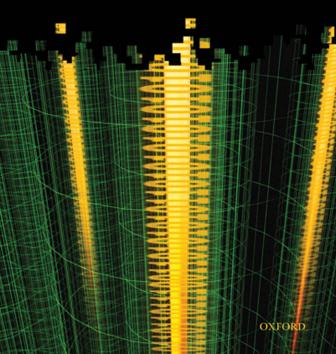
### An Introduction to Quantum Computing

### PHILLIP KAYE, RAYMOND LAFLAMME AND MICHELE MOSCA



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Phillip Kaye Raymond Laflamme Michele Mosca



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# Preface

We have offered a course at the University of Waterloo in quantum computing since 1999. We have had students from a variety of backgrounds take the course, including students in mathematics, computer science, physics, and engineering. While there is an abundance of very good introductory papers, surveys and books, many of these are geared towards students already having a strong background in a particular area of physics or mathematics.

With this in mind, we have designed this book for the following reader. The reader has an undergraduate education in some scientific field, and should particularly have a solid background in linear algebra, including vector spaces and inner products. Prior familiarity with topics such as tensor products and spectral decomposition is not required, but may be helpful. We review all the necessary material, in any case. In some places we have not been able to avoid using notions from group theory. We clearly indicate this at the beginning of the relevant sections, and have kept these sections self-contained so that they may be skipped by the reader unacquainted with group theory. We have attempted to give a gentle and digestible introduction of a difficult subject, while at the same time keeping it reasonably complete and technically detailed.

We integrated exercises into the body of the text. Each exercise is designed to illustrate a particular concept, fill in the details of a calculation or proof, or to show how concepts in the text can be generalized or extended. To get the most out of the text, we encourage the student to attempt most of the exercises.

We have avoided the temptation to include many of the interesting and important advanced or peripheral topics, such as the mathematical formalism of quantum information theory and quantum cryptography. Our intent is not to provide a comprehensive reference book for the field, but rather to provide students and instructors of the subject with a reasonably brief, and very accessible introductory graduate or senior undergraduate textbook.

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# 1 INTRODUCTION AND BACKGROUND

### 1.1 Overview

A computer is a physical device that helps us process information by executing algorithms. An algorithm is a well-defined procedure, with finite description, for realizing an information-processing task. An information-processing task can always be translated into a physical task.

When designing complex algorithms and protocols for various informationprocessing tasks, it is very helpful, perhaps essential, to work with some idealized computing model. However, when studying the true limitations of a computing device, especially for some practical reason, it is important not to forget the relationship between computing and physics. Real computing devices are embodied in a larger and often richer physical reality than is represented by the idealized computing model.

Quantum information processing is the result of using the physical reality that quantum theory tells us about for the purposes of performing tasks that were previously thought impossible or infeasible. Devices that perform quantum information processing are known as *quantum computers*. In this book we examine how quantum computers can be used to solve certain problems more efficiently than can be done with classical computers, and also how this can be done reliably even when there is a possibility for errors to occur.

In this first chapter we present some fundamental notions of computation theory and quantum physics that will form the basis for much of what follows. After this brief introduction, we will review the necessary tools from linear algebra in Chapter 2, and detail the framework of quantum mechanics, as relevant to our model of quantum computation, in Chapter 3. In the remainder of the book we examine quantum teleportation, quantum algorithms and quantum error correction in detail.

### 1.2 Computers and the Strong Church–Turing Thesis

We are often interested in the amount of resources used by a computer to solve a problem, and we refer to this as the *complexity* of the computation. An important resource for a computer is *time*. Another resource is *space*, which refers to the amount of memory used by the computer in performing the computation. We measure the amount of a resource used in a computation for solving a given problem as a function of the length of the input of an instance of that problem. For example, if the problem is to multiply two n bit numbers, a computer might solve this problem using up to  $2n^2+3$  units of time (where the unit of time may be seconds, or the length of time required for the computer to perform a basic step).

Of course, the exact amount of resources used by a computer executing an algorithm depends on the physical architecture of the computer. A different computer multiplying the same numbers mentioned above might use up to time  $4n^3 + n + 5$  to execute the same basic algorithm. This fact seems to present a problem if we are interested in studying the complexity of algorithms themselves, abstracted from the details of the machines that might be used to execute them. To avoid this problem we use a more coarse measure of complexity. One coarser measure is to consider only the highest-order terms in the expressions quantifying resource requirements, and to ignore constant multiplicative factors. For example, consider the two computers mentioned above that run a searching algorithm in times  $2n^2 + 3$  and  $4n^3 + n + 7$ , respectively. The highest-order terms are  $n^2$  and  $n^3$ , respectively (suppressing the constant multiplicative factors 2 and 4, respectively). We say that the running time of that algorithm for those computers is in  $O(n^2)$  and  $O(n^3)$ , respectively.

