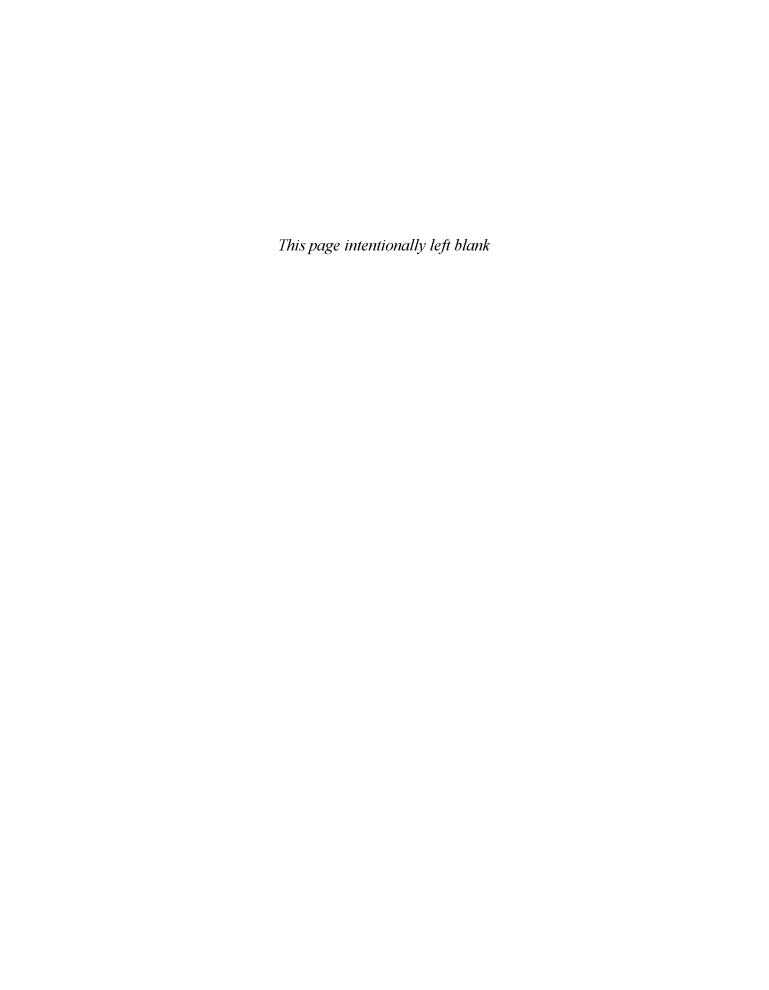
Appraisal and Response	
Preparing for an Appraisal Meeting	145
Conducting an Appraisal Meeting	146
Discussing Poor Performance	147
The "Sandwich" Technique	147
Allowing the Employee to Save Face	148
Handling Charges of Favoritism	
Appraisal Errors	148
Validity and Reliability	149
Making Nondiscriminatory Appraisals	
Confidentiality of Appraisals	
Good Evaluations Do Not Always Result in Advancement	
Good Evaluations Do Not Always Result in More Money	
Appraisal Formats	
Comparative Appraisal Formats	151
Absolute Appraisal Formats	
Outcome-Based Appraisal Formats	
What Happens to the Appraisal Forms?	
After the Appraisal	
Do Not Wait for the Annual Review	
Positive Approach to Performance Appraisal	
Notes	
Bibliography	154
11—Employee and Employer Rights and Responsibilities	157
Employee and Employer Rights	157
Legal Rights	157
The Right to a Safe Work Environment	157
The Right to a Nondiscriminatory Workplace	158
The Right to a Workplace Free of Harassment	159
Termination Rights	
Privacy Rights	160
Union Participation Rights	161
Rights Granted by the Employing Institution	
The Right to an Appeal and Grievance Process	163
The Right to Equitable Compensation	164
Employee Responsibilities	165
Ethics for Supervisors	165
Library Ethics	166
Managerial Ethics	167
Rights and Responsibilities	
Notes	
Bibliography	169

