Ingemar J. Cox Matthew L. Miller Jeffrey A. Bloom Jessica Fridrich Ton Kalker

Digital Watermarking and Steganography





SECOND EDITION

Digital Watermarking and Steganography

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Second Edition

Ingemar J. Cox Matthew L. Miller Jeffrey A. Bloom Jessica Fridrich Ton Kalker



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This book is dedicated to the memory of

Ingy Cox

Age 12

May 23, 1986 to January 27, 1999

The light that burns twice as bright burns half as long—and you have burned so very very brightly.

—Eldon Tyrell to Roy Batty in *Blade Runner*. Screenplay by Hampton Fancher and David Peoples. This page intentionally left blank

Contents

Preface to the First Edition				
Preface to the Second Edition				
Examj	ple Wate	rmarking Systems	xxi	
CHA	PTER 1	Introduction	1	
1.1	Informa	tion Hiding, Steganography, and Watermarking	4	
1.2	History	of Watermarking	6	
1.3	History	of Steganography	9	
1.4	Importa	nce of Digital Watermarking	11	
1.5	Importa	nce of Steganography	12	
CHA	PTER 2	Applications and Properties	15	
2.1	Applicat	tions of Watermarking	16	
	2.1.1	Broadcast Monitoring	16	
	2.1.2	Owner Identification	19	
	2.1.3	Proof of Ownership	21	
	2.1.4	Transaction Tracking	23	
	2.1.5	Content Authentication	25	
	2.1.6	Copy Control	27	
	2.1.7	Device Control	31	
	2.1.8	Legacy Enhancement	32	
2.2	Applicat	tions of Steganography	34	
	2.2.1	Steganography for Dissidents	34	
	2.2.2	Steganography for Criminals	35	
2.3	Properti	ies of Watermarking Systems	36	
	2.3.1	Embedding Effectiveness	37	
	2.3.2	Fidelity	37	
	2.3.3	Data Payload	38	
	2.3.4	Blind or Informed Detection	39	
	2.3.5	False Positive Rate	39	
	2.3.6	Robustness	40	
	2.3.7	Security	41	
	2.3.8	Cipher and Watermark Keys	43	
	2.3.9	Modification and Multiple Watermarks	45	
	2.3.10	Cost	46	
2.4	Evaluati	ng Watermarking Systems	46	
	2.4.1	The Notion of "Best"	47	
	2.4.2	Benchmarking	47	
	2.4.3	Scope of Testing	48	vii

2.5	Propert	ties of Steganographic and Steganalysis Systems	49
	2.5.1	Embedding Effectiveness	49
	2.5.2	Fidelity	50
	2.5.3	Steganographic Capacity, Embedding Capacity,	
		Embedding Efficiency, and Data Payload	50
	2.5.4	Blind or Informed Extraction	51
	2.5.5	Blind or Targeted Steganalysis	51
	2.5.6	Statistical Undetectability	52
	2.5.7	False Alarm Rate	53
	2.5.8	Robustness	53
	2.5.9	Security	54
	2.5.10	Stego Key	54
2.6	Evaluat	ing and Testing Steganographic Systems	55
2.7	Summa	ıry	56
СНА	PTER 3	3 Models of Watermarking	61
3.1	Notatio	on	62
3.2	Commu	unications	63
	3.2.1	Components of Communications Systems	63
	3.2.2	Classes of Transmission Channels	64
	3.2.3	Secure Transmission	65
3.3	Commu	unication-Based Models of Watermarking	67
	3.3.1	Basic Model	67
	3.3.2	Watermarking as Communications with Side	
		Information at the Transmitter	75
	3.3.3	Watermarking as Multiplexed Communications	78
3.4	Geome	tric Models of Watermarking	80
	3.4.1	Distributions and Regions in Media Space	81
	3.4.2	Marking Spaces	87
3.5	Modeli	ng Watermark Detection by Correlation	95
	3.5.1	Linear Correlation	96
	3.5.2	Normalized Correlation	97
	3.5.3	Correlation Coefficient	100
3.6	Summa	ury	102
CHA	PTER 4	4 Basic Message Coding	105
4.1	Mappin	ng Messages into Message Vectors	106
	4.1.1	Direct Message Coding	106
	4.1.2	Multisymbol Message Coding	110
4.2	Error C	Correction Coding	117
	4.2.1	The Problem with Simple Multisymbol Messages	117
	4.2.2	The Idea of Error Correction Codes	118
	4.2.3	Example: Trellis Codes and Viterbi Decoding	119