We should note that O(f(n)) denotes an upper bound on the running time of the algorithm. For example, if a running time complexity is in  $O(n^2)$  or in  $O(\log n)$ , then it is also in  $O(n^3)$ . In this way, expressing the resource requirements using the O notation gives a hierarchy of complexities. If we wish to describe *lower* bounds, then we use the  $\Omega$  notation.

It often is very convenient to go a step further and use an even more coarse description of resources used. As we describe in Section 9.1, in theoretical computer science, an algorithm is considered to be efficient with respect to some resource if the amount of that resource used in the algorithm is in  $O(n^k)$  for some k. In this case we say that the algorithm is polynomial with respect to the resource. If an algorithm's running time is in O(n), we say that it is *linear*, and if the running time is in  $O(\log n)$  we say that it is *logarithmic*. Since linear and logarithmic functions do not grow faster than polynomial functions, these algorithms are also efficient. Algorithms that use  $\Omega(c^n)$  resources, for some constant c, are said to be exponential, and are considered not to be efficient. If the running time of an algorithm cannot be bounded above by any polynomial, we say its running time is superpolynomial. The term 'exponential' is often used loosely to mean superpolynomial. One advantage of this coarse measure of complexity, which we will elaborate on, is that it appears to be robust against reasonable changes to the computing model and how resources are counted. For example, one cost that is often ignored when measuring the complexity of a computing model is the time it takes to move information around. For example, if the physical bits are arranged along a line, then to bring together two bits that are *n*-units apart will take time proportional to *n* (due to special relativity, if nothing else). Ignoring this cost is in general justifiable, since in modern computers, for an *n* of practical size, this transportation time is negligible. Furthermore, properly accounting for this time only changes the complexity by a linear factor (and thus does not affect the polynomial versus superpolynomial dichotomy).

Computers are used so extensively to solve such a wide variety of problems, that questions of their power and efficiency are of enormous practical importance, aside from being of theoretical interest. At first glance, the goal of characterizing the problems that can be solved on a computer, and to quantify the efficiency with which problems can be solved, seems a daunting one. The range of sizes and architectures of modern computers encompasses devices as simple as a single programmable logic chip in a household appliance, and as complex as the enormously powerful supercomputers used by NASA. So it appears that we would be faced with addressing the questions of computability and efficiency for computers in each of a vast number of categories.

The development of the mathematical theories of computability and computational complexity theory has shown us, however, that the situation is much better. The *Church–Turing Thesis* says that a computing problem can be solved on *any* computer that we could hope to build, if and only if it can be solved on a very simple 'machine', named a *Turing machine* (after the mathematician Alan Turing who conceived it). It should be emphasized that the Turing 'machine' is a mathematical abstraction (and not a physical device). A Turing machine is a computing model consisting of a finite set of states, an infinite 'tape' which symbols from a finite alphabet can be written to and read from using a moving head, and a transition function that specifies the next state in terms of the current state and symbol currently pointed to by the head.

If we believe the Church–Turing Thesis, then a function is computable by a Turing machine if and only if it is computable by some realistic computing device. In fact, the technical term *computable* corresponds to what can be computed by a Turing machine.

To understand the intuition behind the Church–Turing Thesis, consider some other computing device, A, which has some finite description, accepts input strings x, and has access to an arbitrary amount of workspace. We can write a computer program for our universal Turing machine that will *simulate* the evolution of A on input x. One could either simulate the logical evolution of A (much like one computer operating system can simulate another), or even more

naively, given the complete physical description of the finite system A, and the laws of physics governing it, our universal Turing machine could alternatively simulate it at a physical level.

The original Church–Turing Thesis says nothing about the efficiency of computation. When one computer simulates another, there is usually some sort of 'overhead' cost associated with the simulation. For example, consider two types of computer, A and B. Suppose we want to write a program for A so that it simulates the behaviour of B. Suppose that in order to simulate a single step of the evolution of B, computer A requires 5 steps. Then a problem that is solved by B in time  $O(n^3)$  is solved by A in time in  $5 \cdot O(n^3) = O(n^3)$ . This simulation is efficient. Simulations of one computer by another can also involve a trade-off between resources of different kinds, such as time and space. As an example, consider computer A simulating another computer C. Suppose that when computer C uses S units of space and T units of space, the simulation requires that A use up to  $O(ST2^S)$  units of time. If C can solve a problem in time  $O(n^2)$  using O(n)space, then A uses up to  $O(n^32^n)$  time to simulate C.