xiv / Contents

$12-P_1$	rogressive Discipline and Termination Procedures	1	172
	Discipline and Discharge		
	ermination of Employment		
	easons for Termination		
Li	imiting the Number of Problem Employees	1	176
Pı	rogressive Discipline	1	176
	Steps to Take before Discharging Any Employee	1	177
Н	Iow to Discharge Employees	1	78
	The Discharge Interview		
	Mistakes Made in Discharges		
T	he Psychological Impacts of Termination	1	80
	ibliography		
13-Q	Questions Asked by New Supervisors	1	82
	ibliography		
	The Future of Libraries		
	Library Administration and Management		
T	ndex	1	00
111	IUCX	1	. O >

List of Tables, Figures, and Tests

Tables	
Staffing in ARL Libraries, 1988-89	6-7
Pay Rate Structures for Student Employees	57
Sample Pay Rates	57
Number of Hours That Can Be Worked in Twenty Pay Periods	5 9
Eligibility Requirements for Federal Student Financial Aid Programs	82
Purposes of the Performance Appraisal Process	140
Figures	
Job Description: Group I Position	51
Job Description: Group II Position	52
Job Description: Group III, Level II Position	54
Job Description: Group III, Level III Position	55
Work-Study Eligibility Form	60
Student Employment Application	62
Tests	
Test of Leadership Potential	31
Supervisory Responsibility Survey	33



Preface

This handbook is one of a kind. There are a number of excellent library administration books in print as well as whole libraries of business management and employee supervision books. The library administration titles give little notice to the fact that academic libraries employ thousands of students and the supervision literature virtually ignores university students as an employee group. Most authors have failed to recognize that in today's academic libraries, student employees are a critical part of the work force and that few of their supervisors have adequate supervisory training or experience.

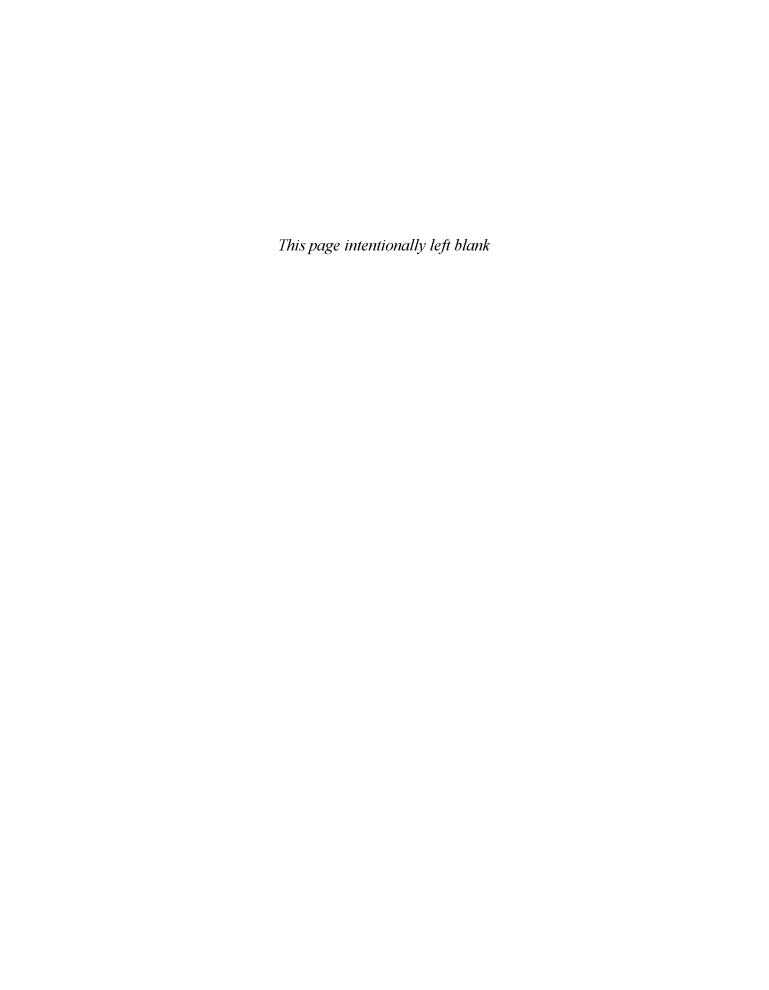
The student work force and its supervisors have been taken for granted for a long time. They are, after all, only student workers and anyone can supervise them, or so the conventional wisdom holds. Library administrators are only now beginning to realize how important this group has become to the library and that student employee salaries comprise a significant portion of the operating budget.