4.3	Detect	ing Multisymbol Watermarks	124
	4.3.1	Detection by Looking for Valid Messages	125
	4.3.2	Detection by Detecting Individual Symbols	126
	4.3.3	Detection by Comparing against Quantized Vectors	128
4.4	Summa	ary	134
CHA	PTER	5 Watermarking with Side Information	137
5.1	Inform	ed Embedding	139
	5.1.1	Embedding as an Optimization Problem	140
	5.1.2	Optimizing with Respect to a Detection Statistic	141
	5.1.3	Optimizing with Respect to an Estimate of	
		Robustness	147
5.2	Waterr	narking Using Side Information	153
	5.2.1	Formal Definition of the Problem	153
	5.2.2	Signal and Channel Models	155
	5.2.3	Optimal Watermarking for a Single Cover Work	156
	5.2.4	Optimal Coding for Multiple Cover Works	157
	5.2.5	A Geometrical Interpretation of White Gaussian	
		Signals	158
	5.2.6	Understanding Shannon's Theorem	159
	5.2.7	Correlated Gaussian Signals	161
5.3	Dirty-P	Paper Codes	164
	5.3.1	Watermarking of Gaussian Signals: First Approach	164
	5.3.2	Costa's Insight: Writing on Dirty Paper	170
	5.3.3	Scalar Watermarking	175
	5.3.4	Lattice Codes	179
5.4	Summa	ary	181
CHA	PTER	6 Practical Dirty-Paper Codes	183
6.1	Practic	al Considerations for Dirty-Paper Codes	183
	6.1.1	Efficient Encoding Algorithms	184
	6.1.2	Efficient Decoding Algorithms	185
	6.1.3	Tradeoff between Robustness and Encoding Cost	186
6.2	Broad	Approaches to Dirty-Paper Code Design	188
	6.2.1	Direct Binning	188
	6.2.2	Quantization Index Modulation	188
	6.2.3	Dither Modulation	189
6.3	Implen	nenting DM with a Simple Lattice Code	189
6.4	Typica	1 Tricks in Implementing Lattice Codes	194
	6.4.1	Choice of Lattice	194
	6.4.2	Distortion Compensation	194
	6.4.3	Spreading Functions	195
	6.4.4	Dither	195

6.5	Coding with Better Lattices	97
	6.5.1 Using Nonorthogonal Lattices	97
	6.5.2 Important Properties of Lattices	99
	6.5.3 Constructing a Dirty-Paper Code from E_8 20	01
6.6	Making Lattice Codes Survive Valumetric Scaling	04
	6.6.1 Scale-Invariant Marking Spaces	05
	6.6.2 Rational Dither Modulation	07
	6.6.3 Inverting Valumetric Scaling	08
6.7	Dirty-Paper Trellis Codes	08
6.8	Summary 2	12
СНА	APTER 7 Analyzing Errors 2	13
7.1	Message Errors	14
7.2	False Positive Errors 2	18
	7.2.1 Random-Watermark False Positive	19
	7.2.2 Random-Work False Positive	21
7.3	False Negative Errors	25
7.4	ROC Curves	28
	7.4.1 Hypothetical ROC	28
	7.4.2 Histogram of a Real System	30
	7.4.3 Interpolation Along One or Both Axes	31
7.5	The Effect of Whitening on Error Rates	32
7.6	Analysis of Normalized Correlation	39
,	7.6.1 False Positive Analysis	40
	7.6.2 False Negative Analysis	50
7.7	Summary	52
СНА	APTER 8 Using Perceptual Models 24	55
81	Evaluating Perceptual Impact of Watermarks	55
0.1	81.1 Fidelity and Quality 2	56
	812 Human Evaluation Measurement Techniques 2	57
	813 Automated Evaluation	60
82	General Form of a Perceptual Model	63
0.2	8.2.1 Sensitivity 20	63
	8.2.2 Masking 20	66
	8.2.3 Pooling 20	67
83	Two Examples of Perceptual Models	69
0.5	8.3.1 Watson's DCT-Based Visual Model	69
	8.3.2 A Perceptual Model for Audio	73
8.4	Perceptually Adaptive Watermarking 2	77
	8.4.1 Perceptual Shaping	, , 80
	8.4.2 Optimal Use of Perceptual Models	87
8.5	Summary 20	95
0.7		//