We say that a simulation of one computer by another is efficient if the 'overhead' in resources used by the simulation is polynomial (i.e. simulating an O(f(n))algorithm uses  $O(f(n)^k)$  resources for some fixed integer k). So in our above example, A can simulate B efficiently but not necessarily C (the running times listed are only upper bounds, so we do not know for sure if the exponential overhead is necessary).

One alternative computing model that is more closely related to how one typically describes algorithms and writes computer programs is the random access machine (RAM) model. A RAM machine can perform elementary computational operations including writing inputs into its memory (whose units are assumed to store integers), elementary arithmetic operations on values stored in its memory, and an operation conditioned on some value in memory. The classical algorithms we describe and analyse in this textbook implicitly are described in log-RAM model, where operations involving n-bit numbers take time n.

In order to extend the Church–Turing Thesis to say something useful about the efficiency of computation, it is useful to generalize the definition of a Turing machine slightly. A *probabilistic Turing machine* is one capable of making a random binary choice at each step, where the state transition rules are expanded to account for these random bits. We can say that a probabilistic Turing machine is a Turing machine with a built-in 'coin-flipper'. There are some important problems that we know how to solve efficiently using a probabilistic Turing machine, but do not know how to solve efficiently using a conventional Turing machine (without a coin-flipper). An example of such a problem is that of finding square roots modulo a prime.

It may seem strange that the addition of a source of randomness (the coin-flipper) could add power to a Turing machine. In fact, some results in computational complexity theory give reason to suspect that every problem (including the

"square root modulo a prime" problem above) for which probabilistic Turing machine can efficiently guess the correct answer with high probability, can be solved efficiently by a deterministic Turing machine. However, since we do not have proof of this equivalence between Turing machines and probabilistic Turing machines, and problems such as the square root modulo a prime problem above are evidence that a coin-flipper may offer additional power, we will state the following thesis in terms of probabilistic Turing machines. This thesis will be very important in motivating the importance of quantum computing.

(Classical) Strong Church–Turing Thesis: A probabilistic Turing machine can efficiently simulate any realistic model of computation.

Accepting the Strong Church–Turing Thesis allows us to discuss the notion of the intrinsic complexity of a problem, independent of the details of the computing model.

The Strong Church–Turing Thesis has survived so many attempts to violate it that before the advent of quantum computing the thesis had come to be widely accepted. To understand its importance, consider again the problem of determining the computational resources required to solve computational problems. In light of the strong Church–Turing Thesis, the problem is vastly simplified. It will suffice to restrict our investigations to the capabilities of a probabilistic Turing machine (or any equivalent model of computation, such as a modern personal computer with access to an arbitrarily large amount of memory), since any realistic computing model will be roughly equivalent in power to it. You might wonder why the word 'realistic' appears in the statement of the strong Church-Turing Thesis. It is possible to describe special-purpose (classical) machines for solving certain problems in such a way that a probabilistic Turing machine simulation may require an exponential overhead in time or space. At first glance, such proposals seem to challenge the strong Church–Turing Thesis. However, these machines invariably 'cheat' by not accounting for all the resources they use. While it seems that the special-purpose machine uses exponentially less time and space than a probabilistic Turing machine solving the problem, the special-purpose machine needs to perform some physical task that implicitly requires superpolynomial resources. The term realistic model of computation in the statement of the strong Church-Turing Thesis refers to a model of computation which is consistent with the laws of physics and in which we explicitly account for all the physical resources used by that model.

It is important to note that in order to actually implement a Turing machine or something equivalent it, one must find a way to deal with realistic errors. Error-correcting codes were developed early in the history of computation in order to deal with the faults inherent with any practical implementation of a computer. However, the error-correcting procedures are also not perfect, and could introduce additional errors themselves. Thus, the error correction needs to be done in a *fault-tolerant* way. Fortunately for classical computation, efficient fault-tolerant error-correcting techniques have been found to deal with realistic error models.

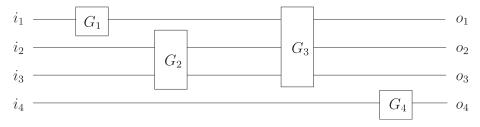
The fundamental problem with the classical strong Church–Turing Thesis is that it appears that classical physics is not powerful enough to efficiently simulate quantum physics. The basic principle is still believed to be true; however, we need a computing model capable of simulating arbitrary 'realistic' physical devices, including quantum devices. The answer may be a quantum version of the strong Church–Turing Thesis, where we replace the probabilistic Turing machine with some reasonable type of *quantum* computing model. We describe a quantum model of computing in Chapter 4 that is equivalent in power to what is known as a quantum Turing machine.