Library personnel are, for the most part, persons who enjoy working in an academic environment with young people and with others who are interested in bringing information and people together. Many student employee supervisors began their library careers as student workers, who, as they became experienced workers, were given more responsibility, including supervising fellow students. Later, as regular staff members, they became supervisors of student employees, and then, often, supervisors of other staff. How were these skills developed? Do the library staffers you know come to the job with supervisory training and experience? Are they given on-the-job training? Are they given any training at all? How systematic is the training given to all supervisors, let alone supervisors of student employees? Most have become good supervisors through trial and error.

This book is designed to provide a foundation in the principles of supervision and to serve as a handbook for the day-to-day problems which arise in supervising student employees in academic libraries. Throughout, the emphasis of this book is on humane treatment of employees. This handbook will confirm much of what you already know and will also, I hope, provide you with new information.

The first three chapters describe the role of student employees in the academic library, the role of the student employee supervisor, and basic principles of supervision. The next describes how to organize for student employment and includes information on student job descriptions and why they are needed. Chapter 5 deals with the hiring of student employees. Because federal student financial aid is an important part of student employment, information is provided on the various federal aid programs in chapter 6. The next two chapters discuss orientation, training, and supervision techniques. Chapter 9 provides suggestions for resolving the most common problems encountered by supervisors. Performance appraisal is covered in chapter 10 and employee and employer rights in chapter 11. Chapter 12 deals with corrective discipline and termination procedures. The final chapter provides answers to questions commonly asked by new student employee supervisors.

If you supervise student employees or aspire to such a position in your library, this handbook is written for you. Your comments and suggestions on the content would be much appreciated by the author.



1

The Student Employee - A Perspective

Everyone goes to the forest: some go for a walk to be inspired, and others go to cut down the trees.

- Vladimir Horowitz

ACADEMIC LIBRARIES TODAY

More changes have occurred in academic libraries in the past thirty years than in all of previous history. Those changes have been brought about in large part by the dramatic technological advances made in recent years. Libraries have evolved from virtually self-sufficient producers of bibliographic databases to organizations having nearly unlimited access to bibliographic data through networks. Student employees play an important role in the changes taking place in today's libraries.

RESPONDING TO CHANGE

All staff positions, including those filled by students, have been greatly affected by automation. Librarians are required to focus their efforts on improving access to information through database development and management and on interpreting that information for users. They must also devote time to library and university committee work and to professional activities. Many librarians have to meet requirements for tenure and promotion, including publishing.

Clerical, technical, and professional staff now perform high-level technical and public service duties that previously have been librarian responsibilities. As a result, student employees are also being asked to assume more complex technical and service responsibilities. Student employees play an important role in the ability of today's academic library to respond to technological change.

Job responsibilities of staff at all levels are affected by online systems and increasing user demand. Technical services staffers are required to perform complex acquisitions, cataloging, and processing tasks as well as mastering terminal and system operations. Improved access has resulted in increased demands for assistance not only in finding but also in interpreting information. Reference and information desks must be staffed by knowledgeable personnel to assist library users in effectively using the library's systems and resources. Interlibrary loan staff must respond to greater numbers of requests, and staffers in the circulation department are often required to be skilled in the use of an automated circulation system.

Only with librarians, staff, and student employees working together can libraries respond effectively to the information explosion, the technological challenge, and the research and information needs of faculty, staff, students on campus, and users from the community. Success hinges on the effective use of a large segment of the library's staff resources—student employees.