CHA	APTER 9 Robust Watermarking	297
9.1	Approaches	298
	9.1.1 Redundant Embedding	299
	9.1.2 Spread Spectrum Coding	300
	9.1.3 Embedding in Perceptually Significant Coefficients	301
	9.1.4 Embedding in Coefficients of Known Robustness	302
	9.1.5 Inverting Distortions in the Detector	303
	9.1.6 Preinverting Distortions in the Embedder	304
9.2	Robustness to Valumetric Distortions	308
	9.2.1 Additive Noise	308
	9.2.2 Amplitude Changes	312
	9.2.3 Linear Filtering	314
	9.2.4 Lossy Compression	319
	9.2.5 Quantization	320
9.3	Robustness to Temporal and Geometric Distortions	325
	9.3.1 Temporal and Geometric Distortions	326
	9.3.2 Exhaustive Search	327
	9.3.3 Synchronization/Registration in Blind Detectors	328
	9.3.4 Autocorrelation	329
	9.3.5 Invariant Watermarks	330
	9.3.6 Implicit Synchronization	331
9.4	Summary	332
СНА	PTER 10 Watermark Security	335
10.1	Security Requirements	335
10.1	10.1.1 Restricting Watermark Operations	336
	10.1.2 Public and Private Watermarking	338
	10.1.2 Categories of Attack	340
	10.1.4 Assumptions about the Adversary	345
10.2	Watermark Security and Cryptography	348
10.2	10.2.1 The Analogy between Watermarking and	510
	Cryptography	348
	10.2.2 Preventing Unauthorized Detection	349
	10.2.2 Preventing Unauthorized Embedding	351
	10.2.4 Preventing Unauthorized Removal	355
10.3	Some Significant Known Attacks	358
10.5	10.3.1 Scrambling Attacks	350
	10.3.2 Pathological Distortions	350
	10.3.3 Conv Attacks	361
	10.3.4 Ambiguity Attacks	362
	10.3.5 Sensitivity Analysis Attacks	367
	10.3.6 Gradient Descent Attacks	372
10 4		372
10.4	Summary	3/3

CHA	PTER 11 Content Authentication 3
11.1	Exact Authentication 3
	11.1.1 Fragile Watermarks
	11.1.2 Embedded Signatures
	11.1.3 Erasable Watermarks
11.2	Selective Authentication 3
	11.2.1 Legitimate versus Illegitimate Distortions
	11.2.2 Semi-Fragile Watermarks
	11.2.3 Embedded, Semi-Fragile Signatures
	11.2.4 Telltale Watermarks
11.3	Localization
	11.3.1 Block-Wise Content Authentication
	11.3.2 Sample-Wise Content Authentication
	11.3.3 Security Risks with Localization
11.4	Restoration
	11.4.1 Embedded Redundancy
	11.4.2 Self-Embedding
	11.4.3 Blind Restoration 4
11.5	Summary
СНА	PTER 12 Steganography 4
12.1	Steganographic Communication
	12.1.1 The Channel
	12.1.2 The Building Blocks
12.2	Notation and Terminology
12.3	Information-Theoretic Foundations of Steganography
	12.3.1 Cachin's Definition of Steganographic Security 4
12.4	Practical Steganographic Methods
	12.4.1 Statistics Preserving Steganography
	12.4.2 Model-Based Steganography
	12.4.3 Masking Embedding as Natural Processing
12.5	Minimizing the Embedding Impact
	12.5.1 Matrix Embedding
	12.5.2 Nonshared Selection Rule
12.6	Summary
	,
СПУ	DTED 12 Stogonalyzig
	Stagenetics
13.1	Steganarysis Scenarios 4 12 1 1 Detection
	13.1.1 Detection
	13.1.2 FORENSIC STEGANARYSIS
10.0	15.1.5 The influence of the Cover Work on Steganalysis 4
13.2	Some Significant Steganalysis Algorithms
	13.2.1 LSB Embedding and the Histogram Attack 4