**Quantum Strong Church–Turing Thesis:** A quantum Turing machine can efficiently simulate any realistic model of computation.

### 1.3 The Circuit Model of Computation

In Section 1.2, we discussed a prototypical computer (or model of computation) known as the probabilistic Turing machine. Another useful model of computation is that of a uniform families of reversible circuits. (We will see in Section 1.5 why we can restrict attention to reversible gates and circuits.) Circuits are networks composed of wires that carry bit values to gates that perform elementary operations on the bits. The circuits we consider will all be acyclic, meaning that the bits move through the circuit in a linear fashion, and the wires never feed back to a prior location in the circuit. A circuit  $C_n$  has n wires, and can be described by a circuit diagram similar to that shown in Figure 1.1 for n = 4. The input bits are written onto the wires entering the circuit from the left side of the diagram. At every time step t each wire can enter at most one gate G. The output bits are read-off the wires leaving the circuit at the right side of the diagram.

A circuit is an array or network of gates, which is the terminology often used in the quantum setting. The gates come from some finite family, and they take



**Fig. 1.1** A circuit diagram. The horizontal lines represent 'wires' carrying the bits, and the blocks represent gates. Bits propagate through the circuit from left to right. The input bits  $i_1, i_2, i_3, i_4$  are written on the wires at the far left edge of the circuit, and the output bits  $o_1, o_2, o_3, o_4$  are read-off the far right edge of the circuit.

information from input wires and deliver information along some output wires. A family of circuits is a set of circuits  $\{C_n | n \in \mathbb{Z}^+\}$ , one circuit for each input size n. The family is uniform if we can easily construct each  $C_n$  (say by an appropriately resource-bounded Turing machine). The point of uniformity is so that one cannot 'sneak' computational power into the definitions of the circuits themselves. For the purposes of this textbook, it suffices that the circuits can be generated by a Turing machine (or an equivalent model, like the log-RAM) in time in  $O(n^k |C_n|)$ , for some non-negative constant k, where  $|C_n|$  denotes the number of gates in  $C_n$ .

An important notion is that of *universality*. It is convenient to show that a finite set of different gates is all we need to be able to construct a circuit for performing any computation we want. This is captured by the following definition.

**Definition 1.3.1** A set of gates is universal for classical computation if, for any positive integers n, m, and function  $f : \{0, 1\}^n \to \{0, 1\}^m$ , a circuit can be constructed for computing f using only gates from that set.

A well-known example of a set of gates that is universal for classical computation is {NAND, FANOUT}.<sup>1</sup> If we restrict ourselves to reversible gates, we cannot achieve universality with only one- and two-bit gates. The *Toffoli* gate is a reversible three-bit gate that has the effect of flipping the third bit, if and only if the first two bits are both in state 1 (and does nothing otherwise). The set consisting of just the Toffoli gate is universal for classical computation.<sup>2</sup>

In Section 1.2, we extended the definition of the Turing machine and defined the probabilistic Turing machine. The probabilistic Turing machine is obtained by equipping the Turing machine with a 'coin-flipper' capable of generating a random binary value in a single time-step. (There are other equivalent ways of formally defining a probabilistic Turing machine.) We mentioned that it is an open question whether a probabilistic Turing machine is more powerful than a deterministic Turing machine; there are some problems that we do not know how to solve on a deterministic Turing machine but we know how to solve efficiently on a probabilistic Turing machine. We can define a model of *probabilistic circuits* similarly by allowing our circuits to use a 'coin-flipping gate', which is a gate that acts on a single bit, and outputs a random binary value for that bit (independent of the value of the input bit).

When we considered Turing machines in Section 1.2, we saw that the complexity of a computation could be specified in terms of the amount of *time* or *space* the machine uses to complete the computation. For the circuit model of computation one natural measure of complexity is the number of gates used in the circuit  $C_n$ . Another is the *depth* of the circuit. If we visualize the circuit as being divided

 $<sup>^1{\</sup>rm The}$  NAND gate computes the negation of the logical AND function, and the FANOUT gate outputs two copies of a single input wire.

 $<sup>^{2}</sup>$ For the Toffoli gate to be universal we need the ability to add ancillary bits to the circuit that can be initialized to either 0 or 1 as required.