Students As Part of the Work Force

Student employees form a very large and important part of any college or university library work force. Student employees make up approximately 22 percent of university libraries' staffing and 27 percent of all staff in college libraries (see table 1.1 on p. 6). In academic libraries, the number of student employees often exceeds the number of regular staff. It follows that academic libraries need to place a high priority on the effective management of student employment.

THE ROLES OF STUDENT EMPLOYEES

Visit any library at a college or university and you will find students working at circulation, information, reference, special collections, documents, or periodicals desks. Students can be observed assisting patrons, shelving materials, or working as security staff. Stop by the director or dean's office and you may be greeted by a student employee. In the nonpublic areas, you will find student assistants engaged in a wide variety of technical and clerical tasks. If you happen by in the late hours of the evening or night, you might be hard put to find a staff member on duty except perhaps in circulation or reference areas.

Do the student assistants run this library? No, but the library would not function efficiently without them. Student employees are a crucial part of the staffing of today's academic library. Do students do only the work that staff will not do? No, student assistants now perform very technical and demanding work as well as providing for the coverage needed for long hours of access to collections and services. Libraries depend on student employees to perform all manner of job duties formerly reserved for "regular" staff employees.

Student Employees in Public Services

Student workers are most heavily utilized in public services. The charging, discharging, and file maintenance tasks for circulation are most often performed by student employees, with the most experienced given additional supervisory or training responsibilities. Reshelving and stack maintenance are often accomplished almost entirely by student workers. Student employees are involved in the management of periodicals and newspaper collections, as well as in assisting users. Microform files and equipment maintenance and patron assistance are typical student worker duties.

In reference departments, student assistants usually handle all of the filing of loose-leaf services, microforms, etc., and the more senior student workers are relied upon to provide ready reference either at information desks or with librarians and staff at the reference desk. In branch libraries and government publications units, student employees are usually involved in all public and technical service

activities. Often student workers oversee operations during late night and weekend hours. Academic libraries without the benefit of campus security patrols in their buildings often rely on student workers to make regular rounds in the stacks and study areas. These student assistants are identified as library security staff and are charged with enforcing policies relating to food, drink, and quiet. Usually they summon campus or local police to deal with illegal activities.

Student Employees in Technical Services

Technical service operations depend on student employees to perform many tasks. Acquisitions departments use students for preorder searching for monographs and serials. Automated acquisitions systems are quickly learned by students who perform many of the same functions as permanent staffers. Routine receiving activities and serials check-in are usually assigned to student workers. Many bindery and preparation operations are handled by student workers. Cataloging departments utilize student assistants in many of the more routine cataloging activities, such as catalog maintenance for automated and manual files. Student assistants with language abilities can be indispensable to cataloging departments.

Clerical tasks in all departments have become the responsibility of student employees in most libraries. The dean or director's office staff is supplemented with student hours, as are library personnel, facilities, and fiscal services offices. There are very few clerical or manual tasks that cannot be assigned to student assistants. Former student employees remember, with varying degrees of fondness, their experiences in dismantling and building shelves, shelfreading, shifting books, and moving whole library collections.

Student employees bring to their library jobs a wide range of talents and skills, which, if properly identified and matched with jobs, can provide meaningful employment for the students, valuable contributions to the operation of the library, and lifelong friendships among students and staff. The reliance of American academic libraries on student employees can be traced back to the early 1800s.

HISTORY OF STUDENT EMPLOYMENT

In a report of the Librarian's Conference in 1853, G. B. Utley noted that some university librarians had only student assistants and others didn't have any help at all. During the late 1800s, American universities experienced rapid growth, adding new programs in many scientific and technological fields, among others, and adding greatly to the need for research facilities by faculty and students. Library collections, services, and staffs expanded to meet the demand. Brown University Librarian Harry Lyman Koopman reported that in 1893 the staff consisted of himself, an assistant librarian, and one student helper. By 1930, the staff number had grown to twenty-five and the number of student assistants to seventeen.2

Student Employment in the 1930s

Mary Elizabeth Downey, in a paper delivered at ALA Midwinter in 1932, commented on the conflicting attitudes of librarians on student employees.³ She said