	13.2.2	Sample Pairs Analysis	480
	13.2.3	Blind Steganalysis of JPEG Images Using Calibration	486
	13.2.4	Blind Steganalysis in the Spatial Domain	489
13.3	Summa	ry	494
APPI	ENDIX	A Background Concepts	497
A.1	Informa	tion Theory	497
	A.1.1	Entropy	497
	A.1.2	Mutual Information	498
	A.1.3	Communication Rates	499
	A.1.4	Channel Capacity	500
A.2	Coding	Theory	503
	A.2.1	Hamming Distance	503
	A.2.2	Covering Radius	503
	A.2.3	Linear Codes	504
A.3	Cryptog	graphy	505
	A.3.1	Symmetric-Key Cryptography	505
	A.3.2	Asymmetric-Key Cryptography	506
	A.3.3	One-Way Hash Functions	508
	A.3.4	Cryptographic Signatures	510
APPI	ENDIX	B Selected Theoretical Results	511
APPI B.1	ENDIX Informa	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking	511
APPI B.1	ENDIX Informa (Moulir	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan)	511 511
APPI B.1	ENDIX Informa (Moulir B.1.1	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan)	511 511 511
APPI B.1	ENDIX Informa (Moulin B.1.1 B.1.2	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan)	511 511 511 513
APPI B.1	ENDIX Informa (Moulin B.1.1 B.1.2 B.1.3	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint	511 511 511 513 514
APPI B.1 B.2	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan)	511 511 511 513 514
APPI B.1 B.2	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P (Miller	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan)	511 511 513 514 517
APPI B.1 B.2 B.3	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P. (Miller Effect of	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan)	511 511 513 514 517 522
APPI B.1 B.2 B.3	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P. (Miller Effect of B.3.1	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan)	511 511 513 514 517 522 524
APPI B.1 B.2 B.3	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P (Miller Effect of B.3.1 B.3.2	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Background Basic Approach	511 511 513 514 517 522 524 524
APPI B.1 B.2 B.3	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P (Miller Effect of B.3.1 B.3.2 B.3.3	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Basic Approach Finding the Probability Density Function	511 511 513 514 517 522 524 524 524
APPI B.1 B.2 B.3	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P. (Miller Effect of B.3.1 B.3.2 B.3.3 B.3.4	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Background Finding the Probability Density Function Finding the Moment-Generating Function	511 511 513 514 517 522 524 524 524 524 525
APPI B.1 B.2 B.3	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P. (Miller Effect of B.3.1 B.3.2 B.3.3 B.3.4 B.3.5	B Selected Theoretical Results attion-Theoretic Analysis of Secure Watermarking and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Basic Approach Finding the Probability Density Function Finding the Moment-Generating Function Determining the Expected Correlation for a Gaussian	 511 511 513 514 517 522 524 524 524 524 525
APPI B.1 B.2 B.3	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P (Miller Effect of B.3.1 B.3.2 B.3.3 B.3.4 B.3.5	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Basic Approach Finding the Probability Density Function Finding the Moment-Generating Function Determining the Expected Correlation for a Gaussian Watermark and Laplacian Content	511 511 513 514 517 522 524 524 524 524 525 527
APPI B.1 B.2 B.3	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P (Miller Effect of B.3.1 B.3.2 B.3.3 B.3.4 B.3.5	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Basic Approach Finding the Probability Density Function Finding the Moment-Generating Function Determining the Expected Correlation for a Gaussian Watermark and Laplacian Content	 511 511 513 514 517 522 524 524 525 527
APPI B.1 B.2 B.3	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P. (Miller Effect of B.3.1 B.3.2 B.3.3 B.3.4 B.3.5 ENDIX	B Selected Theoretical Results ation-Theoretic Analysis of Secure Watermarking and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Background Finding the Probability Density Function Finding the Moment-Generating Function Determining the Expected Correlation for a Gaussian Watermark and Laplacian Content	 511 511 513 514 517 522 524 524 524 525 527 529
APPI B.1 B.2 B.3 APPI C.1	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P (Miller Effect of B.3.1 B.3.2 B.3.3 B.3.4 B.3.5 ENDIX Variable	B Selected Theoretical Results and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Background Finding the Probability Density Function Finding the Moment-Generating Function Determining the Expected Correlation for a Gaussian Watermark and Laplacian Content C Notation and Common Variables	 511 511 513 514 517 522 524 524 525 527 529 529
APPI B.1 B.2 B.3 APPI C.1 C.2	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P (Miller Effect of B.3.1 B.3.2 B.3.3 B.3.4 B.3.5 ENDIX Variable Operate	B Selected Theoretical Results and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Basic Approach Finding the Probability Density Function Finding the Moment-Generating Function Determining the Expected Correlation for a Gaussian Watermark and Laplacian Content Contation and Common Variables e Naming Conventions	 511 511 513 514 517 522 524 524 525 527 529 520 520
APPI B.1 B.2 B.3 APPI C.1 C.2 C.3	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P (Miller Effect of B.3.1 B.3.2 B.3.3 B.3.4 B.3.5 ENDIX Variable Operato Commo	B Selected Theoretical Results and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Basic Approach Finding the Probability Density Function Finding the Moment-Generating Function Determining the Expected Correlation for a Gaussian Watermark and Laplacian Content Or Notation and Common Variables e Naming Conventions on Variable Names	 511 511 513 514 517 522 524 524 525 527 529 520 520 530
APPI B.1 B.2 B.3 B.3 APPI C.1 C.2 C.3 C.4	ENDIX Informa (Moulir B.1.1 B.1.2 B.1.3 Error P. (Miller Effect of B.3.1 B.3.2 B.3.3 B.3.4 B.3.5 ENDIX Variable Operate Commo	B Selected Theoretical Results and O'Sullivan) Watermarking as a Game General Capacity of Watermarking Capacity with MSE Fidelity Constraint robabilities Using Normalized Correlation Detectors and Bloom) of Quantization Noise on Watermarks (Eggers and Girod) Background Basic Approach Finding the Probability Density Function Finding the Moment-Generating Function Determining the Expected Correlation for a Gaussian Watermark and Laplacian Content on Variable Names on Variable Names	511 511 513 514 517 522 524 524 524 524 525 527 529 529 530 530 530 532

Glossary	533
References	549
Index	575
About the Authors	591

Preface to the First Edition

Watermarking, as we define it, is the practice of hiding a message about an image, audio clip, video clip, or other work of media within that work itself. Although such practices have existed for quite a long time—at least several centuries, if not millennia—the field of *digital* watermarking only gained widespread popularity as a research topic in the latter half of the 1990s. A few earlier books have devoted substantial space to the subject of digital watermarking [171, 207, 219]. However, to our knowledge, this is the first book dealing exclusively with this field.