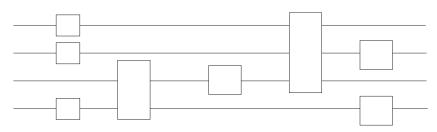


Fig. 1.2 A circuit of depth 5, space (width) 4, and having a total of 8 gates.

into a sequence of discrete time-slices, where the application of a single gate requires a single time-slice, the depth of a circuit is its total number of timeslices. Note that this is not necessarily the same as the total number of gates in the circuit, since gates that act on disjoint bits can often be applied in *parallel* (e.g. a pair of gates could be applied to the bits on two different wires during the same time-slice). A third measure of complexity for a circuit is analogous to space for a Turing machine. This is the total number of bits, or 'wires' in the circuit, sometimes called the *width* or *space* of the circuit. These measures of circuit complexity are illustrated in Figure 1.2.

### 1.4 A Linear Algebra Formulation of the Circuit Model

In this section we formulate the circuit model of computation in terms of vectors and matrices. This is not a common approach taken for classical computer science, but it does make the transition to the standard formulation of quantum computers much more direct. It will also help distinguish the new notations used in quantum information from the new concepts. The ideas and terminology presented here will be generalized and recur throughout this book.

Suppose you are given a description of a circuit (e.g. in a diagram like Figure 1.1), and a specification of some input bit values. If you were asked to predict the output of the circuit, the approach you would likely take would be to trace through the circuit from left to right, updating the values of the bits stored on each of the wires after each gate. In other words, you are following the 'state' of the bits on the wires as they progress through the circuit. For a given point in the circuit, we will often refer to the state of the bits on the wires at that point in the circuit simply as the 'state of the computer' at that point.

The state associated with a given point in a *deterministic* (non-probabilistic) circuit can be specified by listing the values of the bits on each of the wires in the circuit. The 'state' of any particular wire at a given point in a circuit, of course, is just the value of the bit on that wire (0 or 1). For a probabilistic circuit, however, this simple description is not enough.

Consider a single bit that is in state 0 with probability  $p_0$  and in state 1 with probability  $p_1$ . We can summarize this information by a 2-dimensional vector of probabilities

$$\begin{pmatrix} p_0 \\ p_1 \end{pmatrix}. \tag{1.4.1}$$

Note that this description can also be used for deterministic circuits. A wire in a deterministic circuit whose state is 0 could be specified by the probabilities  $p_0 = 1$  and  $p_1 = 0$ , and the corresponding vector

$$\begin{pmatrix} 1\\ 0 \end{pmatrix}. \tag{1.4.2}$$

Similarly, a wire in state 1 could be represented by the probabilities  $p_0 = 0$ ,  $p_1 = 1$ , and the vector

$$\begin{pmatrix} 0\\1 \end{pmatrix}. \tag{1.4.3}$$

Since we have chosen to represent the states of wires (and collections of wires) in a circuit by vectors, we would like to be able to represent gates in the circuit by operators that act on the state vectors appropriately. The operators are conveniently described by matrices. Consider the logical NOT gate. We would like to define an operator (matrix) that behaves on state vectors in a manner consistent with the behaviour of the NOT gate. If we know a wire is in state 0 (so  $p_0 = 1$ ), the NOT gate maps it to state 1 (so  $p_1 = 1$ ), and vice versa. In terms of the vector representations of these states, we have

NOT 
$$\begin{pmatrix} 1\\0 \end{pmatrix} = \begin{pmatrix} 0\\1 \end{pmatrix}$$
, NOT  $\begin{pmatrix} 0\\1 \end{pmatrix} = \begin{pmatrix} 1\\0 \end{pmatrix}$ . (1.4.4)

This implies that we can represent the NOT vector by the matrix

$$NOT \equiv \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}. \tag{1.4.5}$$

To 'apply' the gate to a wire in a given state, we multiply the corresponding state vector on the left by the matrix representation of the gate:

NOT 
$$\begin{pmatrix} p_0 \\ p_1 \end{pmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{pmatrix} p_1 \\ p_0 \end{pmatrix}$$
. (1.4.6)

Suppose we want to describe the state associated with a given point in a probabilistic circuit having two wires. Suppose the state of the first wire at the given point is 0 with probability  $p_0$  and 1 with probability  $p_1$ . Suppose the state of the second wire at the given point is 0 with probability  $q_0$  and 1 with probability  $q_1$ . The four possibilities for the combined state of both wires at the given point are  $\{00,01,10,11\}$  (where the binary string ij indicates that the first wire is in state i and the second wire in state j). The probabilities associated with each of these

four states are obtained by multiplying the corresponding probabilities for each of the four states:

$$\operatorname{prob}(ij) = p_i q_j. \tag{1.4.7}$$

This means that the combined state of both wires can be described by the 4-dimensional vector of probabilities

$$\begin{pmatrix} p_0 q_0 \\ p_0 q_1 \\ p_1 q_0 \\ p_1 q_1 \end{pmatrix}.$$
 (1.4.8)