PURPOSE

Our goal with this book is to provide a framework in which to conduct research and development of watermarking technology. This book is not intended as a comprehensive survey of the field of watermarking. Rather, it represents our own point of view on the subject. Although we analyze specific examples from the literature, we do so only to the extent that they highlight particular concepts being discussed. (Thus, omissions from the Bibliography should not be considered as reflections on the quality of the omitted works.)

Most of the literature on digital watermarking deals with its application to images, audio, and video, and these application areas have developed somewhat independently. This is in part because each medium has unique characteristics, and researchers seldom have expertise in all three. We are no exception, our own backgrounds being predominantly in images and video. Nevertheless, the fundamental principles behind still image, audio, and video watermarking are the same, so we have made an effort to keep our discussion of these principles generic.

The principles of watermarking we discuss are illustrated with several example algorithms and experiments (the C source code is provided in Appendix C). All of these examples are implemented for image watermarking only. We decided to use only image-based examples because, unlike audio or video, images can be easily presented in a book.

The example algorithms are very simple. In general, they are not themselves useful for real watermarking applications. Rather, each algorithm is intended to provide a clear illustration of a specific idea, and the experiments are intended to examine the idea's effect on performance. The book contains a certain amount of repetition. This was a conscious decision, because we assume that many, if not most, readers will not read the book from cover to cover. Rather, we anticipate that readers will look up topics of interest and read only individual sections or chapters. Thus, if a point is relevant in a number of places, we may briefly repeat it several times. It is hoped that this will not make the book too tedious to read straight through, yet will make it more useful to those who read technical books the way we do.

CONTENT AND ORGANIZATION

Chapters 1 and 2 of this book provide introductory material. Chapter 1 provides a history of watermarking, as well as a discussion of the characteristics that distinguish watermarking from the related fields of data hiding and steganography. Chapter 2 describes a wide variety of applications of digital watermarking and serves as motivation. The applications highlight a variety of sometimes conflicting requirements for watermarking, which are discussed in more detail in the second half of the chapter.

The technical content of this book begins with Chapter 3, which presents several frameworks for modeling watermarking systems. Along the way, we describe, test, and analyze some simple image watermarking algorithms that illustrate the concepts being discussed. In Chapter 4, these algorithms are extended to carry larger data payloads by means of conventional messagecoding techniques. Although these techniques are commonly used in watermarking systems, some recent research suggests that substantially better performance can be achieved by exploiting side information in the encoding process. This is discussed in Chapter 5.

Chapter 7 analyzes message errors, false positives, and false negatives that may occur in watermarking systems. It also introduces whitening.

The next three chapters explore a number of general problems related to fidelity, robustness, and security that arise in designing watermarking systems, and present techniques that can be used to overcome them. Chapter 8 examines the problems of modeling human perception, and of using those models in watermarking systems. Although simple perceptual models for audio and still images are described, perceptual modeling is not the focus of this chapter. Rather, we focus on how any perceptual model can be used to improve the fidelity of the watermarked content.

Chapter 9 covers techniques for making watermarks survive several types of common degradations, such as filtering, geometric or temporal transformations, and lossy compression. Chapter 10 describes a framework for analyzing security issues in watermarking systems. It then presents a few types of malicious attacks to which watermarks might be subjected, along with possible countermeasures.

Finally, Chapter 11 covers techniques for using watermarks to verify the integrity of the content in which they are embedded. This includes the area of fragile watermarks, which disappear or become invalid if the watermarked Work is degraded in any way.

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Preface to the Second Edition

It has been almost 7 years since the publication of *Digital Watermarking*. During this period there has been significant progress in digital watermarking; and the field of steganography has witnessed increasing interest since the terrorist events of September 11, 2001.

Digital watermarking and steganography are closely related. In the first edition of *Digital Watermarking* we made a decision to distinguish between watermarking and steganography and to focus exclusively on the former. For this second edition we decided to broaden the coverage to include steganography and to therefore change the title of the book to *Digital Watermarking and Steganography*.

Despite the new title, this is *not* a new book, but a revision of the original. We hope this is clear from the backcover material and apologize in advance to any reader who thought otherwise.

CONTENT AND ORGANIZATION

The organization of this book closely follows that of the original. The treatment of watermarking and steganography is, for the most part, kept separate. The reasons for this are twofold. First, we anticipate that readers might prefer not to read the book from cover to cover, but rather read specific chapters of interest. And second, an integrated revision would require considerably more work.

Chapters 1 and 2 include new material related to steganography and, where necessary, updated material related to watermarking. In particular, Chapter 2 highlights the similarities and differences between watermarking and steganography.

Chapters 3, 4, 7, 8, 9, and 10 remain untouched, except that bibliographic citations have been updated.

Chapter 5 of the first edition has now been expanded to two chapters, reflecting the research interest in modeling watermarking as communications with side information. Chapter 5 provides a more detailed theoretical discussion of the topic, especially with regard to dirty-paper coding. Chapter 6 then provides a description of a variety of common dirty-paper coding techniques for digital watermarking.

Section 11.1.3 in Chapter 11 has been revised to include material on a variety of erasable watermarking methods.

Finally, two new chapters, Chapters 12 and 13, have been added. These chapters discuss steganography and steganalysis, respectively.

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Finally, to Matt, your coauthors send their strongest wishes-get well soon!

Example Watermarking Systems

In this book, we present a number of example watermarking systems to illustrate and test some of the main points. Discussions of test results provide additional insights and lead to subsequent sections.

Each investigation begins with a preamble. If a new watermarking system is being used, a description of the system is provided. Experimental procedures and results are then described.

The watermark embedders and watermark detectors that make up these systems are given names and are referred to many times throughout the book. The naming convention we use is as follows: All embedder and detector names are written in sans serif font to help set them apart from the other text. Embedder names all start with E_{-} and are followed by a word or acronym describing one of the main techniques illustrated by an algorithm. Similarly, detector names begin with D_{-} followed by a word or acronym. For example, the embedder in the first system is named $E_{-}BLIND$ (it is an implementation of blind embedding), and the detector is named $D_{-}LC$ (it is an implementation of linear correlation detection).

Each system used in an investigation consists of an embedder and a detector. In many cases, one or the other of these is shared with several other systems. For example, in Chapter 3, the D_LC detector is paired with the E_BLIND embedder in System 1 and with the E_FIXED_LC embedder in System 2. In subsequent chapters, this same detector appears again in a number of other systems. Each individual embedder and detector is described in detail in the first system in which it is used.

In the following, we list each of the 19 systems described in the text, along with the number of the page on which its description begins, as well as a brief review of the points it is meant to illustrate and how it works. The source code for these systems is provided in Appendix C.

The D_LC linear correlation detector calculates the correlation between the received image and the reference pattern. If the magnitude of the correlation is higher than a threshold, the watermark is declared to be present. The message is encoded in the sign of the correlation.

The E_BLK_BLIND embedder performs three basic steps. First, a 64dimensional vector, v_0 , is extracted from the unwatermarked image by averaging 8 × 8 blocks. Second, a reference mark, w_r , is scaled and either added to or subtracted from v_0 . This yields a marked vector, v_W . Finally, the difference between v_0 and v_W is added to each block in the image, thus ensuring that the extraction process (block averaging), when applied to the resulting image, will yield v_W .

The D_BLK_CC detector extracts a vector from an image by averaging 8×8 pixel blocks. It then compares the resulting 64-dimensional vector, v, against a reference mark using the correlation coefficient.

The D_SIMPLE_BITS detector correlates the received image against each of the eight reference patterns and uses the sign of each correlation to determine the most likely value for the corresponding bit. This yields the decoded message. The detector does not distinguish between marked and unwatermarked images.

 message is redundantly encoded as a sequence of symbols drawn from an alphabet of 16 symbols. A message pattern is then constructed by adding together reference patterns representing the symbols in the sequence. The pattern is then embedded with blind embedding.

The D_TRELLIS_8 detector uses a Viterbi decoder to determine the most likely 8-bit message. It does not distinguish between watermarked and unwatermarked images.

The D_BLK_8 detector averages 8×8 blocks and uses a Viterbi decoder to identify the most likely 8-bit message. It then reencodes that 8-bit message to find the most likely message mark, and tests for that message mark using the correlation coefficient.

The E_BLK_FIXED_CC embedder is based on the E_BLK_BLIND embedder, performing the same basic three steps of extracting a vector from the unwatermarked image, modifying that vector to embed the mark, and then modifying the image so that it will yield the new extracted vector. However, rather than modify the extracted vector by blindly adding or subtracting a reference mark, the E_BLK_FIXED_CC embedder finds the closest point in 64 space that will yield a specified correlation coefficient with the reference mark. The D_BLK_CC detector used here is the same as in the E_BLK_BLIND/D_BLK_CC system.

After extracting a vector from the unwatermarked image, the E_BLK_FIXED_R embedder finds the closest point in 64 space that is likely to lie within the detection region even after a specified amount of noise has been added. The D_BLK_CC detector used here is the same as in the E_BLK_BLIND/D_BLK_CC system.

The embedder takes a 345-bit message and applies an error correction code to obtain a sequence of 1,380 bits. It then identifies the sublattice that corresponds to this sequence of bits and quantizes the cover image to find the closest point in that sublattice. Finally, it modifies the image to obtain a watermarked image close to this lattice point.

The detector quantizes its input image to obtain the closest point on the entire lattice. It then identifies the sublattice that contains this point, which corresponds to a sequence of 1,380 bits. Finally, it decodes this bit sequence to obtain a 345-bit message. It makes no attempt to determine whether or not a watermark is present, but simply returns a random message when presented with an unwatermarked image.