As we will see in Section 2.6, this vector is the *tensor product* of the 2-dimensional vectors for the states of the first and second wires separately:

$$\begin{pmatrix} p_0 q_0 \\ p_0 q_1 \\ p_1 q_0 \\ p_1 q_1 \end{pmatrix} = \begin{pmatrix} p_0 \\ p_1 \end{pmatrix} \otimes \begin{pmatrix} q_0 \\ q_1 \end{pmatrix}.$$
(1.4.9)

Tensor products (which will be defined more generally in Section 2.6) arise naturally when we consider probabilistic systems composed of two or more subsystems.

We can also represent gates acting on more than one wire. For example, the controlled-NOT gate, denoted CNOT. This is a gate that acts on two bits, labelled the *control* bit and the *target bit*. The action of the gate is to apply the NOT operation to the target if the control bit is 0, and do nothing otherwise (the control bit is always unaffected by the CNOT gate). Equivalently, if the state of the control bit is c, and the target bit is in state t the CNOT gate maps the target bit to  $t \oplus c$  (where ' $\oplus$ ' represents the logical exclusive-OR operation, or addition modulo 2). The CNOT gate is illustrated in Figure 1.3.

The CNOT gate can be represented by the matrix

Fig. 1.3 The reversible CNOT gate flips the value of the target bit t if and only if the control bit c has value 1.

Consider, for example, a pair of wires such that the first wire is in state 1 and the second in state 0. This means that the 4-dimensional vector describing the combined state of the pair of wires is

$$\begin{pmatrix} 0\\0\\1\\0 \end{pmatrix}.$$
 (1.4.11)

Suppose we apply to the CNOT gate to this pair of wires, with the first wire as the control bit, and the second as the target bit. From the description of the CNOT gate, we expect the result should be that the control bit (first wire) remains in state 1, and the target bit (second wire) flips to state 1. That is, we expect the resulting state vector to be

$$\begin{pmatrix} 0\\0\\0\\1 \end{pmatrix}.$$
 (1.4.12)

We can check that the matrix defined above for CNOT does what we expect:

$$\operatorname{CNOT} \begin{pmatrix} 0\\0\\1\\0 \end{pmatrix} \equiv \begin{bmatrix} 1 & 0 & 0 & 0\\0 & 1 & 0 & 0\\0 & 0 & 0 & 1\\0 & 0 & 1 & 0 \end{bmatrix} \begin{pmatrix} 0\\0\\1\\0 \end{pmatrix} = \begin{pmatrix} 0\\0\\0\\1 \end{pmatrix}.$$
(1.4.13)

It is also interesting to note that if the first bit is in the state

$$\begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix}$$

and the second bit is in the state

$$\begin{pmatrix} 1\\ 0 \end{pmatrix}$$

then applying the CNOT will create the state

$$\begin{pmatrix} \frac{1}{2} \\ 0 \\ 0 \\ \frac{1}{2} \end{pmatrix}.$$

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This state cannot be factorized into the tensor product of two independent probabilistic bits. The states of two such bits are *correlated*. We have given a brief overview of the circuit model of computation, and presented a convenient formulation for it in terms of matrices and vectors. The circuit model and its formulation in terms of linear algebra will be generalized to describe quantum computers in Chapter 4.

### 1.5 Reversible Computation

The theory of quantum computing is related to a theory of reversible computing. A computation is reversible if it is always possible to uniquely recover the input, given the output. For example, the NOT operation is reversible, because if the output bit is 0, you know the input bit must have been 1, and vice versa. On the other hand, the AND operation is not reversible (see Figure 1.4).

As we now describe, any (generally irreversible) computation can be transformed into a reversible computation. This is easy to see for the circuit model of computation. Each gate in a finite family of gates can be made reversible by adding some additional input and output wires if necessary. For example, the AND gate can be made reversible by adding an additional input wire and two additional output wires (see Figure 1.5). Note that additional information necessary to reverse the operation is now kept and accounted for. Whereas in any physical implementation of a logically irreversible computation, the information that would allow one to reverse it is somehow discarded or absorbed into the environment.

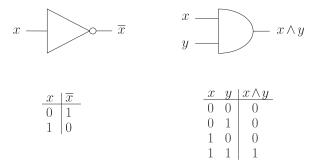
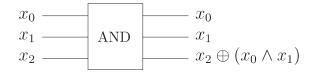


Fig. 1.4 The NOT and AND gates. Note that the NOT gate is reversible while the AND gate is not.