The D_WHITE detector applies a whitening filter to the image and the watermark reference pattern before computing the linear correlation between them. The whitening filter is an 11×11 kernel derived from a simple model of the distribution of unwatermarked images as an elliptical Gaussian.

The D_WHITE_BLK_CC detector first extracts a 64 vector from the image by averaging 8 × 8 blocks. It then filters the result with the same whitening filter used in D_WHITE. This is roughly equivalent to filtering the image before extracting the vector. Finally, it computes the correlation coefficient between the filtered, extracted vector and a filtered version of a reference mark.

The E_PERC_GSCALE embedder is similar to the E_BLIND embedder in that, ultimately, it scales the reference mark and adds it to the image. However, in E_PERC_GSCALE the scaling is automatically chosen to obtain a specified perceptual distance, as measured by Watson's perceptual model.

The perceptual shaping is performed in three steps. First, the embedder converts the reference pattern into the block DCT domain (the domain in which Watson's model is defined). Next, it scales each term of the transformed reference pattern by a corresponding *slack* value obtained by applying Watson's model to the cover image. This amplifies the pattern in areas where the image can easily hide noise, and attenuates in areas where noise would be visible. Finally, the resultant shaped pattern is converted back into the spatial domain. The shaped pattern is then scaled and added to the image in the same manner as in E_PERC_GSCALE.

that the resulting pattern yields the highest possible correlation with the reference pattern for a given perceptual distance (as measured by Watson's model).

The E_MOD embedder is essentially the same as the E_BLIND embedder, in that it scales a reference pattern and adds it to the image. The difference is that the E_MOD embedder uses modulo 256 addition. This means that rather than being clipped to a range of 0 to 255, the pixel values wrap around. Therefore, for example, 253 + 4 becomes 1. Because of this wraparound, it is possible for someone who knows the watermark pattern and embedding strength to perfectly invert the embedding process, erasing the watermark and obtaining a bit-for-bit copy of the original.

The E_DCTQ embedder first converts the image into the block DCT domain used by JPEG. It then quantizes several high-frequency coefficients in each block to either an even or odd multiple of a quantization step size. Each quantized coefficient encodes either a 0, if it is quantized to an even multiple, or a 1, if quantized to an odd multiple. The pattern of 1s and 0s embedded depends on a key that is shared with the detector. The quantization step sizes are chosen according to the expected effect of JPEG compression at the worst quality factor the watermark should survive.

The D_DCTQ detector converts the image into the block DCT domain and identifies the closest quantization multiples for each of the high-frequency coefficients used during embedding. From these, it obtains a pattern of bits, which it compares against the pattern embedded. If enough bits match, the detector declares that the watermark is present.

The D_DCTQ detector can be modified to yield localized information about where an image has been corrupted. This is done by checking the number of correct bits in each block independently. Any block with enough correctly embedded bits is deemed authentic. The E_SFSIG embedder computes a bit pattern by comparing the magnitudes of corresponding low-frequency coefficients in randomly selected pairs of blocks. Because quantization usually does not affect the relative magnitudes of different values, most bits of this signature should be unaffected by JPEG (which quantizes images in the block DCT domain). The signature is embedded in the high-frequency coefficients of the blocks using the same method used in E_DCTQ.

The D_SFSIG detector computes a signature in the same way as E_SFSIG and compares it against the watermark found in the high-frequency coefficients. If enough bits match, the watermark is deemed present.

The E_PXL embedder embeds a predefined binary pattern, usually a tiled logo that can be easily recognized by human observers. Each bit is embedded in one pixel according to a secret mapping of pixel values into bit values (known to both embedder and detector). The pixel is moved to the closest value that maps to the desired bit value. Error diffusion is used to minimize the perceptual impact.

The D_PXL detector simply maps each pixel value to a bit value according to the secret mapping. Regions of the image modified since the watermark was embedded result in essentially random bit patterns, whereas unmodified regions result in the embedded pattern. By examining the detected bit pattern, it is easy to see where the image has been modified.

Linear System Solver for Matrices Satisfying Robust Soliton Distribution: This system describes a method for solving a system of linear equations, Ax = y, when the Hamming weights of the matrix A columns follow a robust soliton distribution. It is intended to be used as part of a practical implementation of wet paper codes with non-shared selection rules.

The SE_LTSOLVER accepts on its input the linear system matrix, A, and the right hand side, y, and outputs the solution to the system if it exists,

or a message that the solution cannot be found. The solution proceeds by repeatedly swapping the rows and columns of the matrix until an upper diagonal matrix is obtained (if the system has a solution). The solution is then found by backsubstitution as in classical Gaussian elimination and re-permuting the solution vector.

It works by first dividing all pixels in the image into pairs and then assigns them to several categories. The cardinalities of the categories are used to form a quadratic equation for the unknown relative number of flipped LSBs. The input is a grayscale image, the output is the estimate of the relative message length in bits per pixel.

The SD_DEN_FEATURES system first applies a denoising filter to the image and then extracts the noise residual, which is subsequently transformed to the wavelet domain. Statistical moments of the coefficients from the three highest-frequency subbands are then calculated as features for steganalysis. Classification can be performed using a variety of machine learning tools.

CHAPTER Introduction

Hold an American \$20 bill up to the light. If you are looking at the side with the portrait of president Andrew Jackson, you will see that the portrait is echoed in a watermark on the right. This watermark is embedded directly into the paper during the papermaking process, and is therefore very difficult to forge. It also thwarts a common method of counterfeiting in which the counterfeiter washes the ink out of \$20 bills and prints \$100 bills on the same paper.

The watermark on the \$20 bill (Figure 1.1), just like most paper watermarks today, has two properties that relate to the subject of the present book. First, the watermark is hidden from view during normal use, only becoming visible as a result of a special viewing process (in this case, holding the bill up to the light). Second, the watermark carries information about the object in which it is hidden (in this case, the watermark indicates the authenticity of the bill).

In addition to paper, watermarking can be applied to other physical objects and to electronic signals. Fabrics, garment labels, and product packaging are examples of physical objects that can be watermarked using special invisible dyes and inks [344, 348]. Electronic representations of music, photographs, and video are common types of signals that can be watermarked.

Consider another example that also involves imperceptible marking of paper but is fundamentally different on a philosophical level. Imagine a spy called Alice who needs to communicate a very important finding to her superiors. Alice begins by writing a letter describing her wonderful recent family vacation. After writing the letter, Alice replaces the ink in her pen with milk and writes a top secret message between the inked lines of her letter. When the milk dries, this secret message becomes imperceptible to the human eye. Heating up the paper above a candle will make the secret message visible. This is an example of steganography. In contrast to watermarking, the hidden message is unrelated to the content of the letter, which only serves as a decoy or cover to hide the very presence of sending the secret message.



FIGURE 1.1

American \$20 bill.

This book deals with both watermarking and steganology¹ of electronic signals. We adopt the following terminology to describe these signals. We refer to a specific song, video, or picture—or to a specific copy of such—as a *Work*,² and to the set of all possible Works as *content*. Thus, audio music is an example of content, and the song "Satisfaction" by the Rolling Stones is an example of a Work. The original unaltered Work is sometimes referred to as the *cover Work*, in that it hides or "covers" the watermark or the secret message. We use the term *media* to refer to the means of representing, transmitting, and recording content. Thus, the audio CD on which "Satisfaction" is recorded is an example of a medium.

We define watermarking *as the practice of imperceptibly altering a Work to embed a message about that Work.*³

We define steganography as the practice of undetectably altering a Work to embed a secret message.

Even though the objectives of watermarking and steganography are quite different, both applications share certain high-level elements. Both systems

¹ We use the term *steganology* to refer to both steganography and steganalysis, just as cryptology refers to both cryptography and cryptanalysis. The term *steganology* is not commonly used but is more precise than using steganography. However, we will often use steganography and steganology interchangeably.

 $^{^2}$ This definition of the term *Work* is consistent with the language used in the United States copyright laws [416]. Other terms that have been used can be found in the disscussion of this term in the Glossary.

³ Some researchers do not consider imperceptibility a defining characteristic of digital watermarking. This leads to the field of perceptible watermarking [52, 164, 286, 294, 295], which is outside the scope of this book.



A generic watermarking (steganography) system.

consist of an *embedder* and a *detector*, as illustrated in Figure 1.2. The embedder takes two inputs. One is the payload we want to embed (e.g., either the watermark or the secret message), and the other is the cover Work in which we want to embed the payload. The output of the embedder is typically transmitted or recorded. Later, that Work (or some other Work that has not been through the embedder) is presented as an input to the detector. Most detectors try to determine whether a payload is present, and if so, output the message encoded by it.

In the late 1990s there was an explosion of interest in digital systems for the watermarking of various content. The main focus has been on photographs, audio, and video, but other content—such as binary images [453], text [49, 50, 271], line drawings [380], three-dimensional models [36, 312, 462], animation parameters [177], executable code [385], and integrated circuits [215, 249] have also been marked. The proposed applications of these methods are many and varied, and include identification of the copyright owner, indication to recording equipment that the marked content should not be recorded, verification that content has not been modified since the mark was embedded, and the monitoring of broadcast channels looking for marked content.

Interest in steganology increased significantly after the terrorist attacks on September 11, 2001, when it became clear that means for concealing the communication itself are likely to be used for criminal activities.⁴ The first steganalytic methods focused on the most common type of hiding called Least Significant Bit embedding [142, 444] in bitmap and GIF images. Later, substantial effort has been directed to the most common image format—JPEG—[132, 144] and audio files [443]. Accurate methods for detecting hidden messages prompted further research in steganography for multimedia files [147, 442].

⁴ Interestingly, *USA Today* reported on this possibility several months before the September 11, 2001 attacks [1]. However, there has been little evidence to substantiate these claims.