**Fig. 1.5** The reversible AND gate keeps a copy of the inputs and adds the AND of  $x_0$  and  $x_1$  (denoted  $x_1 \wedge x_2$ ) to the value in the additional input bit. Note that by fixing the additional input bit to 0 and discarding the copies of the  $x_0$  and  $x_1$  we can simulate the non-reversible AND gate.

Note that the reversible AND gate which is in fact the Toffoli gate defined in the previous section, is a generalization of the CNOT gate (the CNOT gate is reversible), where there are two bits controlling whether the NOT is applied to the third bit.

By simply replacing all the irreversible components with their reversible counterparts, we get a reversible version of the circuit. If we start with the output, and run the circuit backwards (replacing each gate by its inverse), we obtain the input again. The reversible version might introduce some constant number of additional wires for each gate. Thus, if we have an irreversible circuit with depth T and space S, we can easily construct a reversible version that uses a total of O(S+ST) space and depth T. Furthermore, the additional 'junk' information generated by making each gate reversible can also be erased at the end of the computation by first copying the output, and then running the reversible circuit in reverse to obtain the starting state again. Of course, the copying has to be done in a reversible manner, which means that we cannot simply overwrite the value initially in the copy register. The reversible copying can be achieved by a sequence of CNOT gates, which XOR the value being copied with the value initially in the copy register. By setting the bits in the copy register initially to 0, we achieved the desired effect. This reversible scheme<sup>3</sup> for computing a function f is illustrated in Figure 1.6.

**Exercise 1.5.1** A sequence of *n* CNOT gates with the target bits all initialized to 0 is the simplest way to copy an *n*-bit string *y* stored in the control bits. However, more sophisticated copy operations are also possible, such as a circuit that treats a string *y* as the binary representation of the integer  $y_1 + 2y_2 + 4y_3 + \cdots 2^{n-1}y_n$  and adds *y* modulo  $2^n$  to the copy register (modular arithmetic is defined in Section 7.3.2).

Describe a reversible 4-bit circuit that adds modulo 4 the integer  $y \in \{0, 1, 2, 3\}$  represented in binary in the first two bits to the integer z represented in binary in the last two bits.

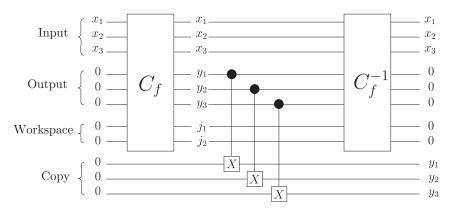
If we suppress the 'temporary' registers that are 0 both before and after the computation, the reversible circuit effectively computes

$$(x_1, x_2, x_3), (c_1, c_2, c_3) \longmapsto (x_1, x_2, x_3), (c_1 \oplus y_1, c_2 \oplus y_2, c_3 \oplus y_3), \qquad (1.5.1)$$

where  $f(x_1, x_2, x_3) = (y_1, y_2, y_3)$ . In general, given an implementation (not necessarily reversible) of a function f, we can easily describe a reversible implementation of the form

$$(x,c) \longmapsto (x,c \oplus f(x))$$

<sup>3</sup>In general, reversible circuits for computing a function f do not need to be of this form, and might require much fewer than twice the number of gates as a non-reversible circuit for implementing f.



**Fig. 1.6** A circuit for reversibly computing f(x). Start with the input. Compute f(x) using reversible logic, possibly generating some extra 'junk' bits  $j_1$  and  $j_2$ . The block labelled  $C_f$  represents a circuit composed of reversible gates. Then copy the output y = f(x) to another register. Finally run the circuit for  $C_f$  backwards (replacing each gate by its inverse gate) to erase the contents of the output and workspace registers. Note we write the operation of the backwards circuit by  $C_f^{-1}$ .

with modest overhead. There are more sophisticated techniques that can often be applied to achieve reversible circuits with different time and space bounds than described above. The approach we have described is intended to demonstrate that in principle we can *always* find some reversible circuit for any given computation.

In classical computation, one could choose to be more environmentally friendly and *uncompute* redundant or junk information, and reuse the cleared-up memory for another computation. However, simply discarding the redundant information does not actually affect the outcome of the computation. In quantum computation however, discarding information that is correlated to the bits you keep can drastically change the outcome of a computation. For this reason, the theory of reversible computation plays an important role in the development of quantum algorithms. In a manner very similar to the classical case, reversible quantum operations can efficiently simulate non-reversible quantum operations (and sometimes vice versa) so we generally focus attention on reversible quantum gates. However, for the purposes of implementation or algorithm design, this is not always necessary (e.g. one can cleverly configure special families of non-reversible gates to efficiently simulate reversible ones).

**Example 1.5.1** As pointed out in Section 1.3, the computing model corresponding to uniform families of acyclic reversible circuits can efficiently simulate any standard model of classical computation. This section shows how any function that we know how to efficiently compute on a classical computer has a uniform family of acyclic reversible circuits that implements the function reversibly as illustrated in Equation 1.5.1.

Consider, for example, the arcsin function which maps  $[0,1] \mapsto [0,\frac{\pi}{2}]$  so that  $\sin(\arcsin(x)) = x$  for any  $x \in [0,1]$ . Since one can efficiently compute *n*-bit

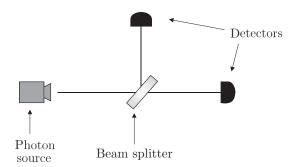


Fig. 1.7 Experimental setup with one beam splitter.

approximations of the arcsin function on a classical computer (e.g., using its Taylor expansion), then there is a uniform family of acyclic reversible circuits,  $\operatorname{ARCSIN}_{n,m}$ , of size polynomial in n and m, that implement the function  $\arcsin_{n,m} : \{0,1\}^n \mapsto \{0,1\}^m$  which approximately computes the arcsin function in the following way. If  $y = \arcsin_{n,m}(x)$ , then

$$\operatorname{arcsin}\left(\frac{x}{2^n}\right) - \frac{\pi y}{2^{m+1}} \Big| < \frac{1}{2^m}$$

The reversible circuit effectively computes

 $(x_1, x_2, \dots, x_n), (c_1, c_2, c_3, \dots, c_m) \longmapsto (x_1, x_2, \dots, x_n), (c_1 \oplus y_1, c_2 \oplus y_2, \dots, c_m \oplus y_m)$ (1.5.2)
where  $y = y_1 y_2 \dots y_n$ .

### 1.6 A Preview of Quantum Physics

Here we describe an experimental set-up that cannot be described in a natural way by classical physics, but has a simple quantum explanation. The point we wish to make through this example is that the description of the universe given by quantum mechanics differs in *fundamental* ways from the classical description. Further, the quantum description is often at odds with our intuition, which has evolved according to observations of macroscopic phenomena which are, to an extremely good approximation, classical.

Suppose we have an experimental set-up consisting of a photon source, a beam splitter (which was once implemented using a half-silvered mirror), and a pair of photon detectors. The set-up is illustrated in Figure 1.7.

Suppose we send a series of individual photons<sup>4</sup> along a path from the photon source towards the beam splitter. We observe the photon arriving at the detector on the right on the beam splitter half of the time, and arriving at the detector above the beam splitter half of the time, as illustrated in Figure 1.8. The simplest way to explain this behaviour in a theory of physics is to model the beam splitter as effectively flipping a fair coin, and choosing whether to transmit or reflect the

 $<sup>^{4}</sup>$ When we reduce the intensity of a light source we observe that it actually comes out in discrete "chunks", much like a faint beam of matter comes out one atom at a time. These discrete quanta of light are called "photons".

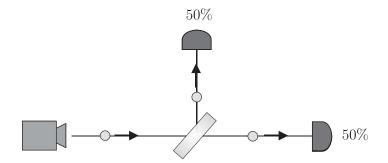


Fig. 1.8 Measurement statistics with one beam splitter.

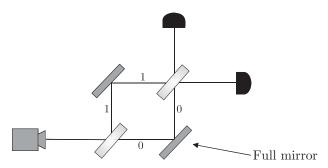


Fig. 1.9 Setup with two beam splitters.

photon based on the result of the coin-flip, whose outcome determines whether the photon is transmitted or reflected.

Now consider a modification of the set-up, shown in Figure 1.9, involving a pair of beam splitters, and fully reflecting mirrors to direct the photons along either of two paths. The paths are labelled 0 and 1 in Figure 1.9. It is important to note that the length of paths 0 and 1 are equal, so the photons arrive at the same time, regardless of which path is taken.

By treating the beam splitters as independently deciding at random whether to transmit or reflect incident photons, classical physics predicts that each of the detectors will register photons arriving 50 per cent of the time, on average. Here, however, the results of experiments reveal an entirely different behaviour. The photons are found arriving at only one of the detectors, 100 per cent of the time! This is shown in Figure 1.10.

The result of the modified experiment is startling, because it does not agree with our classical intuition. Quantum physics models the experiment in a way that correctly predicts the observed outcomes. The non-intuitive behaviour results from features of quantum mechanics called *superposition* and *interference*. We will give a preview of the new framework introduced to explain this interference.